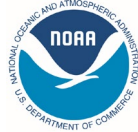


Stock Assessment and Fishery Evaluation Report  
for the  
Salmon Fisheries  
of the  
Cook Inlet Exclusive Economic Zone Area

**2024 Final Salmon SAFE**

Compiled by

The Upper Cook Inlet Salmon SAFE Team from Alaska  
Fisheries Science Center and Alaska Regional Office  
(NMFS SAFE Team)



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## Executive Summary

This is the first Stock Assessment and Fishery Evaluation (SAFE) report for the Federal salmon fisheries in the Cook Inlet exclusive economic zone (EEZ) Area. This SAFE provided the information for the Scientific and Statistical Committee (SSC) to recommend status determination criteria (SDC) for the salmon harvested in the EEZ salmon fisheries for the 2024 fishing season.

This SAFE uses the tier system and harvest specifications process in amendment 16 to the Fishery Management Plan for the Salmon Fisheries in the EEZ off Alaska (FMP) to calculate the SDC following the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) and the National Standard 1 guidelines. The National Marine Fisheries Service (NMFS) prepared this SAFE as part of the process to federally manage the salmon fisheries in the Cook Inlet EEZ. NMFS published a proposed rule and notice of availability for amendment 16 on October 18, 2023 (88 FR 72314). The final rule published on April 30, 2024 (89 FR 34718). Proposed harvest specifications published on April 12, 2024 (89 FR 25857); NMFS received 21 public comment letters on the proposed harvest specifications before the end of the comment period on May 13, 2024. Public comments pertaining to the SAFE are responded to in the final harvest specifications. NMFS was required to implement regulations for this fishery by May 1, 2024 under a court order.

This SAFE has been updated from the preliminary draft that was published on the North Pacific Fishery Management Council (Council) website in January 2024 in preparation for the February Council meeting. This updated Final SAFE includes SSC recommendations, including the following tier determinations, minimum stock size threshold (MSST), preseason overfishing limits (OFL<sub>PRE</sub>), buffers, acceptable biological catch (ABC), and annual catch limits (ACLs):

- Kenai River Late Run sockeye salmon: Tier 1, MSST = 3,030,000, OFL<sub>PRE</sub> = 901,932 fish, buffer<sup>1</sup> = 0.478, ABC and ACL = **431,123 fish**.
- Kasilof River sockeye salmon: Tier 1, MSST = 555,000, OFL<sub>PRE</sub> = 541,084 fish, buffer = 0.694, ABC and ACL = **375,512 fish**.
- Aggregate Other sockeye salmon: Tier 3, MSST = 163,000, OFL<sub>PRE</sub> = 887,464 fish, buffer = 0.20, ABC and ACL = **177,493 fish**.
- Aggregate Chinook salmon: Tier 3, MSST = 44,200, OFL<sub>PRE</sub> = 2,697 fish, buffer = 0.10, ABC and ACL = **270 fish**.
- Aggregate coho salmon: Tier 3, MSST = 38,800, OFL<sub>PRE</sub> = 357,688 fish, buffer = 0.10, ABC and ACL = **35,769 fish**.
- Aggregate chum salmon: Tier 3, MSST = NA, OFL<sub>PRE</sub> = 441,727 fish, buffer = 0.25, ABC and ACL = **110,432 fish**.
- Aggregate pink salmon: Tier 3, MSST = NA, OFL<sub>PRE</sub> = 270,435 fish, buffer = 0.50, ABC and ACL = **135,218 fish**.

For Tier 1 stocks, based on a recommendation from the SSC, the Alaska Department of Fish and Game (ADF&G) point estimates of the number of spawners with the highest probability of achieving maximum sustainable yield (S<sub>MSY</sub>) was used for establishing potential yield (potential EEZ harvest after the achievement of spawning escapement at S<sub>MSY</sub> and likely harvest in fisheries outside of the EEZ), which is the basis for the preseason OFLs and resulting ABC.

The NMFS SAFE Team recommended SDC and harvest specifications based on sources of uncertainty and the biological attributes of the species being assessed; however, additional sources of uncertainty were not factored into the 2024 SAFE recommendations, including the inability to confirm historical estimates of salmon harvests in the Cook Inlet EEZ Area (which are a substantial basis for the 2024 recommendations);

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<sup>1</sup> The buffer refers to the multiplier (b) used to define ABC from OFL, rather than the difference between ABC and the OFL (1-b).

the level of participation in the 2024 EEZ salmon fishery; the spatial distribution of fishing effort within the Cook Inlet EEZ Area in 2024 and effects of that effort on harvests of weaker stocks (Chinook and coho in particular); and harvests and harvest rates for individual stocks and species given the new management structure of having both State of Alaska (State) and Federal salmon fisheries in Cook Inlet. There are likely other sources of uncertainty that were also not accounted for in the SAFE recommendations. To the extent practicable, the NMFS SAFE Team will incorporate additional sources of uncertainty into future analyses and welcomes input on assumptions, estimates, and analyses used in the 2024 SAFE.

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# 1. Introduction

This Stock Assessment and Fishery Evaluation (SAFE) report includes assessments of 5 *Oncorhynchus* (Pacific salmon) species harvested in the Cook Inlet Exclusive Economic Zone (EEZ) Area. The following species and stocks are assessed in this SAFE:

- 3 Chinook salmon, *Oncorhynchus tshawytscha*, stocks (Kenai River Late-Run Large Chinook salmon, Aggregate “Other” Chinook salmon, and Aggregate Chinook salmon stocks);
- 3 sockeye salmon, *Oncorhynchus nerka*, stocks (Kenai River Late-Run, Kasilof River, and Aggregate “Other” sockeye salmon),
- 1 coho salmon, *Oncorhynchus kisutch*, stock (Aggregate coho salmon);
- 1 chum salmon, *Oncorhynchus keta*, stock (Aggregate chum salmon); and
- 1 pink salmon, *Oncorhynchus gorbuscha*, stock (Aggregate pink salmon - divided into even and odd year classes).

This SAFE report is for the federally managed salmon fishery in the Cook Inlet EEZ Area under amendment 16 to the Fishery Management Plan for the Salmon Fisheries in the EEZ off Alaska (FMP), and a Federal requirement (50 CFR part 600). For 2024, this SAFE provides the best available scientific information on the biological condition of salmon stocks in Cook Inlet and builds on the information and analysis in the Environmental Assessment/Regulatory Impact Review (EA/RIR) prepared for amendment 16 and the implementing regulations. The EA/RIR also provides information on the social and economic condition of the sport, subsistence, personal use, and commercial fisheries, the fish processing industries, and communities in Cook Inlet and is incorporated here by reference.

The SAFE report summarizes the current biological status of fisheries, reference points, and analytical information used for the Federal assessment. Additional information on Cook Inlet Salmon fisheries is available on the National Marine Fisheries Service web page at: <https://www.fisheries.noaa.gov/action/amendment-16-fmp-salmon-fisheries-alaska>. Information pertaining to the adjacent Upper Cook Inlet commercial and recreational salmon fisheries managed by the State of Alaska is available on the Alaska Department of Fish and Game (ADF&G) website at: <https://www.adfg.alaska.gov>.

The SAFE report and FMP define those salmon stocks with evidence of historical harvests in the Cook Inlet EEZ Area and classifies these stocks as belonging to one of three “tiers” based on the information available for the stock, which will determine the methods used to set Federal status determination criteria (SDC) and harvests specifications. The three tiers and methods to set Federal SDCs are provided in amendment 16. Each year, the SAFE Report will recommend the salmon stocks that belong in each tier for consideration by the Science and Statistical Committee (SSC) of the North Pacific Fishery Management Council (Council).

Currently, there are 43 salmon stocks defined by the State for its management of Upper Cook Inlet (UCI) salmon fisheries (Munro 2023<sup>2</sup>). Broadly, the State has defined salmon stocks throughout Alaska, including Upper Cook Inlet, based on the availability and specificity of spawning escapement, harvest, and other data and considerations; and manages for the achievement of long-term sustainable yields for each stock. When sufficient data are available to define stock recruitment characteristics, and it is practical and achievable to do so, the State’s management approach also attempts to implement and manage for spawning escapement goals that have the greatest potential to result in maximum sustainable yield in future generations<sup>3,4</sup>. For the State’s salmon management, escapement goal committees—consisting of fisheries scientists, biometricians, biologists, and other fisheries professionals from the

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<sup>2</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS23-01.pdf>

<sup>3</sup> <https://www.akleg.gov/basis/aac.asp#5.39.222>

<sup>4</sup> <https://www.akleg.gov/basis/aac.asp#5.39.223>



Alaska Department of Fish and Game—review data, model estimates, and associated escapement goal recommendations for all defined stocks, every three years; a schedule that aligns with the State’s Board of Fisheries (BOF) cycle for each State management area. In proposing Federal definitions of salmon stocks in the Cook Inlet EEZ Area for management under the scope of the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act), this SAFE also considered data, analyses, and determinations from other sources. After thorough review by the National Marine Fishery Service (NMFS) SAFE Team and for the purposes of recommending status determination criteria and harvest specifications, this SAFE adopts (with some aggregation) the stock definitions used by the State for its management in Upper Cook Inlet for the 2024 fishing year. In its review, the NMFS SAFE Team found the State’s stock definitions and the data, estimates, and analyses used to conduct stock assessment analyses:

- to be accurate, thorough, and complete (including documenting when escapement estimates were partial or missing due to various circumstances);
- to be based upon the best scientific information available, including a rigorous scientific stock assessment and review process;
- that, given the stock assessment results, the resulting escapement targets represent ranges that were likely to result in sustainable returns for all stocks, and maximum yield (at the stock level) for those stocks with available spawner-recruitment information;
- and, as used within equations to propose SDC and harvest specifications for this SAFE, that these escapement targets and associated point estimates of the number of spawners likely to result in maximum sustainable yield ( $S_{MSY}$ ) conform to the intent of applicable Federal National Standards.

The definitions of salmon stocks considered in this SAFE align with, or are aggregations of, the stock definitions used by the State. The Federal stock definitions are based on several considerations, including the availability and specificity of preseason forecasts<sup>5,6</sup>; the practical limitations, including current genetics limitations, of monitoring and accounting for the harvest of specific stocks of the same species in a mixed-stock fishery; the relative quality of the historical harvest records estimated to have occurred in the Cook Inlet EEZ Area during previous years; and other considerations. Assumptions of the analyses within this SAFE include: that Federal stock definitions align with the State’s definitions for Kenai River Late Run sockeye, Kasilof River sockeye, and Kenai River Late Run Large Chinook salmon; that the Federal stock definitions are aggregations of the State stock definitions for Aggregate “Other” sockeye salmon, Aggregate Chinook, Aggregate “Other” Chinook salmon, and Aggregate coho salmon, with the Federal definitions including the harvest of salmon bound for many minor tributaries and drainages, for which the State may not have established escapement goals and does not monitor escapements (NMFS does not currently have any salmon escapement monitoring established in Cook Inlet). There is a single State chum salmon escapement goal in Upper Cook Inlet and no State escapement goals for pink salmon; given that there are known to be many streams in Upper Cook Inlet that contain chum and pink salmon<sup>7</sup>, the Federal definitions for chum and pink salmon stocks also represent aggregations of many freshwater drainages and tributaries spread throughout the area. Annually, NMFS will review data and analyses available for each stock and, as determined by NMFS or as recommended by the SSC, propose new stocks, tier determinations, SDC, and harvest specifications for the SSC to consider.

The FMP and this SAFE describes the criteria and considerations used to propose assignments of the Federal salmon stocks to “tier” levels that determine the methods used to set SDC and harvest specifications. Some of the methods described to set these values propose the use of ADF&G’s preseason forecasts for Cook Inlet salmon stocks. However, due to the required time for ADF&G to collect and process samples for age composition and genetic stock composition estimates used to construct their sibling model-based preseason forecasts, at the February 2024 Council meeting it was necessary for the SSC to recommend SDC and harvest specifications presented within this SAFE that rely on preliminary

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<sup>5</sup> <https://www.adfg.alaska.gov/static/applications/dcfnewsrelease/1456866430.pdf>

<sup>6</sup> <https://www.adfg.alaska.gov/FedAidPDFs/SP23-10.pdf>

<sup>7</sup> <https://www.adfg.alaska.gov/sf/SARR/AWC/index.cfm?ADFG=main.home>. Accessed 25 November 2023

estimates and other forecast approaches in the absence of ADF&G's forecasts.

Based upon the assessment frequency described in Table 1, NMFS provides recommendations on the overfishing limit (OFL), acceptable biological catch (ABC), annual catch limits (ACL), and stock status specifications for review by the SSC in December. Additional information on the OFL and ABC determination process is contained in this report. The justification and options associated with each tier are intended to provide the SSC with the best scientific information available to inform their recommendations of appropriate tier placement and the methods used for the values for OFL and ABC.

The primary goal of this SAFE is to provide the information needed to manage the salmon fisheries in the Cook Inlet EEZ Area, recommend harvest specifications, and prevent overfishing.

The Preliminary SAFE was published in January of 2024 in preparation for the February Council meeting. At the February 2024 Council meeting, the SSC provided a number of recommendations which were incorporated into this revised Final SAFE. The NMFS SAFE Team has included a summary of the SSC recommendations in a separate section of this document and has made every effort to highlight SSC recommendations throughout, including the stock status summaries and accompanying SDC and harvest specifications. While this document still refers to recommendations by the NMFS SAFE Team, in several instances these recommendations have been superseded by recommendations from the SSC.

Personnel from NOAA's Alaska Fisheries Science Center and Alaska Regional Office assembled this SAFE report. As Federal salmon management in the Cook Inlet EEZ Area has not occurred since Alaska's statehood in 1959, this SAFE report necessarily relies upon data, estimates, and modeling results from ADF&G and the scientific literature. The Preliminary SAFE report was presented to the North Pacific Fishery Management Council and is available to the public on the Council web page at: <https://www.npfmc.org/fisheries/>. This Final SAFE, is available on the NMFS website at: <https://www.fisheries.noaa.gov/alaska/population-assessments/alaska-stock-assessments>. To accommodate fishery timing and data availability needs to determine OFL determinations, and ACL requirements, NOAA personnel plan to review assessment data in the fall of each year as post-season harvest and escapement estimates become available. Additional acknowledgements: J. Fortenbery, C. Tide, J. Mondragon, M. Furuness, A. Oliver, and other contributors.

**Table 1:** The Upper Cook Inlet EEZ Area salmon stocks within this SAFE and reviewed annually. Also included are the current schedule for review by NMFS and SSC and the assessment frequency. Stocks in bold are those recommended by the NMFS SAFE Team to be defined for the 2024 Cook Inlet EEZ Area salmon fishery; two Chinook salmon stocks were considered for stock definitions, but these are not recommended for 2024. Recommendations for tier determination can be found within the Stock Status Summary for each stock.

| <i>Stock</i>   | <i>NMFS review and recommendations to SSC</i> | <i>SSC review and recommendations to Council</i> | <i>Assessment frequency</i> | <i>Year of the next Assessment*</i> |
|--|---|--|-----------------------------|-------------------------------------|
| <b>Kenai River Late Run Sockeye Salmon (KNSOCK)</b>      | November                                      | December   | Annual                      | 2024                                |
| <b>Kasilof River Sockeye Salmon (KASOCK)</b>             | November                                      | December   | Annual                      | 2024                                |
| <b>Aggregate Other Sockeye Salmon (AOSOCK)</b>           | November                                      | December   | Annual                      | 2024                                |
| <i>Kenai River Late-Run Large Chinook salmon (KCHIN)</i> |   |  |                             |                                     |
| <i>Aggregate Other Chinook Salmon (AOCHIN)</i>           |   |  |                             |                                     |
| <b>Aggregate Chinook Salmon (ACHIN)</b>                  | November                                      | December   | Annual                      | 2024                                |
| <b>Aggregate Coho Salmon (COHO)</b>                      | November                                      | December   | Annual                      | 2024                                |
| <b>Aggregate Chum Salmon (CHUM)</b>                      | November                                      | December   | Annual                      | 2024                                |
| <b>Aggregate Pink Salmon (PINK)</b>                      | November                                      | December   | Annual                      | 2024                                |

\*The 2025 SAFE report will be provided to the SSC and Council at the 2024 December Council meeting.

## 2. Definitions for Status Determination Criteria and Harvest Specifications

ABC Control Rule is the specified approach in the three-tier system for setting the maximum permissible ABC for each stock as a function of the scientific uncertainty in the estimate of OFL and any other specified scientific uncertainty.

Acceptable biological catch (ABC) is a level of catch of a stock that accounts for the scientific uncertainty in the estimate of the preseason OFL and any other specified scientific uncertainty. The preseason ABC is set at or below the OFL and, similar to the OFL, represents potential yield in the EEZ for the current year.

Annual catch limit (ACL) is the level of annual catch of a stock that serves as the basis for invoking accountability measures. For all federally managed salmon stocks in the Upper Cook Inlet EEZ Area, the ACL will be set at or below the ABC.

Escapement goal (G) is the recommended spawning escapement goal for each stock of salmon. By default, the SAFE uses the lower bound of the spawning escapement goal for SDC and proposed harvest specifications. At the February 2024 Council meeting, for Tier 1 stocks the SSC recommended using the point estimates of the number of spawners at which future yield will be maximized ( $S_{SMY}$ ) instead of the lower bound of the spawning escapement goal.

$F_{OFL}$  control rule is, should stock-specific actual harvest rate ( $F_{EEZ}$ ) in the Cook Inlet EEZ exceed the MFMT in any year, it will be determined that a stock is subject to overfishing.

$F_{EEZ}$  is the realized fishing mortality rate in the EEZ for Tier 1 and 2 stocks, expressed as an exploitation rate, assessed over one generation [(sum of actual harvest for a generation)/(sum of total run size for a generation)]. Preseason estimates of  $F_{EEZ}$  are based on actual harvests for the first T-1 years of the generation time plus maximum potential EEZ harvests for the coming fishing season; final, postseason estimates of  $F_{EEZ}$  are based on actual harvests for all years of the most recent generation.

Generation time (T) is the average number of years in the life cycle of a salmon and is used in several equations to set SDC. The following generation times applied for each species: sockeye salmon (5 yrs.), Chinook salmon (6 yrs.), coho salmon (4 yrs.), chum salmon (4 yrs.), pink salmon (2 yrs.).

Maximum Fishing Mortality Threshold (MFMT) is the maximum potential fishing mortality rate in the EEZ above which overfishing occurs for Tier 1 and 2 stocks, expressed as an exploitation rate, assessed over one generation [(sum of maximum potential harvest for a generation)/(sum of total run size for a generation)]. MFMT is the residual yield available to be harvested in the EEZ after accounting for non-EEZ harvests and the lower bound of the spawning escapement goal being achieved (or, as recommended by the SSC,  $S_{SMY}$ ). MFMT is compared with the actual fishing mortality rate ( $F_{EEZ}$ ) to assess whether overfishing has occurred (postseason estimates) or is approaching overfishing (preseason estimates).

Minimum stock size threshold (MSST) is defined for stocks with escapement goals as one half of the sum of the stock's spawning escapement goal (or, as recommended by the SSC,  $S_{SMY}$  could be used in lieu of the escapement goal) summed across a generation. MSST is compared with cumulative actual escapement summed across the most recent generation to assess whether a stock has been overfished (postseason estimates) or is approaching an overfished condition (preseason estimates). See "Overfished" definition.

OFL is the overfishing limit and the preseason basis for establishing ABC. For Tier 1 and 2 stocks, the

OFL is based on the preseason total run size forecast and defined as the maximum stock-specific EEZ harvest (number of fish) that could occur while still achieving the lower bound of the spawning escapement target (or another value recommended by the SSC, such as  $S_{MSY}$ ) and estimated non-EEZ (State) harvests for the coming fishing season. For Tier 3 stocks, the OFL is the largest EEZ harvest (number of fish) in the timeseries under consideration, multiplied by the average generation time of the species. For Tier 3 stocks, the preseason OFL ( $OFL_{PRE}$ ) is the OFL minus harvests from the stock that occurred in the EEZ during the previous T-1 years of the generation. For Tier 3 stocks, in addition to being the basis for setting the preseason ABC, the OFL is also the postseason basis for the assessment of overfishing. For Tier 1 and 2 stocks, the OFL is not used to assess overfishing postseason (see “Overfishing” definition).

Overfished is determined postseason by comparing annual spawning estimates to the established MSST. For stocks where MSST (or proxies) are defined, should a stock’s realized spawning escapement(s) summed across a generation fall below the MSST in any year, the stock would be declared overfished. Preseason projections of MSST are used to assess if a stock is approaching an overfished condition. For stocks or stock complexes without escapement goals or reliable estimates of escapement, it is not feasible to evaluate overfished status.

Overfishing is defined for Tiers 1 and 2 stocks as occurring when the final, postseason estimate of the actual fishing mortality rate ( $F_{EEZ}$ ) exceeds the maximum fishing mortality rate (MFMT), with both  $F_{EEZ}$  and MFMT calculated across the most recent generation of the species being assessed (e.g., for sockeye salmon, the most recently completed five fishing seasons). For tier 3 salmon stocks, overfishing is defined as occurring when the sum of the stock’s postseason EEZ harvests across a generation exceeds the Tier 3 OFL for that stock, also calculated across a generation. Preseason projections are used to assess whether a stock is approaching a harvest rate (Tiers 1-2) or harvest level (number of fish; Tier 3) for which overfishing may occur.

Total allowable catch (TAC) is the annual catch target for the directed fishery for a stock, set to prevent exceeding the ACL(s) for a stock or stocks in accordance with the FMP.

### 3. Status Determination Criteria

The FMP defines the following status determination criteria and the methods by which these are set.

Status determination criteria for salmon stocks are calculated using a three-tier system that accommodates varying levels of uncertainty and information. The three-tier system incorporates new scientific information and provides a mechanism to continually improve the status determination criteria as new information becomes available. Under the three-tier system, overfishing and overfished criteria and ABC levels for stocks are annually formulated. As described below, the ACL for each stock is set at or below the ABC. Each salmon stock is annually assessed to determine its status and whether (1) overfishing is occurring or the rate or level of fishing mortality for the stock is approaching overfishing, (2) the stock is overfished, or the stock is approaching an overfished condition, and (3) the catch has exceeded the ACL.

For salmon stocks, the preseason OFL provides a reference for managers to monitor overfishing inseason, while overfishing is officially assessed postseason in order to account for all realized escapement and harvest in all fisheries. The preseason OFL is derived through the annual assessment process, under the framework of the tier system. For Tiers 1 and 2, the preseason estimate of OFL equals the stock-specific amount of maximum potential harvest available in the EEZ (number of fish) after accounting for the spawning escapement goal and likely harvests outside of the EEZ. For Tier 3 stocks, the preseason OFL equals the maximum annual EEZ catch in the timeseries under consideration multiplied by the average generation time of that species, unless an alternative catch value is recommended by the SSC on the basis of the best scientific information available. For all tiers, overfishing is officially assessed postseason when final harvest and escapement data are available to calculate final values harvest,  $F_{EEZ}$ , and MFMT for each stock.

Overfished status for each stock is determined using the spawning escapement estimate, available following the end of each fishing year, and the Minimum Stock Size Threshold (MSST). These quantities are estimated from the current stock assessment. For stocks considered to have reliable estimates of escapements, MSST is defined. If the number of spawners drops below the MSST then the stock is considered to be overfished. For stocks without reliable estimates of escapement, MSST and overfished status are undefined.

If overfishing has occurred or the stock is overfished, section 304(e)(3)(A) of the Magnuson-Stevens Act, as amended, requires the Council to immediately end overfishing and rebuild affected stocks.

The Magnuson-Stevens Act requires that FMPs include accountability measures to prevent ACLs from being exceeded. TACs are the principal accountability measures to prevent ACLs from being exceeded for the management of the salmon fisheries in the Cook Inlet EEZ Area. These are described in the FMP and below.

Annually, the Council, SSC, and NMFS will review (1) the stock assessment documents, (2) the OFLs, ABCs, ACLs, and TACs (3) NMFS's determination of whether overfishing occurred in the previous salmon fishing year, (4) NMFS's determination of whether any stocks are overfished and (5) NMFS's determination of whether catch exceeded any ACL or TAC in the previous salmon fishing year.

#### ***Three-Tier System***

As described in the FMP and this SAFE, harvest specifications, OFL and ABC, are set prior to each fishing season using the three-tier system, detailed in Table 2. A stock is assigned to one of the three tiers based on the availability of information for that stock and model selection choices are made. Tier assignments and model choices are proposed by the NMFS SAFE Team to the SSC. The SSC

recommends tier assignments, the stock assessment and model structure, including whether the best scientific information available is used for calculating the proposed OFLs and ABC/ACLs based on the three-tier system, the buffers used to reduce OFL to proposed values of ABC and, if applicable, buffers considered for proposed values of ACL.

The NMFS SAFE Team prepares the stock assessment and calculates the proposed preseason OFLs. For Tiers 1 and 2 stocks, preseason OFL is calculated from the preseason total run size forecast. For Tier 3 stocks, the OFL is calculated from estimated historical harvests in the EEZ. The ABCs are set by applying a buffer to the preseason OFL to account for scientific uncertainty.

Stock assessment documents shall:

- specify how the OFL is calculated for each stock; and
- specify the factors influencing scientific uncertainty that are accounted for in calculation of the preseason ABC.

The NMFS SAFE Team will annually review stock assessment documents, the most recent abundance estimates, the proposed OFLs, ABCs, ACLs, and compile the SAFE. The NMFS SAFE Team then makes recommendations to the SSC on the OFLs, ABCs, ACLs, and any other issues related to the salmon stocks.

The SSC annually reviews the SAFE report, including the stock assessment documents, recommendations from the NMFS SAFE Team, and the methods to address scientific uncertainty. In reviewing the SAFE, NMFS and the SSC shall evaluate and make recommendations, as necessary, on:

- the assumptions made for stock assessment models and estimation of OFLs; and,
- the methods to appropriately quantify scientific uncertainty in the OFL when setting the ABC and ACL.

The SSC will then set the final OFLs, ABCs, and ACLs for the upcoming salmon fishing year.

### **Accountability Measures**

Section 4.2.8 of the FMP describes accountability measures and provides preseason and postseason measures that could be implemented. If total harvest is determined to be above the postseason ACL, NMFS will report on the harvest overages in the SAFE report and make any recommendations on accountability measures to the SSC. If it is necessary to improve the science used in the assessment or methods used to manage TAC in the EEZ, such changes can be considered during the SSC and Council review process. Repeated overages of ACL will trigger NMFS to evaluate and address any systemic bias for the overages. Possible accountability measures could include increasing the buffer of the OFL (to result in a lower ABC and resulting ACL and TAC) to account for scientific or management uncertainty. If implementation error is important in causing the overages, a review and revision of in-season management procedures may also be warranted.

## ***Tier 1***

Tier 1 is for salmon stocks that have reliable estimates of annual spawning escapements and stock-specific harvests. Stocks assigned to Tier 1 also have data that is of high quality and complete that have reliable estimates of the spawner-recruit relationship, thereby enabling the estimation of  $S_{MSY}$  and associated parameter estimates, or other approaches (e.g., yield analyses), to inform spawning escapement goals; age estimates for harvest and escapement components; and, preseason forecasts of total run size.

The FMP, Tables 2, and the text below provide description and equations for the calculations of MSST, MFMT,  $F_{EEZ}$ ,  $F_{OFL}$ , OFL, ABC, and ACL for Tier 1 stocks.

For Tier 1, MSST and a rule for defining overfishing based on comparing the stock-specific fishing mortality rate in the EEZ ( $F_{EEZ}$ ) with MFMT are established. The MFMT reference point is established based on potential yield available to fisheries in the EEZ after accounting for required spawning escapement and harvest of exploited salmon stocks in non-EEZ (State managed) fisheries. For this tier, overfishing is assessed with postseason estimates and deemed to occur if  $F_{EEZ}$  exceeds MFMT. As described in the FMP, SDC are established based on estimates of harvest and escapement across the most recent generation. For example, for sockeye salmon, the generation time is the most recent 5 years.

Preseason harvest estimates: The NMFS SAFE Team recommends to the SSC that the preseason estimate of likely harvests in State waters in the coming fishing season be based on an autoregressive integrated moving average (ARIMA) model of past State harvest rates using the `auto.arima` R package to identify the optimal combination of AR and MA lags. The potential harvest rate in the EEZ ( $F_{EEZ}$ ) in the upcoming season can then be estimated by subtracting expected State harvest from the forecasted run size (minus the escapement goal) and dividing by the total forecasted run size. At the discretion of the SSC, future SAFE analyses can compare other approaches (e.g., a ‘default’ AR-1) with the model selected by the `auto.arima()` function or other alternatives, as well as the retrospective accuracy (and resulting buffer factor) of each method used to inform SAFE recommendations.

$OFL_{PRE}$ . The preseason OFL in the EEZ is the estimated maximum harvest that could occur in the EEZ during a single season while still meeting the spawning escapement target and allowing for harvests in other fisheries. The preseason OFL is calculated from the preseason total run size forecast and accounts for likely harvests in other fisheries (*i.e.* those occurring in State waters) and the point estimate of  $S_{MSY}$ .  $OFL_{PRE}(EEZ) = \text{Total run size}_{PRE} - (\text{escapement target}) - (\text{non-EEZ harvest estimate})$ .

$ABC_{PRE}$ : Similar to the OFL, the preseason ABC represents predicted potential yield in the EEZ for the coming fishing season. The sources of uncertainty in the current model include the error in one-year-ahead forecasts of run size and non-EEZ harvests.

Scientific buffers: In reducing  $OFL_{PRE}$  for the purpose of setting ABC, the buffer acknowledges the uncertainty in preseason values for status determination criteria. In the case of Tier 1 stocks, the buffer takes into consideration the retrospective error in preseason ABC and potential yield (based on preseason run size and State harvests) designations relative to realized postseason values. Specifically, the median symmetric accuracy (MSA) (Morley et al., 2018<sup>8</sup>) is calculated for preseason estimates of OFL and potential yield relative to postseason values over a ten year window. Hereafter, the buffer refers to the multiplier,  $b$ , used to define ABC from OFL, rather than the difference between ABC and the OFL,  $1-b$ . The MSA is interpretable as a measure of percent error in preseason estimates relative to postseason values. A bound of 10% was imposed such that if the calculated MSA indicated use of a buffer below 10%, a 10% buffer would be used instead. Thus, in setting preseason management targets, preseason estimates of OFL and potential yield are reduced by the percentage indicated by the MSA to generate ABC and ACL.

The NMFS SAFE Team has presented the following options to calculate SDC and harvest specifications for Tier 1 stocks.

**Tier 1, Option 1 (T1):** The T1 approach assumes the availability of the ADF&G sibling model-based

<sup>8</sup> <https://agupubs.onlinelibrary.wiley.com/doi/full/10.1002/2017SW001669>



preseason total run size forecasts to be used in this SAFE with SDC and harvest specifications as described in the FMP and this SAFE. However, as ADF&G's preseason salmon forecasts were not available in time to be used in this SAFE, this option will not be considered for this SAFE.

**Tier 1, Option 2 (AR):** This approach assumes that an ADF&G preseason total run size forecast will not be available in time to set SDC and harvest specifications. Thus, total run size for the coming fishing season is based on autoregressive integrated moving average (ARIMA) models fitted to available adult return data. The optimal combination of autoregressive (AR) and/or moving average (MA) lags for the ARIMA models was determined by evaluating a range of alternatives via the `auto.arima()` function of the *forecast* package (version 8.21.1; Hyndman R, Athanasopoulos G, Bergmeir C, Caceres G, Chhay L, O'Hara-Wild M, Petropoulos F, Razbash S, Wang E, Yasmeeen F (2023). *forecast: Forecasting functions for time series and linear models*. R package version 8.21.1.9000<sup>9</sup>). With the AR approach, all SDC and harvest specifications would be set using the same equations as the T1 approach, but the estimates would necessarily be more uncertain because they are not informed by sibling returns.

## **Tier 2**

Tier 2 is for salmon stocks managed as a complex, with specific tributaries or drainages as indicator stocks and stock-specific estimates of harvests. Indicator stocks are stocks for which sufficient data exists to allow for the development of measurable and objective SDC and can be used as a proxy to manage and evaluate data poor stocks within the stock complex.

For Tier 2 stock complexes,  $F_{EEZ}$ , MFMT,  $F_{OFL}$ , and MSST for indicator stocks will be set using the same equations as Tier 1 stocks with overfishing and overfished determinations also assessed in the same way as Tier 1 stocks.

For Tier 2 stocks, the preseason OFL, ABC, ACL, and the buffer to reduce OFL and potential yield will be set for a stock complex in the same way as Tier 1 stocks.

$ACL < \text{or} = ABC$ .

For the 2024 SAFE, The NMFS SAFE Team does not recommend designating any Cook Inlet EEZ Area salmon stock as Tier 2. An additional consideration for setting SDC and harvest specifications for stock complexes is that, while there is assumed to be a relatively thorough accounting of all harvests for the stock, there may be many tributaries for which spawning escapements are not assessed or are assessed with methods for which the total numbers of spawners cannot be estimated with high precision. As such, the escapement goals and annual spawning escapement estimates for stock complexes may represent an index of spawners that is an unknown portion of the overall escapements. Because of this, compared to Tier 1 stocks, the calculated MFMT value for Tier 2 stocks may be inflated relative to  $F_{EEZ}$  and an overfishing determination may be less likely to occur (vs. a Tier 1 stock) as a result, meaning, an overfishing designation may not be triggered for Tier 2 stock complexes, even if such a designation were warranted.

Explained in more detail at the equation level, the numerator of MFMT represents maximum potential yield after subtracting non-EEZ harvests and the lower bound of the escapement goal. However, since the escapement goals for Tier 2 stocks are only *indices* of abundance, and not *actual* numbers of fish, subtracting this index value (and non-EEZ harvests) from the total run size would result in potential yield that would necessarily be larger than the actual yield available. Therefore, applying Tier 1 methods for SDC and harvest specifications to Tier 2 stock complexes may be less precautionary with respect to overfishing than using these methods to assess Tier 1 stocks.

An alternative consideration for stock complexes, is that, if there is incomplete monitoring of indicator stocks, then an overfishing or overfished determination could be made when it is not warranted for the larger stock complex.

Recommendation: The NMFS SAFE Team asks for SSC recommendations on the following options, with

<sup>9</sup> <https://pkg.robjhyndman.com/forecast>

the second option preferred:

- multiply the summed escapement goal indices for the stock complex by a recommended expansion factor such that the resulting value more closely resembles numbers of fish rather than an index (or indices); or,
- (Recommended) make the determination that, because the estimates of overall total escapement and associated total run size estimates are not “reliable,” these stocks be classified as Tier 3 for establishing SDC and harvest specifications for 2024, and until sufficient information is available to form consensus on the tradeoffs associated with a Tier 2 vs. Tier 3 determination.

Note that, compared with Tiers 1 and 2, the method for establishing ABC and ACL for Tier 3 stocks (below) also provides a larger range of buffers for the SSC to consider.

Recommendation: The NMFS SAFE Team recommends additional research to refine estimates of total run sizes and associated components (escapements and mortality) for Cook Inlet salmon stocks; particularly for stocks where such estimates do not currently exist. These estimates will facilitate improved management.

### ***Tier 3***

Tier 3 is for salmon stocks without reliable estimates of escapement. Stocks in this tier may have at least one tributary monitored to assess spawning escapements, but, relative to Tier 1 and 2 stocks, any escapement goals or associated inseason assessment of escapement represent a coarse and/or unknown index of abundance rather than a true number of fish. For stocks in this tier, because there are no reliable estimates of the total number of spawners, total run size,  $F_{EEZ}$ , and MFMT for Tier 3 stocks cannot be verifiably estimable and the  $F_{OFL}$  control rule is not applicable. As described in the FMP, historical harvest data is used to set the OFL for this tier. To assess an overfished determination, MSST is only estimable if the stock or stock complex has at least one tributary with a spawning escapement goal, in which case an overfished determination would be the same as for Tier 1 stocks.

OFL: The OFL is the maximum annual EEZ catch of the stock in the timeseries under consideration, multiplied by the average generation time of the species ( $T$  years), unless an alternative catch value is recommended by the SSC on the basis of the best scientific information available. This definition of overfishing assumes that the maximum catch in the historical record is analogous to the Tier 1 definition of MSY for the stock. As such, any harvest greater than the maximum historical catch represents harvest in excess of maximum available yield (harvest in excess of that necessary to achieve adequate spawning escapement). Similar to the Tier 1 definition, if harvest of a Tier 3 stock was in excess of maximum potential yield for an entire generation, then the stock would be subject to overfishing.

OFL<sub>PRE</sub>: The preseason OFL represents the number of fish from a Tier 3 stock that could be harvested during a single year without exceeding the OFL. Since the OFL is assessed over a generation, the preseason OFL (OFL<sub>PRE</sub>) is the OFL minus harvests from the stock that occurred in the EEZ during the previous T-1 years of the generation.

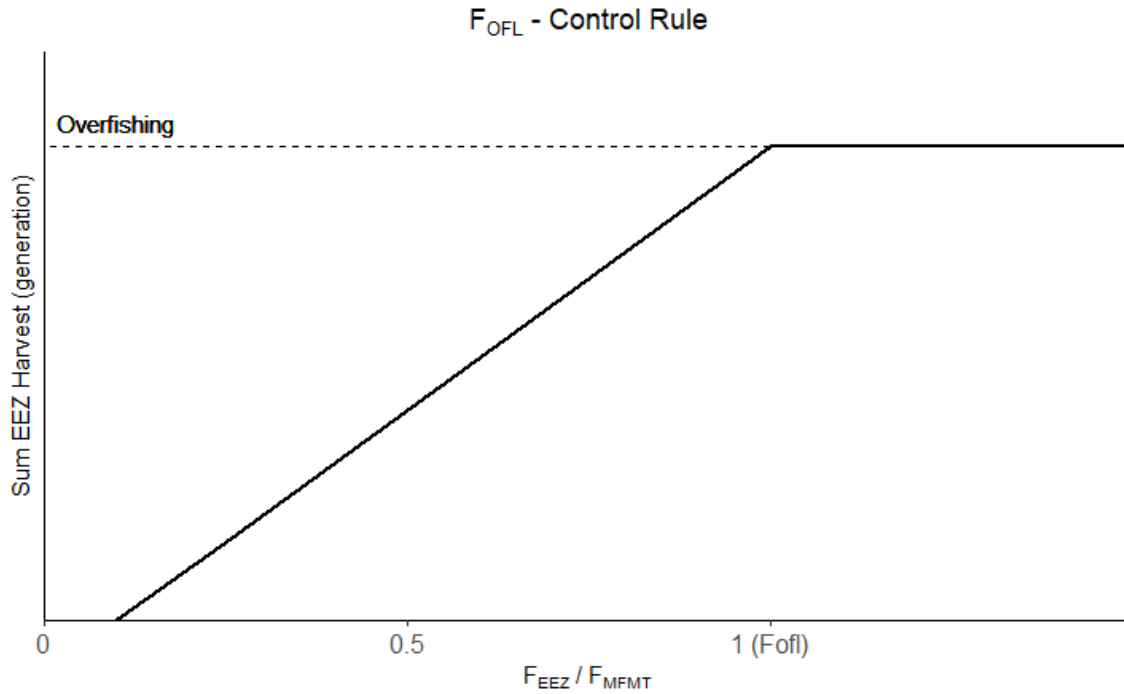
ABC: The preseason ABC is the OFL<sub>PRE</sub> reduced by a buffer to account for uncertainty. ABC would be set each year during the annual stock status determination process based on the best available information.

Scientific buffer: Stocks assigned a Tier 3 designation lack sufficient data for a scientifically-informed buffer produced for Tier 1 stocks. As such, a range of naive buffers ranging from 0.1 to 0.9 will be applied and the resulting management quantities under each buffer value will be presented and compared for SSC consideration. The range of buffers available for Tier 3 stocks provides additional flexibility for the SSC to consider, with recommendations by the NMFS SAFE Team based on comparisons of the buffered ABC values with existing harvests and other stock attributes relative to status quo harvests under State management. However, relative to Tiers 1 and 2, for which there is additional information to define and monitor the attributes of a stock and inform SDC (e.g.,  $F_{EEZ}$  vs. MFMT to assess overfishing), such information is generally limited for Tier 3 stocks. The default buffer considered by the NMFS SAFE Team reduces the OFL<sub>PRE</sub> to the equivalent of a single-season value. For example, if the salmon species has an average generation time of 5 years, then  $1/5 = 0.20$  would be the default buffer applied to OFL<sub>PRE</sub>.

resulting in an 80% reduction from the OFL to the ABC. With the default buffer, the available harvest that could occur without overfishing ( $OFL_{PRE}$ ) is thereby spread across the equivalent of an entire generation and is conservative with ensuring that OFL will not be exceeded. For stocks that are considered to be a management, yield, or conservation concern by the SSC, a more conservative buffer could be recommended in order to reduce  $OFL_{PRE}$  by a larger amount.

ACL: The preseason ACL is less than or equal to ABC for Tier 3 stocks. For Tier 3 stocks, because the OFL is based solely on historical harvests, there is limited data on which to base uncertainty estimates for a buffer. The NMFS SAFE Team recommends that no distinction be made between ABC and ACL.

**Figure 1.** An illustration of the  $F_{OFL}$  control rule for Tier 1 and 2 salmon stocks. SDC will allow for acceptable biological catch of a stock in the EEZ until the actual fishing mortality rate ( $F_{EEZ}$ ) reaches parity with the maximum fishing mortality threshold (MFMT), the largest amount of EEZ harvest that the stock can sustain over a generation while still achieving the spawning escapement target. At parity with MFMT,  $F_{EEZ} = F_{OFL}$ . Overfishing occurs when the actual fishing mortality rate exceeds the maximum fishing mortality rate (above a  $F_{EEZ}:F_{MFMT}$  ratio of 1), the spawning escapement goal is not being achieved across a generation.  $F_{EEZ}$  and MFMT are normalized to total run size and assessed over a generation using postseason (final) estimates.



**Table 2:** Three-Tier System for setting OFLs, ABCs, and ACLs for salmon stocks. The tiers are listed in descending order of information availability.

| Tier | Information Available   | F <sub>OFL</sub>  | ABC control rule* | Buffers considered   | ABC  |
|------|---|---|-------------------|--|--|
| 1    | <p><b>Escapement goal</b></p> <p>spawning escapement for entire stock</p> <p>stock-specific harvests across fisheries</p> <p>total run size estimates</p>                               | <p>F<sub>OFL</sub>: harvest rate such that F<sub>EEZ</sub> = MFMT; where:</p> $F_{EEZ,t} = \frac{\sum_{i=t-T+1}^t C_{EEZ,i}}{\sum_{i=t-T+1}^t R_i}$ $MFMT_t = \frac{\sum_{i=t-T+1}^t Y_{EEZ,i}}{\sum_{i=t-T+1}^t R_i};$ | ABC ≤ OFL         | Median Symmetric Accuracy (MSA) buffer based on accuracy of preseason predictions of OFL and potential yield | $ABC = [(\widehat{R}_t - \widehat{C}_{state} - G) * Buffer_{MSA}]$ |
| 2    | <p><b>Escapement goal for indicator stock(s)</b></p> <p>spawning escapements for indicator stock(s)</p> <p>stock-specific harvests across fisheries</p> <p>total run size estimates</p> | <p>F<sub>OFL</sub>: harvest rate such that F<sub>EEZ</sub> = MFMT; where:</p> $F_{EEZ,t} = \frac{\sum_{i=t-T+1}^t C_{EEZ,i}}{\sum_{i=t-T+1}^t R_i}$ $MFMT_t = \frac{\sum_{i=t-T+1}^t Y_{EEZ,i}}{\sum_{i=t-T+1}^t R_i};$ | ABC ≤ OFL         | Median Symmetric Accuracy (MSA) buffer based on accuracy of preseason predictions of OFL                     | $ABC = [(\widehat{R}_t - \widehat{C}_{state} - G) * Buffer_{MSA}]$ |
| 3    | <p><b>Harvests</b></p> <p>Any escapement goals</p>  | Overfishing assessed with the OFL   | ABC ≤ OFL         | <p>(1) range of 0.1-0.9 considered</p> <p>(2) Additional buffer considerations for “weak” stocks</p>         | $OFL \times Buffer \text{ (e.g. } 0.10 - 0.90)$                    |

The following descriptions are associated with the equations provided in Table 2:

- $F_{EEZ}$ 
  - $T$  = generation time expressed as years
  - $t$  = run year
  - $R_i$  = annual run size
  - $C_{EEZ}$  = annual EEZ catch of stock in year  $t$
- MFMT
  - $Y_{EEZ,i} = \max(0, R_t - G_t - C_{state,t})$ 
    - $Y_{EEZ,i}$  – potential yield in the EEZ
  - $R_i$  = annual run size
  - $C_{state,t}$  = harvest in State waters in year  $t$
  - $G$  = escapement goal for stock
- ABC
  - $\widehat{R}_t$  = total run size
  - $\widehat{C}_{state}$  = harvest in State waters
  - $G$  = lower bound of escapement goal
  - $Buffer$  = Tier 1&2: MSA, Tier 3: range of 0.1-0.9
- OFL
  - $OFL_{preseason} = \widehat{R}_t - \widehat{C}_{state,t} - G_t$ 
    - $\widehat{R}_t$  = preseason total run size forecast
    - $\widehat{C}_{state,t}$  = estimated State harvest
    - $G_t$  = escapement goal

## 4. Recommendations from the SSC

### ***SSC recommendations pertinent to the 2024 harvest specifications.***

#### ***For Tier 1 stocks:***

The SSC recommends that OFL and MFMT calculations for Tier 1 stocks be based on the best available estimate for the spawning biomass that produces maximum sustainable yield over the long-term ( $S_{MSY}$ ), as opposed to the lower bound of the escapement goal range, and that this be implemented for the preseason OFL and ABC specifications for the 2024 season. While the SSC acknowledges flexibility in the MSST definition relative to  $S_{MSY}$  in this context, it recommends defining  $MSST=0.5*S_{MSY}$  (summed over a generation) or half of the spawning abundance expected to produce MSY over the long term, for Tier 1 stocks. This approach is consistent with how the MSST is defined in the crab and groundfish fishery management plans (FMP).

- **NMFS SAFE Team note: The SSC recommendation above was incorporated into this revised SAFE.**

#### ***For Tier 3 stocks:***

For the 2024 SAFE, the preseason OFL calculation based on the sum of maximum catch over the generation time as recommended in the SAFE should be used.

For the 2024 SAFE, the aggregate coho salmon buffer should remain unchanged, the aggregate Chinook salmon buffer should be changed from 0.167 to 0.1, the aggregate pink salmon buffer should be changed from 0.9 to 0.5, and the aggregate chum salmon buffer should be changed from 0.5 to 0.25.

SSC recommendations, including tier determinations, MSST, preseason OFLs ( $OFL_{PRE}$ ), buffers, ABC, and ACLs are as follows:

- Kenai River Late Run sockeye salmon: Tier 1, MSST = 3,030,000,  $OFL_{PRE}$  = 901,932 fish, buffer<sup>10</sup> = 0.478, ABC and ACL = **431,123 fish**.
- Kasilof River sockeye salmon: Tier 1, MSST = 555,000,  $OFL_{PRE}$  = 541,084 fish, buffer = 0.694, ABC and ACL = **375,512 fish**.
- Aggregate Other sockeye salmon: Tier 3, MSST = 163,000,  $OFL_{PRE}$  = 887,464 fish, buffer = 0.20, ABC and ACL = **177,493 fish**.
- Aggregate Chinook salmon: Tier 3, MSST = 44,200,  $OFL_{PRE}$  = 2,697 fish, buffer = 0.10, ABC and ACL = **270 fish**.
- Aggregate coho salmon: Tier 3, MSST = 38,800,  $OFL_{PRE}$  = 357,688 fish, buffer = 0.10, ABC and ACL = **35,769 fish**.
- Aggregate chum salmon: Tier 3, MSST = NA,  $OFL_{PRE}$  = 441,727 fish, buffer = 0.25, ABC and ACL = **110,432 fish**.
- Aggregate pink salmon: Tier 3, MSST = NA,  $OFL_{PRE}$  = 270,435 fish, buffer = 0.50, ABC and ACL = **135,218 fish**.

<sup>10</sup> The buffer refers to the multiplier (b) used to define ABC from OFL, rather than the difference between ABC and the OFL (1-b).

## ***SSC recommendations pertinent to the 2025 harvest specifications.***

For the 2025 SAFE, a separate process should be used to define the preseason OFL and postseason overfishing determination, wherein the preseason OFL is based on either the maximum or average catch over a defensible period of the catch history rather than the maximum catch multiplied by species generation time. Accordingly, the SSC requests that new buffers be proposed for each of the Tier 3 stock aggregates. A starting place might be the 0.75 buffers used for Tier 6 average-catch stocks in the groundfish FMPs, though alternatives should be considered.

For the 2025 SAFE, the postseason OFL process should use the current methodology of evaluating across one generation to provide stability in status determination for the highly variable salmon life history.

For identifying the representative catch as the basis for both the preseason and postseason OFL definition, the SAFE team should consider and justify: (a) whether the average or maximum catch in the time series is most appropriate, and (b) determine the most representative portion of the recent catch history to use for defining the reference point based on considerations of any past changes to the prosecution of the EEZ portion of the drift gillnet fishery and recent trends in stock productivity.

SSC requests that the SAFE include more information about the ARIMA analysis, such as significant model terms, model diagnostics, and plots of observed vs predicted values. The SSC also requests that the SAFE team provide a direct comparison of the retrospective performance of ADF&G's preseason forecasts for Tier 1 stocks with the ARIMA approach used in the SAFE.

Specific to the Tier 3 aggregate pink salmon stock, the SSC requests clarification on whether calculations were done separately for even-and odd-year brood lines or whether they were assumed to be the same stock for the purpose of determining maximum catch. The SSC highlights that they represent genetically distinct lines and likely exhibit differences in return abundance.

**In the absence of a reconstructed brood table for Tier 1 stocks as the basis for sibling- based preseason run size forecasts, the SSC supports the use of the ARIMA approach proposed in the SAFE.** However, the SSC requests that the SAFE include more information about the ARIMA analysis, such as significant model terms, model diagnostics, and plots of observed vs predicted values. The SSC also requests that the SAFE team provide a direct comparison of the retrospective performance of ADF&G's preseason forecasts for Tier 1 stocks with the ARIMA approach used in the SAFE. This comparison would help identify the potential value of sibling-based ADF&G forecast estimates, and the value of run reconstruction and forecasting on a timeline consistent with the Federal process.

Currently the SAFE uses a retrospective comparison of the preseason OFL with the postseason OFL as a basis for defining the buffer between OFL and ABC for Tier 1 stocks. Specifically, median symmetric accuracy (MSA) is used to quantify the performance of the preseason methodology for determining the OFL based on the ARIMA preseason forecast. While this approach aligns with prior SSC recommendations to scale the magnitude of the buffer based on retrospective performance, the use of a symmetric error metric for defining a buffer that reduces the ABC from the OFL (i.e. a unidirectional change) is problematic. As such, the SSC recommends that the SAFE team consider and propose alternative error metrics that scale the buffer according to the frequency that the preseason OFL exceeds the postseason OFL only. This would be more consistent with the intent of adding increased precaution when preseason methods routinely recommend harvest levels above what are later (postseason) determined to be at or below the level providing for the escapement producing MSY. Given the simple forecast framework, calculations using the P\* approach, in which analysts characterize forecast uncertainty and the Council expresses its policy towards risk by specifying an acceptable probability of exceeding the true but unknown OFL, would be feasible and should be explored.



The SSC recommends the SAFE team reconsider the definition of the ‘buffer’ as a multiplier ( $m$ ) by which to scale the OFL to obtain the ABC, where  $ABC = m \cdot OFL$ . For consistency with other SAFEs and with the common use of the term, the SSC suggests defining the buffer as  $b = 1 - m$ , reflecting the relative reduction in OFL.

The SSC supports the proposed SAFE methodology for estimating the harvest rate in State waters based on the ARIMA model applied to the time series of observed harvest rates. However, the SSC requests clear documentation of retrospective model performance for each stock or stock aggregate.

The SSC suggests that developing risk tables, or something similar, for future SAFE reports may provide a means of organizing and tracking uncertainty that is not captured in the assessment or harvest policy for informing ABC determination. This could be a potential item for consideration at a future workshop.

The SSC highlights the need for sampling catches from the EEZ to estimate the stock composition of the catch and collect other biological information. This will require obtaining genetic samples from stocks that are of conservation concern, in particular Chinook salmon which could be elevated to Tier 1.

Future SAFE reports should group all of the information relevant to a stock in the SAFE chapter for that stock, rather than placing tables and figures in an appendix. This will allow readers of the document to more readily access this information and follows more closely the structure of other Council SAFE documents.

This SAFE report notes that the EA/RIR for Proposed amendment 16 to the FMP for the Salmon Fisheries in the EEZ Off Alaska provides information on the social and economic conditions of the sport, subsistence, personal use, and commercial fisheries, the fish processing industries, and communities in Cook Inlet and incorporates that document by reference, which is appropriate for use in the current year management process. **As the Cook Inlet EEZ management process matures, and consistent with NS2, the SSC looks forward to seeing a summary of scientific information concerning the most recent social and economic condition of the relevant recreational and commercial fishing interests, fishing communities, and the fish processing industries incorporated into the SAFE.** The SSC specifically looks forward to future SAFEs containing scientific information on pertinent economic, social, community, and ecological information for assessing the success and impacts of management measures or the achievement of objectives of the Salmon FMP.

### ***SSC recommendations pertinent to the FMP.***

For the 2025 and future SAFEs, the SSC recommends continuing the use of the current year OFL calculation for Tier 1 stocks, rather than the multiyear calculation, because it reflects the best estimate of potential EEZ yield in the current year. It is clear that the implications of the Tier 1 OFL formula in the proposed Salmon FMP have not been fully considered, and, consequently, that consideration should be given to modifying the FMP to bring it into alignment with what was actually done this year.

## ***General Recommendations for all Assessments***

This section is intentionally left blank and serves as a placeholder for general recommendations from the SSC or from a Salmon Plan Team, if such a group is formed in the future.

## 5. Stock Status Summaries

### *Data and assessments for all stocks*

Existing estimates of harvest, escapement, stock assessments, and preseason forecasts used for this SAFE originate from the State of Alaska with data available through its website ([www.adfg.alaska.gov](http://www.adfg.alaska.gov)) and associated publications (<https://www.adfg.alaska.gov/sf/publications/>) with additional details provided in the assessments for each stock and in Appendices A1-A10. The most recent stock assessments and escapement goal recommendations for Late-Run Kenai sockeye salmon (Hasbrouck et al. 2022)<sup>11</sup>, Kenai Late Run Chinook salmon (Reimer and Fleishman 2017)<sup>12</sup>, Susitna River Chinook salmon (Reimer and DeCovich 2020)<sup>13</sup>, and assessments for other stocks (McKinley et al. 2020)<sup>14</sup> can be found through the ADF&G publications page and the STATE's Board of Fisheries website<sup>15</sup>. Additional data, estimates, and other relevant information can be found within, or referenced in, annual management reports (e.g., Marston and Frothingham 2022)<sup>16</sup>, season summaries<sup>17</sup>, preseason forecasts<sup>18,19,20</sup>, the Sport Fish harvest survey website<sup>21</sup>, the statewide escapement goal reports (e.g., Munro 2023)<sup>22</sup>, the Cook Inlet Area commercial salmon fishing regulations<sup>23</sup>, and other publications.

Methods used by the NMFS SAFE Team to estimate historical harvests within the Cook Inlet EEZ Area are described in the EA/RIR prepared for amendment 16 and the implementing regulations<sup>24</sup>. In summary, these estimates were made by considering the geographical overlap between the Federal Cook Inlet EEZ Area and the State Statistical Areas where salmon landings were reported by fishers to have occurred, combined with professional judgment of managers regarding the distribution of the drift fleet. Because there has never been a wholly-Federal salmon fishery confined to the Cook Inlet EEZ Area, the accuracy of the historical EEZ harvest proportion estimates are unknown and treated deterministically in the 2024 analyses. At the discretion of the SSC, future analyses could incorporate some measure of agreed-upon uncertainty into the historical EEZ estimates from stock composition studies<sup>25</sup> and other sources. It is the assumption of the NMFS SAFE Team that future EEZ harvests under Federal management will be more precisely known through landings data.

For 2023, the number and proportion of drift gillnet harvest estimated to have occurred in the EEZ were obtained as per the methods described in Section 4.5.1.2.3. of the EA/RIR dated September 2023. Daily harvest data were downloaded from the ADF&G's UCI Commercial fisheries website<sup>26</sup> and descriptions of areas open on each date were pulled from the 2023 UCI commercial fisheries season summary<sup>27</sup>. In following the methods in the EA/RIR, for drift gillnet harvest occurring in statistical area 24460, the 2024 SAFE assumed the following EEZ harvest proportions [(EEZ drift gillnet harvest) / (total drift gillnet harvest)] by date:

<sup>11</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS22-01.pdf>

<sup>12</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS17-02.pdf>

<sup>13</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS20-01.pdf>

<sup>14</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS20-02.pdf>

<sup>15</sup> <https://www.adfg.alaska.gov/index.cfm?adfg=fisheriesboard.meetinginfo&date=02-07-2020&meeting=anchorage>

<sup>16</sup> <https://www.adfg.alaska.gov/index.cfm?adfg=commercialbyareauci.salmon#management>

<sup>17</sup> <https://www.adfg.alaska.gov/static/applications/defnewsrelease/1546815985.pdf>

<sup>18</sup> <https://www.adfg.alaska.gov/index.cfm?adfg=commercialbyareauci.salmon#forecasts>

<sup>19</sup> <https://www.adfg.alaska.gov/index.cfm?adfg=ByAreaSouthcentralUpperKenai.fishingInfo#outlook>

<sup>20</sup> <https://www.adfg.alaska.gov/index.cfm?adfg=ByAreaSouthcentralNorthCookInlet.fishingInfo#outlook>

<sup>21</sup> <https://www.adfg.alaska.gov/sf/sportfishingsurvey/>

<sup>22</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS23-01.pdf>

<sup>23</sup> [https://www.adfg.alaska.gov/static/regulations/fishregulations/pdfs/commercial/2020\\_2022\\_cf\\_cook\\_inlet\\_salmon.pdf](https://www.adfg.alaska.gov/static/regulations/fishregulations/pdfs/commercial/2020_2022_cf_cook_inlet_salmon.pdf)

<sup>24</sup> <https://www.fisheries.noaa.gov/action/amendment-16-fmp-salmon-fisheries-alaska>

<sup>25</sup> <https://www.adfg.alaska.gov/FedAidPDFs/RIR.5J.2021.04.pdf>

<sup>26</sup> [https://www.adfg.alaska.gov/index.cfm?adfg=commercialbyareauci.salmon\\_harvest\\_current](https://www.adfg.alaska.gov/index.cfm?adfg=commercialbyareauci.salmon_harvest_current)

<sup>27</sup> <https://www.adfg.alaska.gov/static/applications/defnewsrelease/1546815985.pdf>

- June 19-July 8th, 50% EEZ harvest;
- July 10 -15, 75% EEZ harvest;
- August 1 - 15, 75% EEZ harvest;
- August 15 - September 14, 25% EEZ harvest but no harvest reported.

For drift gillnet harvests from statistical area 24457, the SAFE assumed 6% EEZ harvest. For any remaining drift gillnet harvests, the SAFE assumed that 0% occurred in the EEZ. As with all data and associated estimates, the NMFS SAFE Team welcomes suggestions on improving these historical estimates. The methods described previously resulted in the following estimated number of salmon harvested by species in the EEZ during 2023: Chinook salmon: **51 fish**; sockeye salmon **641.3K**, coho salmon **24.6K**; chum salmon **51.1K**; and, pink salmon **27.5K**; with the Stock Status Summary section and. Appendices A1-A10 provide estimates of stock-specific harvest estimates in the Cook Inlet EEZ.

Appendices A1-A10 also contain a variety of run size, escapement, harvest, yield and other estimates and analysis results, and plots.

The analyses and data estimates used for the stock status summaries in this SAFE, including versions of model updates, are available through the following GitHub repository: <https://github.com/afsc-assessments/Cook-Inlet-SAFE>.

The NMFS SAFE Team welcomes feedback on the analyses, either through GitHub or by contacting the NMFS SAFE Team author directly via e-mail or phone.

## **A. Kenai River Late Run Sockeye Salmon**

Definition: The Federal stock definition for Kenai River Late Run sockeye salmon (KNSOCK) include Cook Inlet EEZ Area harvests, spawning escapements, and associated spawning escapement targets and estimate of  $S_{MSY}$  corresponding to the State definition for this stock.

### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2023, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2023 is assessed in a manner similar to that recommended in this SAFE and the FMP.

The 2023 estimated total harvests, spawning escapements, and total run size of the Kenai River Late Run sockeye salmon are still preliminary (Table 3, Appendix A1) and Federal SDC and harvest specifications were not in effect for the 2023 fishery. However, based on recent historical estimates of the proportions of the overall drift gillnet harvest that occur in the EEZ Area, it is estimated that ~418K fish from this stock were harvested in the EEZ Area. Because the estimated harvest rate in the EEZ over the most recent generation ( $F_{EEZ}$ ) of 0.08 was substantially lower than the estimated MFMT of 0.23, it is the NMFS SAFE Team's assessment that overfishing would not have occurred during 2023.

### ***Data and assessment methodology***

#### ***Existing data and assessment***

The ADF&G data and stock assessment sources used for the Federal assessment of Kenai Late Run sockeye salmon are described previously, with the additional consideration that Appendix 14 of the EA/RIR includes an examination of density-dependent effects for this stock.

The data used to assess the Kenai Late Run sockeye salmon stocks is considered to be complete and of high quality with estimates of stock-specific harvests, spawning escapements, the resulting recruits from those spawners, and age estimates for harvests and escapements. Historical smolt data also exists for this stock.

The complete spawner and recruitment data for this stock enabled the use of Ricker models and yield analyses to evaluate spawner-recruitment relationships and inform the bounds of the State spawning escapement goal.

Sibling model relationships for the dominant age classes inform ADF&G's pre-season estimates of total run size, with forecasted returns of minor age classes based on recent average returns.

#### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, age, sex, and other data (Appendix A1). However, because of the timeline necessary to produce this SAFE and implement the Federal salmon management in the Cook Inlet EEZ Area in 2024, this SAFE estimated inriver harvests (e.g., sportfish and personal use) for 2023 and estimated the proportion of the overall drift gillnet harvests that consisted of Kenai Late Run sockeye salmon in 2022 and 2023. These estimates were made using 5-year averages and will be updated in future years as data become available.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 1 stocks. In the absence of ADF&G's preseason forecast, the Federal assessment included the AR approach and buffers to account for scientific uncertainty in reducing the preseason OFL to the recommended ABC.

#### ***Stock size and recruitment trends***

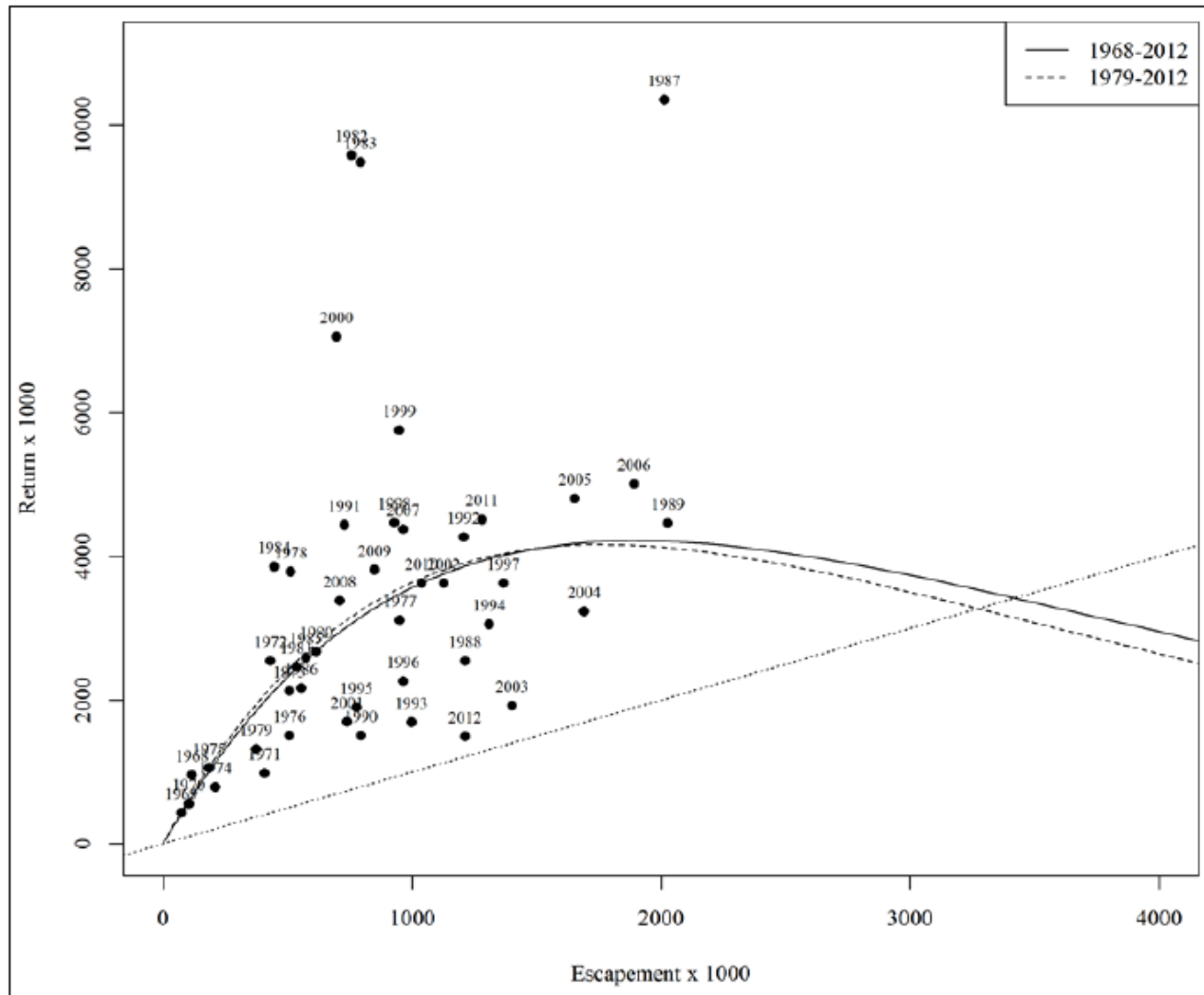
**Stock overview:** Based on historical estimates, the Kenai Late Run sockeye salmon is the dominant

stock of sockeye salmon harvested in the Cook Inlet EEZ drift gillnet fishery and the largest stock of any salmon species harvested in the Cook Inlet EEZ. During the most recent five year period (2019–2023), an average of ~71% of the entire drift gillnet sockeye salmon harvested in the EEZ is estimated to have been from the Kenai Late Run sockeye salmon stock (Appendix A1), with a range of drift gillnet EEZ harvests of ~50–418K during this period. Total run size during the 2019–2023 period ranged from 2.39–3.99M. As such, the recent EEZ harvest rate,  $F_{EEZ}$ , has been a minor portion of the overall run size (0.06–0.08) and well below the MFMT.

**Escapement goals:** The State of Alaska’s Kenai Late Run sockeye salmon spawning escapement goals (2012–2019: 700,000–1.2M; 2020–present: 750,000–1.3M) have been consistently achieved or exceeded during recent years (Appendix A1). From 2019–2023, an average of approximately 1.7 million sockeye salmon were estimated to have spawned in the Kenai River system with a range of ~1.3–2.2M). The current upper bound of the escapement goal has been exceeded several times during recent years. At present, there does not appear to be strong evidence for density dependent effects resulting from these large escapements (EA/RIR Appendix 14)—such as fewer returning adults or substantially reduced productivity (returns-per-spawner). This suggests that the overall watershed has some capacity to absorb more spawners than the current goal range. Returns from recent large escapements will provide additional information to better define density dependent effects in the coming years.

**Spawner-Recruitment and yield trends:** When examining data from the 1979–2012 brood years, spawner-recruitment analyses conducted by ADF&G suggest that approximately 1.2M spawners would result in maximum sustainable yield for this stock, with a range of 774,000–1.74M resulting in 90% of MSY. The ADF&G assessment of  $S_{MSY}$  (1.212M) was corroborated by an analysis available in Appendix 14 of the EA/RIR. The Kenai Late Run sockeye salmon has poorly defined density dependent characteristics (Figure 2), which also result in estimates of  $S_{MSY}$  that are fairly broad and variable across modeling methods. Possible reasons for poorly defined density dependence and the large range of escapements to result in  $S_{MSY}$  could include: (1) the paucity of large escapements during past years to parameterize spawner-recruitment models, combined with the dynamic nature of (2) harvests in other areas across years (e.g., Shedd et al. 2016); (3) the productive capacity for the Kenai River and ocean environment to spawn and rear sockeye salmon (i.e., time-varying productivity); and/or (4) inriver and marine survival trends across years.

**Figure 2.** From Hasbrouck et al. 2022, the most recent ADF&G stock assessment for Kenai Late Run sockeye salmon. Classic Ricker model fit to Kenai River late-run sockeye salmon spawner-recruit data from 1968–2012 (solid line) and 1979–2012 (dashed line).



### *Tier determination and resulting OFL and ABC determination for 2024*

NMFS’s SAFE Team recommends that Kenai River late run sockeye salmon be designated as a Tier 1 stock. This recommendation is based on the availability of a long history of escapement data believed to represent actual numbers of spawners (rather than an index), spawner-recruitment model estimates of  $S_{MSY}$  and yield analyses that inform escapement goals, stock-specific harvest data, age composition data for all stock components, and a sibling model-based preseason forecasts to estimate total run size for the coming year.

This SAFE uses the AR approach to set SDC and harvest specifications with a buffer of 0.478 (based on mean symmetric accuracy described previously) applied to preseason OFL (901.9K) to result in the ABC of 431K (Table 4). The ABC incorporates the achievement of the biologically-based spawning escapement goal, is reduced from a level that represents maximum potential yield for a single year, and is buffered to account for scientific uncertainty. The AR approach was necessary given that ADF&G’s preseason total run size forecast for this stock was not available in time for the SAFE. The mean symmetric accuracy buffer accounts for model uncertainty and is, based on model results and over the long term, to

be sufficiently precautionary to result in the target escapement goal being achieved. As described previously and in the FMP, the preseason values of OFL and ABC represent potential yield of this stock in the EEZ. In other words, these values represent what could be harvested for the coming fishing season in the EEZ while still meeting spawning escapement goals and estimated harvests outside of the EEZ. To be clear, the AR model will not always be correct in allowing sufficient escapement each year, but the scientific uncertainty applied to the OFL to result in the ABC should, according to the model, result in escapements being achieved over the long term.

For Kenai Late Run sockeye salmon, the actual harvest rate ( $F_{EEZ}$ ) and the preseason ABC represent different approaches to assessing this stock.  $F_{EEZ}$  is the actual harvest rate averaged across the most recent generation. As  $F_{EEZ}$  is much smaller than the maximum harvest rate for the most recent generation (MFMT), the ratio of these two rates describes a stock and associated ecosystem that, in recent years, has produced an abundance of harvestable yield. These two rates also define overfishing for the stock; which, given the comparatively small actual harvest rate, it would take many years of harvest in excess of the SSC-recommended single year spawning escapement target ( $S_{MSY}$ ) for overfishing to occur. In contrast, the preseason ABC is not multiyear in nature and only accounts for the achievement of  $S_{MSY}$  and estimated non-EEZ harvests for the coming fishing season. The NMFS SAFE Team acknowledges that this stock has a sustainable abundance of excess yield as defined by the FMP, while also acknowledging that not achieving the lower bound of the spawning escapement target during any single year has ramifications for future yield that the SAFE model did not consider. While a generational approach is appropriate for assessing overfishing and overfished determinations, and for showing past performance for this and other salmon stocks, the cumulative yield across a generation may not be an appropriate metric for setting acceptable biological catch for a semelparous species.

As previously mentioned and discussed in the EA/RIR, the lack of evidence for density dependence is an important consideration for assessing necessary escapements, the allowable harvests that will facilitate the achievement of those escapements, and the estimation of potential yield for the Kenai Late Run sockeye salmon stock. This stock has poorly defined density dependent characteristics, as available data indicates that spawning escapements in excess of the upper bound of the escapement goal have resulted in a substantial harvestable surplus of returning fish in future years. Currently, there is not a well-defined upper threshold of spawners that would result in reduced future yield. Because estimates of potential yield account for the achievement of a spawning escapement goal, with bounds that are not statistically well defined the estimates of potential yield in the EEZ presented in this assessment—while thought to be precise with respect to calculations described in the FMP—may be imprecise with respect to the information they provide for maximizing future yield and informing SDC and harvest specifications. In calculating potential yield for this stock for the purpose of defining SDC and harvest specifications, allowing for escapements that are greater than the 750K lower bound of the escapement goal would necessarily result in lower calculated estimates of potential yield in the EEZ and other fisheries for the coming fishing season; however, available evidence does not yet point to a precise range of spawning escapements that will maximize yield in future years or an upper range that will result in greatly reduced productivity for this stock. The Kenai River ecosystem components responsible for spawning, rearing, migration, and other other life stages have shown sufficient capacity to absorb spawners well in excess of the State escapement goal while also producing harvestable yield. While it is not necessarily rare for sockeye salmon stocks that are the focus of fisheries to have poorly defined density-dependent characteristics, it is rare for a major, exploited, sockeye salmon stock to exhibit only positive yields throughout its entire history. The large escapements to this system during recent years (Appendix A1) may help to define the capacity of the ecosystem to produce yield for this stock, but given the lack of existing data to define the upper range, it may be many years before such data are informative to management.

Given the considerations above, and the fact that recent estimates of harvest of this stock in the EEZ have been below the recommended 2024 preseason ABC during recent years under State management (i.e., would appear to provide for sufficient harvest opportunity in the EEZ), and that the Federal management framework largely preserves the State management framework on which the SAFE estimates are based, it is the recommendation of the SSC that the 2024 preseason ABC be set at 431K sockeye salmon for this



stock.

*Status and catch specifications for Tier 1 Kenai River Late Run sockeye salmon. For 2024, a buffer of 0.478 was used to reduce the preseason potential yield (“preseason OFL”) to the recommended single-year ABC of 431K recommended by the SSC. Values for MSST, MFMT, OFL, and ABC have been updated to reflect the recommendation by the SSC to use  $S_{MSY}$  (1,212,000 spawners) as the escapement target. Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (MSST), one half of the sum of the stock’s spawning escapement target summed across a generation, with actual cumulative escapement summed across a generation (Cum. Escap.). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (MFMT), the largest potential harvest rate in the EEZ while still achieving the spawning escapement target and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation ( $F_{EEZ}$ ). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (EEZ Cum. Harvest) with the postseason overfishing limit (OFL), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. Unless otherwise noted, values other than rates are in the thousands of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.*

| Year | MSST  | Cum. Escap. | MFMT | $F_{EEZ}$ | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL   | ABC |
|------|-------|-------------|------|-----------|-----------|-------------|------------------|-------|-----|
| 2019 | 3,030 | 5,935       | 0.09 | 0.08      | 3,542     | 252         | 1,189            |       |     |
| 2020 | 3,030 | 6,041       | 0.09 | 0.07      | 2,394     | 50          | 1,001            |       |     |
| 2021 | 3,030 | 7,163       | 0.16 | 0.06      | 3,992     | 256         | 857              |       |     |
| 2022 | 3,030 | 7,355       | 0.18 | 0.07      | 2,682     | 332         | 987              |       |     |
| 2023 | 3,030 | 8,561       | 0.23 | 0.08      | 3,882     | 418         | 1,308            |       |     |
| 2024 | 3,030 |             | 0.37 | 0.12      | 3,485     |             | 1,056            | 901.9 | 431 |

## **B. Kasilof River Sockeye Salmon**

Definition: The NMFS SAFE Team recommends to the SSC that the Federal stock definition for Kasilof River sockeye salmon (KASOCK) would include Cook Inlet EEZ Area harvests, spawning escapements, and associated spawning escapement goals corresponding to the State definition for this stock.

### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2023, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2023 is assessed in a manner similar to that recommended in this SAFE and the FMP.

The 2023 estimated total harvests, spawning escapements, and total run size of the Kasilof River Late Run sockeye salmon are still preliminary (Table 3, Appendix A2) and Federal SDC and harvest specifications were not in effect for the 2023 fishery. However, based on recent historical estimates of the proportions of the overall drift gillnet harvest that occur in the EEZ Area, it is estimated that ~57K fish from this stock were harvested in the EEZ Area. Because the estimated harvest rate in the EEZ over the most recent generation ( $F_{EEZ}$ ) of 0.03 was substantially lower than the estimated MFMT of 0.45, it is the NMFS SAFE Team's assessment that overfishing would not have occurred during 2023.

### ***Data and assessment methodology***

#### ***Existing data and assessment***

The ADF&G data and stock assessment sources used for the Federal assessment of Kasilof River sockeye salmon are described previously, with the additional consideration that the EA/RIR includes an examination of density-dependent effects for this stock.

The data used to assess the Kasilof River sockeye salmon stocks is considered to be complete and of high quality with estimates of stock-specific harvests, spawning escapements, the resulting recruits from those spawners, and age estimates for harvests and escapements. Smolt data also exists for the Kasilof River system.

The complete spawner and recruitment data for this stock enabled the use of Ricker models—to evaluate spawner-recruitment relationships—and yield analyses to inform the bounds of the State spawning escapement goal.

Sibling model and smolt-to-adult survival relationships for the dominant age classes inform ADF&G's pre-season estimates of total run size, with forecasted returns of minor age classes based on recent average returns.

#### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, age, sex, and other data (Appendix A2). However, because of the timeline necessary to produce this SAFE and implement the Federal salmon management in the Cook Inlet EEZ Area in 2024, this SAFE estimated the proportion of the overall drift gillnet harvests that consisted of Kasilof River sockeye salmon in 2022 and 2023. These estimates were made using 5-year averages and will be updated in future years as data become available.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 1 stocks. In the absence of ADF&G's preseason forecast, the Federal assessment included the AR approach and buffers to account for scientific uncertainty in reducing the preseason OFL to the recommended ABC

#### ***Stock size and recruitment trends***

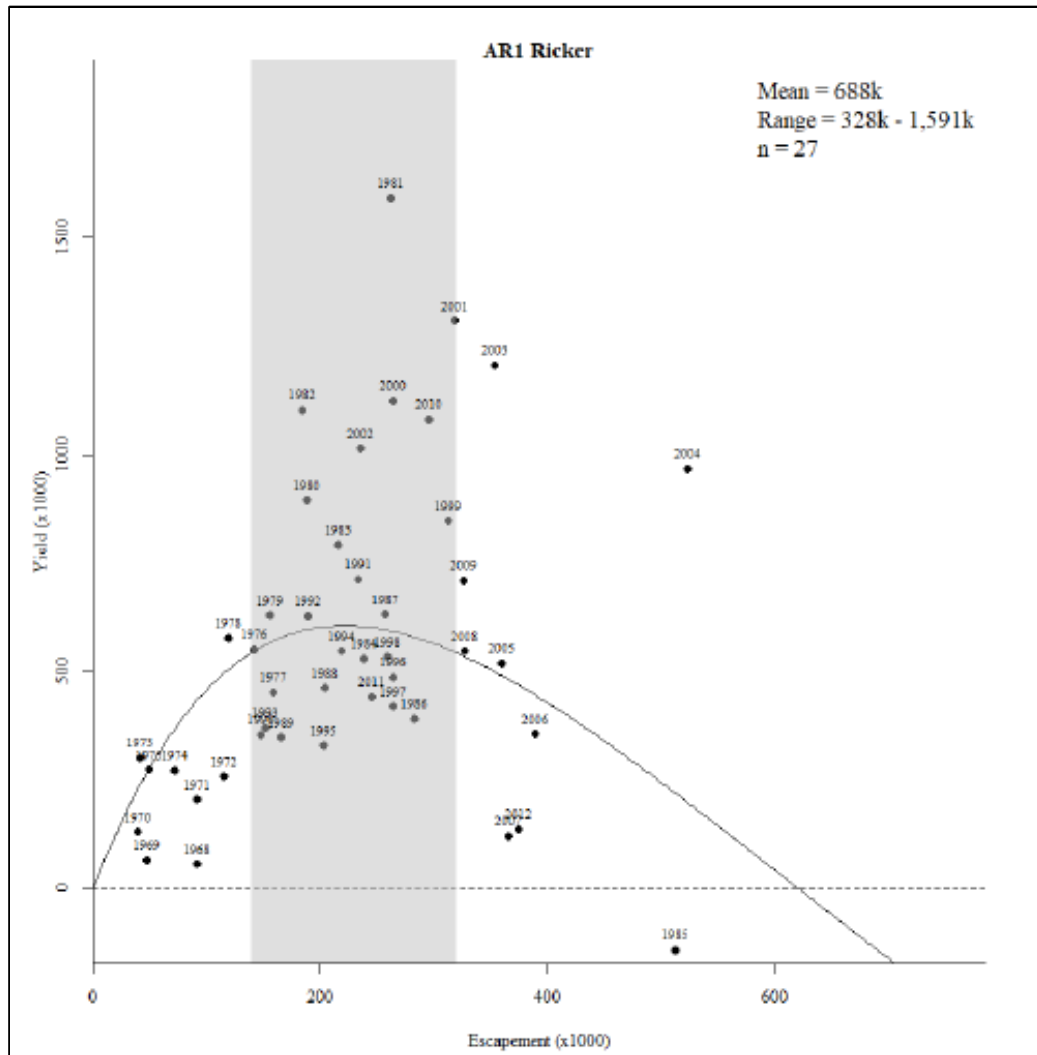
**Stock overview:** During the most recent five year period (2019–2023), an average of 7% of the drift

gillnet sockeye salmon harvest is estimated to have been from the Kasilof River sockeye salmon stock, with a range of harvests of this stock in the EEZ of 9,717–57,078 fish during this period. Total run size during the 2019–2023 period ranged from 613K–1.495M.

**Escapement goals:** The State’s Kasilof River sockeye salmon spawning escapement goals (2012–2019: 160,000–340,000; 2020–present: 140,000–320,000) have been consistently achieved or exceeded during recent years (Munro 2023; Appendix A2). From 2019–2023, an average of approximately 666,644 sockeye salmon were estimated to have spawned in the Kasilof River system (range of 373,416–968,148). The current upper bound of the escapement goal is estimated to have been exceeded several times during recent years.

**Spawner-Recruitment and yield trends:** When examining data from the 1968–2012 brood years, the best fit model from the spawner-recruitment analyses (AR1 Ricker model) conducted by ADF&G suggests that approximately 222,000 spawners would result in maximum sustainable yield for this stock ( $S_{MSY}$ ), with a range of 140,000–320,000 resulting in 90% of MSY. Similar to many sockeye salmon stocks with relatively high historical harvest rates, this stock has poorly defined density dependent spawner-recruitment characteristics at larger escapements, with only a single brood year (1985) having returns that were below replacement and no strong evidence for density dependent effects (Figure 3; EA/RIR Appendix 14). Returns from recent large escapements will provide additional information to better define density dependent effects and  $S_{MSY}$ .

**Figure 3.** From McKinley et al. 2020, the most recent ADF&G stock assessment for Kasilof River sockeye salmon. Autoregressive lag-1 (AR1) Ricker model of spawning escapements (x-axis) and realized yields (y-axis) from brood years 1968–2012. The line represents the modeled yield and the shaded area is the State’s recommended biological escapement goal (BEG) range of 140–320K spawners.



### ***Tier determination and resulting OFL and ABC determination for 2024***

NMFS’s SAFE Team recommends that Kasilof River sockeye salmon be designated as a Tier 1 stock. This recommendation is based on the availability of a long history of escapement data believed to represent actual numbers of spawners (rather than an index), spawner-recruitment model estimates of  $S_{MSY}$  and yield analyses that inform escapement goals, stock-specific harvest data, age composition data for all stock components, and a sibling model-based preseason forecasts to estimate total run size for the coming year.

This SAFE uses the AR approach to set SDC and harvest specifications with a buffer of 0.694 (based on mean symmetric accuracy described previously) applied to preseason OFL (541K) to result in the ABC of 375.5K (Table 4). The ABC incorporates the achievement of the biologically-based spawning escapement goal, is reduced from a level that represents maximum potential yield for a single year, and is buffered to account for scientific uncertainty in the SAFE’s 2024 total run size estimate (~1.125M) and

harvest outside of the EEZ. The AR approach was necessary given that ADF&G's pre-season total run size forecast for this stock was not available in time for SAFE. The mean symmetric accuracy buffer would be sufficiently precautionary to result in the target escapement goal being achieved over the long term. As described previously and in the FMP, the preseason values of OFL and ABC represent potential yield of this stock in the EEZ. In other words, these values represent what could be harvested in the EEZ while still meeting spawning escapement goals and estimated harvests outside of the EEZ.

Similar to the Kenai River Late Run sockeye salmon stock, and as discussed in EA/RIR, the Kasilof River sockeye salmon stock also has poorly defined density dependence, but perhaps not as extreme as for Kenai River sockeye salmon. Many of the same considerations discussed for Kenai River sockeye salmon are applicable to Kasilof River sockeye salmon, such as there being a lack of precision in defining the upper limits of escapement that would result in reduced yield and productivity for the stock, while acknowledging that estimates of potential yield are dependent upon the attributes of the spawner-recruitment relationship.

Given the considerations above, the fact that recent estimates of harvest of this stock in the EEZ have been below the 2024 preseason ABC during recent years under State management (i.e., the level of harvest should provide sufficient opportunity relative to recent years), and this management framework is largely preserved in the Federal management plan, it is the recommendation of the SSC that the 2024 preseason ABC be set at 375.5K.

*Status and catch specifications for Tier 1 Kasilof River sockeye salmon. For 2024, a buffer of 0.694 was used to reduce the preseason OFL (potential yield) to the SSC-recommended ABC of 375.5K. Values for MSST, MFMT, OFL, and ABC have been updated to reflect the recommendation by the SSC to use  $S_{MSY}$  (222,000 spawners) as the escapement target. Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (MSST), one half of the sum of the stock's spawning escapement target summed across a generation, with actual cumulative escapement summed across a generation (Cum. Escap.). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (MFMT), the largest potential harvest rate in the EEZ while still achieving the spawning escapement target and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation (F<sub>EEZ</sub>). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (EEZ Cum. Harvest) with the postseason overfishing limit (OFL), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. Unless otherwise noted, values other than rates are in the thousands of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.*

| Year | MSST | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL | ABC   |
|------|------|-------------|------|------------------|-----------|-------------|------------------|-----|-------|
| 2019 | 555  | 1,831       | 0.22 | 0.03             | 613       | 10          | 98               |     |       |
| 2020 | 555  | 1,902       | 0.25 | 0.03             | 845       | 6           | 86               |     |       |
| 2021 | 555  | 2,179       | 0.30 | 0.03             | 925       | 21          | 107              |     |       |
| 2022 | 555  | 2,788       | 0.39 | 0.03             | 1,495     | 45          | 113              |     |       |
| 2023 | 555  | 3,333       | 0.45 | 0.03             | 1,393     | 57          | 140              |     |       |
| 2024 | 555  |             | 0.55 | 0.12             | 1,125     |             | 130              | 541 | 375.5 |

### **C. Aggregate “Other” Sockeye Salmon, stock complex**

Definition: The NMFS SAFE Team recommends to the SSC that the Aggregate “Other” sockeye salmon stock complex (AOSOCK) be defined as all sockeye salmon harvested in the Cook Inlet EEZ Area, except for Kenai Late Run and Kasilof stocks of sockeye salmon. For the 2024 SAFE, the Federal stock definition take into account spawning escapements and associated spawning escapement goals for four index systems for the purposes of SDC, with all sockeye salmon that spawn in unmonitored systems throughout Upper Cook Inlet also being part of the stock definition.

#### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2023, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2023 is assessed in a manner similar to that recommended in this SAFE and the FMP.

The 2023 estimated total harvests, spawning escapements, and total run size of the Aggregate Other sockeye salmon are still preliminary (Table 3, Appendix A3-A4) and Federal SDC and harvest specifications were not in effect for the 2023 fishery. However, based on recent historical estimates of the proportions of the overall drift gillnet harvest that occur in the EEZ Area, it is estimated that ~183K fish from this stock were harvested in the EEZ Area, resulting in a cumulative harvest for the most recent generation of 457K sockeye salmon. Because this stock could be placed in either Tier 2 or Tier 3 based on the criteria established in the FMP, the NMFS SAFE Team provides the following fishery information relative to the OFL for Tier 2 and Tier 3 determinations:

Tier 2: Because the estimated harvest rate in the EEZ over the most recent generation ( $F_{EEZ}$ ) of 0.22 was lower than the estimated MFMT of 0.36, it is the NMFS SAFE Team’s assessment that overfishing would not have occurred during 2023.

Tier 3: Because the cumulative harvest for this stock across the most recent generation (457K) would be below a recommended 2023 OFL of 1.271M sockeye salmon, it is the NMFS SAFE Team’s assessment that overfishing would not have occurred during 2023.

#### ***Data and assessment methodology***

##### ***Existing data and assessment***

The ADF&G data and stock assessment sources used for the Federal assessment of Aggregate Other sockeye salmon are described previously with the McKinley et al. 2020 containing the most recent ADF&G stock assessment and escapement goal review. Recent escapement goals, estimates, and many additional references pertaining to assessments of this stock can be found in Munro 2023.

EEZ harvests estimates for Aggregate Other sockeye sockeye salmon stocks are considered to be complete, with the Federal definition for harvest of this stock in the EEZ generally meaning those sockeye salmon not attributable to either Kenai River Late Run or Kasilof River sockeye salmon stocks.

Spawning escapement data for stocks in the stock complex exists for several tributaries and drainages (described below).

Age data and genetics data and associated stock composition estimates exist for commercial harvests (e.g., Barclay and Chenoweth 2021<sup>28</sup> and Barclay 2020<sup>29</sup>). Age estimates also exist for several tributaries and drainages within the stock complex.

Historically, the total run size for the Susitna River drainage portion of the Aggregate stock complex has been forecasted using mean values of productivity (recruit per spawner) and estimates of spawner

<sup>28</sup> <https://www.adfg.alaska.gov/FedAidPDFs/RIR.5J.2021.04.pdf>

<sup>29</sup> <https://www.adfg.alaska.gov/FedAidPDFs/RIR.5J.2020.02.pdf>

abundance based mark-recapture studies (DeCino, 2022<sup>30</sup>). However, the 2023 preseason total run size forecast for the Susitna River and Fish Creek were the recent 5-year average estimated total run sizes to these systems.

### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, age, sex, and other data (Appendix A3-A4). However, because of the timeline necessary to produce this SAFE and implement the Federal salmon management in the Cook Inlet EEZ Area in 2024, this SAFE estimated: test fishery harvests in 2022 and 2023; sportfish harvests in 2022 and 2023; personal use harvests in 2023, subsistence and education harvests in 2022 and 2023; and the proportion of the overall drift gillnet harvests that consisted of Aggregate Other sockeye salmon in 2022 and 2023. Estimates for these values were made using 5-year averages and will be updated in future years as data become available.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 2 stocks (same as for Tier 1 stocks) and Tier 3 stocks.

For the Tier 2 approach, in the absence of ADF&G's preseason forecast, the Federal assessment included the AR approach and buffers to account for scientific uncertainty in reducing the preseason OFL to the recommended ABC.

The Tier 3 OFL was calculated by multiplying the largest estimated EEZ harvest in the timeseries (254K) by the average generation time (five years) with a range of buffers from 0.1 to 0.90 considered to result in the ABC. The values presented in the discussion and tables have subtracted the previous T-1 years of cumulative harvest from the OFL to result in a preseason OFL and resulting buffered ABCs. This was done to highlight what could be harvested in the coming fishing season before reaching the OFL and ABC.

### ***Stock size and recruitment trends***

**Stock overview:** During the most recent five year period (2019-2023), an average of 22% of the drift gillnet sockeye salmon harvest is estimated to have been from the Aggregate Other sockeye salmon stock, with a range of harvests from 13-183K from the EEZ during this period. The estimated total run size during the 2019-2023 period ranged from 279-604K, with the caveat, described below, that these estimates are likely missing substantial numbers of spawners due to unmonitored tributaries and drainages and incomplete escapement monitoring during some years.

**Escapement goals:** The Federal definition of this stock complex includes four indicator stocks for which the State has spawning escapement goals (goal ranges in parentheses):

Fish Creek (15,000–45,000); Chelatna Lake (20,000–45,000); Judd Lake (15,000–40,000); and Larson Lake (15,000–35,000).

The sum of the lower bounds of these escapement goals for the stock complex is 65,000, which, overall, has been consistently achieved during recent years (Munro 2023; Appendix A3). However, this 65,000 fish goal was achieved despite escapement monitoring (via weirs) not occurring on the Chelatna River since 2019 and the Judd Lake weir was not operational in 2023. From 2019–2023, an average of approximately 126K sockeye salmon were estimated to have spawned in the tributaries that have been monitored (range of 83–171K).

Escapement goals for some of the four indicator stocks in the stock complex have not been achieved during recent years (Munro 2023); however, none of these stocks are classified as “Stocks of Concern” by the State and, as all escapement goals in the stock complex were developed based on the “Percentile Approach” (Clark et al. 2014<sup>31</sup>), not achieving the lower bound of an escapement goal during some years is an expected product of that approach. For example, if the lower bound of an escapement goal is set at

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<sup>30</sup> <https://www.adfg.alaska.gov/static/applications/defnewsrelease/1355244301.pdf>

<sup>31</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS14-06.pdf>

the 15th percentile of historical escapements, then escapements below that level fall below the lower bound of the goal.

There are many other tributaries and drainages in Upper Cook Inlet where sockeye salmon are known to spawn, but which lack escapement goals and active monitoring. Notably, there was a State escapement goal on the Crescent River (west side of Cook Inlet), but this goal no longer exists and the escapement monitoring no longer occurs. Other unmonitored systems where sockeye are known to spawn in Upper Cook Inlet include<sup>32</sup>: Big River, McArthur River, Chilligan River, Coal Creek, Cottonwood Creek, Wasilla Creek, and Eagle River.

**Spawner-Recruitment and yield trends:** Spawner-recruitment trends for the four index systems in the stock complex were not presented in the most recent ADF&G stock assessment and escapement goal review (McKinley et al. 2020). The NMFS SAFE Team did not further investigate historical records of spawner-recruitment relationships for the index systems and a full accounting of such relationships is likely to be hampered by the number of systems that are unmonitored. Thus, while genetic analyses are being used by ADF&G to actively monitor the stock contributions of commercial harvests, the lack of escapement data makes it difficult to attribute these harvests to a given number of spawners in order to estimate the productivity (recruit per spawner) of the stock complex with a level of precision that can be used to inform spawning escapement goals or preseason forecasts. However, the Clark et al. 2014 description of the Percentile Approach for informing the bounds of spawning escapement goals provides a variety of model results that justify the choice of percentiles based on the likelihood of maximizing future yield. As such, considerations for maximizing yield are inherent with the approach.

#### ***Tier determination and resulting OFL and ABC determination for 2024***

Based on the criteria established in the FMP, the Aggregate Other sockeye salmon stock could be designated as Tier 2 or Tier 3. For tier determination and the resulting method used to calculate SDC and harvest specifications, the SSC may wish to consider the extent to which the stock complex has an estimate of escapement that it deems to be “reliable” and the extent to which the assigned tier level is precautionary with respect to protecting the stock from overfishing. The NMFS SAFE Team recommends a Tier 3 determination for the stock complex, but provides the SSC with the following for SDC, harvest specifications, and additional considerations for Tiers 2 and 3.

**Tier 2 (Not recommended):** Status and catch specifications for Aggregate Other sockeye salmon based on a Tier 2 determination with the AR approaches are provided in Tables 4. Based on a 2024 preseason total run size ARIMA forecast of 314K, and allowing for the achievement of the 65K spawning escapements across the four monitored systems, and harvests outside of the EEZ, would result in a preseason ACL of 169K “Other” sockeye salmon for the Cook Inlet EEZ Area. The AR approach uses a mean symmetric accuracy buffer of 0.736 to reduce the 2024 preseason OFL (230K) to the ABC of 169K.

As a Tier 2 stock,  $F_{EEZ}$  and MFMT are normalized to total run size estimates that sum estimated harvests in the EEZ with spawning escapement indices from the four index systems mentioned previously. The index systems have not been consistently monitored during recent years and they likely represent an unknown proportion of the overall spawning escapement to the larger Federally-defined stock complex. As discussed previously, without additional information to scale indices of spawning escapement to actual numbers of fish that spawned, the combination of known harvests (actual numbers of fish) with indices of escapement may result in overfishing occurring for the stock complex but an overfishing determination not being triggered. Alternatively, if there is incomplete monitoring of indicator stocks within the stock complex, then overfishing or overfished determinations could be made when they are not warranted for the larger stock complex.

As indicated by the previous discussion, the NMFS SAFE Team found it difficult to justify SDC and harvest specifications for Aggregate Other sockeye salmon that would be precautionary. While the NMFS SAFE Team does not recommend a Tier 2 designation for this stock; a buffered preseason ACL

<sup>32</sup> <https://www.adfg.alaska.gov/static/applications/dfnewsrelease/1355244301.pdf>



of 169K is within the range of harvests estimated to have occurred in the EEZ during the previous five years and using this value would be a direct comparison to the recommended harvest specifications for Kenai and Kasilof sockeye salmon stocks.

**Tier 3 (Recommended):** Based on the considerations provided above, the NMFS SAFE Team recommends to the SSC a Tier 3 determination for Aggregate Other sockeye salmon.

Status and catch specifications for Aggregate Other sockeye salmon based on a Tier 3 determination is provided in Tables 4 with a range of buffers from 0.1 to 0.9 to reduce the preseason OFL to ABC. Notably, the OFL (1.271M) for Tier 3 stocks is equal to the largest historical harvest in the timeseries (254K) multiplied by the generation time of the species (five years for sockeye salmon). The 2024 preseason OFL is reduced from the overall OFL by the cumulative harvest during the most recent T-1 (four) years in the generation time to result in the maximum harvest that could occur during the final year of the generation time without overfishing occurring.

For Tier 3 Aggregate Other sockeye salmon, the NMFS SAFE Team recommends that ABC be reduced by a 20% buffer from the preseason OFL. As the OFL is a summation of the maximum historical harvests across the generation time (five years) of sockeye salmon, a 20% buffer from the preseason OFL represents an ABC for a single year ( $\frac{1}{5} = 0.20$ ). As such, this buffer is based on the life history characteristics of sockeye salmon and a means of recognizing that maximum harvests are unlikely to occur every year for a generation. Based on the Tier 3 approach and a buffer of 20%, the resulting 2024 preseason ABC would be 177.5K sockeye salmon, which is the NMFS SAFE Team's recommended ABC for this stock.

Having comparable “apples-to-apples” ABCs across stocks of the same species is an important consideration when setting TAC in a mixed stock fishery for which managers are, currently, unable to distinguish between stocks inseason. As the 20% buffer for the Tier 3 “Other” sockeye salmon stock complex represents the equivalent of the maximum number of fish that could be harvested during a single season, it is also comparable with the single season ABCs recommended for the Tier 1 Kenai and Kasilof river stocks of sockeye salmon. For example, based on the Tier 3 approach, a buffer 60% to the OFL would result in the equivalent ABC of 3 years of maximum potential harvest on the stock, which, when summed across the two Tier 1 sockeye salmon stocks, would result a combined TAC that was an amalgamation of different harvest intensities on different stocks. By keeping this Tier 3 stock to a 20% buffer, managers can make the assumption that inseason stock proportions are relatively consistent with recent historical averages—likely a necessary assumption for inseason management—when assessing the achievement of stock-specific ACLs and the overall TAC.

While this stock can be declared overfished if cumulative spawning escapements are determined to be below MSST (similar to Tier 1 and 2), as total run size is not estimable in this tier, MFMT and  $F_{EEZ}$  are not calculable; overfishing would be assessed based on the OFL.

Status and catch specifications for Tier 2 Aggregate Other sockeye salmon (*Not recommended*). For 2024, a buffer of 0.736 was used to reduce the preseason OFL (230K) (based on the 2024 preseason forecast of 314K) to the single year ABC of 169K. Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (**MSST**), one half of the sum of the stock's spawning escapement target summed across a generation, with actual cumulative escapement summed across a generation (**Cum. Escap.**). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (**MFMT**), the largest potential harvest rate in the EEZ while still achieving the spawning escapement goal and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation (**F<sub>EEZ</sub>**). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (**EEZ Cum. Harvest**) with the postseason overfishing limit (**OFL**), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. Unless otherwise noted, values other than rates are in the thousands of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.

| Year | MSST | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL | ABC |
|------|------|-------------|------|------------------|-----------|-------------|------------------|-----|-----|
| 2019 | 178  | 822         | 0.28 | 0.13             | 604       | 73          | 404              |     |     |
| 2020 | 170  | 686         | 0.26 | 0.13             | 338       | 13          | 346              |     |     |
| 2021 | 163  | 736         | 0.27 | 0.13             | 538       | 54          | 352              |     |     |
| 2022 | 163  | 695         | 0.31 | 0.15             | 348       | 133         | 352              |     |     |
| 2023 | 163  | 631         | 0.36 | 0.22             | 270       | 183         | 457              |     |     |
| 2024 | 163  |             | 0.46 | 0.34             | 314       |             | 384              | 230 | 169 |

Status and catch specifications for Tier 3 Aggregate Other sockeye salmon (*Recommended by the SSC*). For 2024, the OFL (1.271M) has been reduced by the cumulative harvest for the previous T-1 (four) years of the generation (384K), resulting in a preseason OFL of 888K sockeye salmon that could be harvested before overfishing occurred. Buffers of 10%-90% are applied to the preseason OFL to result in preseason values of ABC. An SSC-recommended 20% buffer of the preseason OFL would result in a preseason ABC of 177.5K sockeye salmon. See the Tier 2 caption for a description of the fields and values.

| Year | MSST | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL   | ABC (10%) | ABC (90%) |
|------|------|-------------|------|------------------|-----------|-------------|------------------|-------|-----------|-----------|
| 2019 | 178  | 822         | NA   | NA               | NA        | 73          | 404              | 1,271 |           |           |
| 2020 | 170  | 686         | NA   | NA               | NA        | 13          | 346              | 1,271 |           |           |
| 2021 | 163  | 736         | NA   | NA               | NA        | 54          | 352              | 1,271 |           |           |
| 2022 | 163  | 695         | NA   | NA               | NA        | 133         | 352              | 1,271 |           |           |
| 2023 | 163  | 631         | NA   | NA               | NA        | 183         | 457              | 1,271 |           |           |
| 2024 | 163  |             | NA   | NA               | NA        |             | 384              | 888   | 88        | 799       |

## **D. Kenai River Late Run Large Chinook Salmon**

Definition: At the discretion of the SSC, the stock definition for Kenai Late Run Large Chinook salmon (KCHIN) would include Cook Inlet EEZ Area harvests, spawning escapements, and associated spawning escapement goals corresponding to the State definition for this stock. Due to the reasons discussed in this section, this stock definition is not recommended by the NMFS SAFE Team and is mutually exclusive with a stock definition and associated tier for the Cook Inlet-wide Aggregate Chinook salmon stock (ACHIN) described in the following section, which is the preferred option recommended by the NMFS SAFE Team.

### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2023, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2023 is assessed in a manner similar to that recommended in this SAFE and the FMP.

The 2023 estimated total harvests, spawning escapements, and total run size of the Kenai Late Run Large Chinook salmon are still preliminary (Table 3 Appendix A5) and Federal SDC and harvest specifications were not in effect for the 2023 fishery. However, based on recent historical estimates of the proportions of the overall drift gillnet harvest that occur in the EEZ Area, it is estimated (but without direct evidence) that ~21 fish from this stock were harvested in the EEZ Area. Because the estimated harvest rate in the EEZ over the most recent generation ( $F_{EEZ}$ ) of 0.003 was substantially lower than the estimated MFMT of 0.06, it is the NMFS SAFE Team's assessment that overfishing would not have occurred during 2023.

### ***Data and assessment methodology***

#### ***Existing data and assessments***

The ADF&G data and stock assessment sources used for the Federal assessment of Kenai River Late Run Chinook salmon are described previously.

The data used to assess the Kenai River Late Run Chinook salmon stocks are considered to be complete and of relatively high quality with estimates of stock-specific harvests, spawning escapements, the resulting recruits from those spawners, and age estimates for harvests and escapements

The complete spawner and recruitment data for this stock enabled the use of Ricker models—to evaluate spawner-recruitment relationships—and yield analyses to inform the bounds of the State's spawning escapement goal.

Sibling model relationships for the dominant age classes inform ADF&G's pre-season estimates of total run size, with forecasted returns of minor age classes based on recent average returns.

#### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, age, sex, and other data. However, importantly, the NMFS SAFE Team finds that previous estimates of EEZ harvests of this stock contained in the EA/RIR may not be well supported. As indicated in the footnote of Table B3 of Reimer and Fleishman (2017), the number of fish from this stock harvested in the Upper Cook Inlet drift gillnet fishery “Assumes 60% of commercial driftnet harvest is of Kenai-origin fish; uses ESSN harvest fraction of large fish.” With ‘ESSN’ in the attribution meaning fish harvested in the State's Eastside set gillnet fishery. In turn, the number of fish from the Kenai Late Run Large Chinook salmon stock that have been harvested in the EEZ portion of the drift gillnet fishery were estimated from the statistical area and locale code combinations described previously and available in the EA/RIR. At the time of publication of this SAFE, the NMFS SAFE Team has not found evidence that EEZ drift gillnet harvests proportions are based on genetic contribution estimates. Total Chinook salmon harvests by the drift gillnet fleet are low (hundreds of fish), and previous estimates could be interpreted as a precautionary maximal attribution of Kenai Late Run Large Chinook.

The NMFS SAFE Team welcomes feedback on assumptions made and methods used.

Because of the timeline necessary to produce this SAFE and implement the Federal salmon management in the Cook Inlet EEZ Area in 2024 and ADF&G estimates were not yet available, this SAFE estimates the proportion of the overall drift gillnet harvests that consisted of Kenai River Late Run Large Chinook salmon in 2023, with the estimates in the SAFE using the proportion (~40%) that was estimated for 2022. This and other quantities have been flagged for additional evaluation. The NMFS SAFE Team welcomes feedback on assumptions made and methods used.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 1 stocks. In the absence of ADF&G's preseason forecast, the Federal assessment included the AR approach and buffers to account for scientific uncertainty in reducing the preseason OFL to the recommended ABC and a buffer to reduce potential yield in the EEZ for the coming year to the recommended ACL.

### ***Stock size and recruitment trends***

**Stock overview:** During the most recent five year period (2019–2023) an average of 45% of the Kenai Late Run Large Chinook salmon drift gillnet harvest is estimated to have occurred in the EEZ, with a range of 21–32 Chinook salmon harvested in the EEZ from this stock (Appendix A5). Despite historically low overall harvest rates across all fisheries during recent years (including the EEZ), spawning escapement and total run sizes have been at some of the lowest levels in the available timeseries. Total run size during the 2019–2023 period ranged from 12.2–14.7K Kenai River Late Run Large Chinook salmon.

**Escapement goals:** The State's Kenai River Late Run Chinook salmon large fish (>75 cm mid-eye to fork length) spawning escapement goals (2017–2019: 13,500–27,000; 2020–present: 15,000–30,000) has not been achieved during recent years (Munro 2023; Appendix A5). From 2019–2023, an average of approximately 12.8K Chinook salmon from this stock were estimated to have spawned in the Kenai River system, with a range of 11,6–14.5K.

As first implemented during 2017, the large fish goal was primarily justified in order to match the component of Chinook enumerated via sonar and, secondarily, to ensure that sufficient numbers of female Chinook salmon spawn (which tend to be larger) to maintain baseline levels of egg deposition and potential recruitment (Reimer and Fleishman 2017).

**Spawner-Recruitment and yield trends:** When examining data from 1985-2015 years, results from the state-space spawner-recruitment (Ricker) analyses (Reimer and Fleishman 2017) conducted by ADF&G suggest that approximately 18,477 spawners would result in maximum sustainable yield for the Kenai River Late Run Large Chinook salmon stock, with a range of 11,731–31,832 equating to the 0.05–0.95 percentiles of the posterior distribution. After controlling for density dependent effects, the ADF&G analyses showed evidence for time varying productivity, with declining stock productivity after 1999, perhaps due to declining marine survival.

### ***Tier determination and resulting OFL and ABC determination for 2024***

Based on the criteria set in the FMP, Kenai River Late Run Chinook salmon would appropriately be assigned to Tier 1 based on available data. Information available for this stock includes a spawning escapement goal and a variety of stock-specific data (spawners, harvests, age estimates) that is of high quality and complete across a long timeseries. These data enable the parameterization of spawner-recruitment models, used to inform the establishment and monitoring of escapement goals, and sibling return data, used to inform pre-season forecasts. However, it is the recommendation of the NMFS SAFE Team that there is not sufficient evidence to support historical estimates of EEZ harvest of this stock.

While the NMFS SAFE Team assumes that there is high uncertainty regarding the historical estimates of EEZ harvests, this SAFE uses the AR approach to evaluate SDC and harvest specifications, with a buffer

of 0.47 applied to the OFL; however, the preseason OFL and associated ABC were both zero due to the projected run size (14.7K) being less than the lower bound of the escapement goal of 15K (Table 4). For the years 2019–2023, the postseason estimated EEZ harvest rate of this stock (not including the pre-season model estimate) of 0.003 has been consistently below the MFMT, but the postseason rates could approach parity if the spawning escapement goal is not achieved in 2024.

For this and other stocks, the NMFS SAFE Team requests guidance from the SSC on the threshold of harvests and level of uncertainty for defining a stock and assignment to tiers. As noted previously, relatively few Chinook salmon from this stock are estimated to be harvested in the EEZ and, in recent years, there has been a very low harvest rate of this stock in the EEZ as a proportion of the run size ( $F_{EEZ}$ ), and a low overall number of Chinook salmon of any stock estimated to be harvested in the EEZ. Moreover, the NMFS SAFE Team has not found evidence that this stock is harvested in the EEZ. Thus, while a Tier 1 determination for this stock could be justified based on available information about the stock beyond the EEZ, the SSC may recommend that the lack of evidence for EEZ harvests warrants a Tier 3 determination for this stock.

Should the SSC recommended that the quality of the Federal harvest estimates in the EEZ is not sufficiently to meet a threshold for Tier 1 determination as a single stock for Federal management purposes, then it is recommended by the NMFS SAFE Team that this stock be included in a Tier 3 aggregation of all Chinook salmon harvested in the EEZ. The following section (Aggregate Chinook Salmon, ACHIN) provides NMFS SAFE Team recommendations for SDC and harvest specifications for a Cook Inlet-wide stock complex definition with inclusion of Kenai Late Run Chinook salmon to assess SDC.

The NMFS SAFE Team recommends that future research include obtaining genetic stock composition estimates of Chinook salmon harvested in the EEZ fishery.

*Status and catch specifications for Tier 1 Kenai River Late Run large Chinook salmon (Not recommended by the SSC). Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (MSST), one half of the sum of the stock's spawning escapement goal summed across a generation, with actual cumulative escapement summed across a generation (Cum. Escap.). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (MFMT), the largest potential harvest rate in the EEZ while still achieving the spawning escapement goal and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation ( $F_{EEZ}$ ). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (EEZ Cum. Harvest) with the postseason overfishing limit (OFL), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. The columns MSST, Cum. Escap., and Total Run are in thousands of fish while EEZ Harvest, EEZ Cum. Harvest, OFL, and ABC are in actual numbers of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.*

| Year | MSST | Cum. Escap. | MFMT | $F_{EEZ}$ | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL | ABC |
|------|------|-------------|------|-----------|-----------|-------------|------------------|-----|-----|
| 2019 | 40.5 | 93          | 0.13 | 0.003     | 13.3      | 29          | 347              |     |     |
| 2020 | 41.3 | 92.9        | 0.13 | 0.003     | 12.2      | 29          | 344              |     |     |
| 2021 | 42.0 | 88.2        | 0.11 | 0.003     | 12.7      | 25          | 329              |     |     |
| 2022 | 42.7 | 87.5        | 0.11 | 0.003     | 14.1      | 32          | 259              |     |     |
| 2023 | 43.5 | 81.5        | 0.05 | 0.003     | 14.7      | 21          | 239              |     |     |
| 2024 | 44.2 |             | 0.00 | 0         | 14.7      |             | 136              | 0   | 0   |

## ***E. Aggregate Other Chinook Salmon or Aggregate Chinook Salmon, stock complexes***

Definition: The NMFS SAFE Team recommends to the SSC that this Federal stock be defined as either:

Option 1 (Not recommended, Aochin), a stock complex that does not include harvests or escapements of Kenai River Late Run Large Chinook salmon, but does include all other Chinook salmon harvested in the Cook Inlet EEZ Area. This option could be selected in addition to a definition and tier determination for Kenai Late Run Large Chinook salmon. However, the NMFS SAFE Team does not recommend this option because the assessment would involve unverifiable uncertainty in historical estimates (similar to Kenai River Late Run Large Chinook salmon) and there are no known indicator stocks. Other than to provide a 2023 estimated EEZ harvest of ~30 fish, this option is not considered further in the 2024 SAFE. The SSC could recommend including this stock definition for a future SAFE;

or,

Option 2 (Recommended by the SSC, Achin), a stock complex that includes all Chinook salmon harvested in the Cook Inlet EEZ Area (including Kenai River Late Run Chinook), with considerations for an overfished determination based on spawning escapement and the associated MSST threshold for Kenai Late Run Large Chinook salmon. This option is mutually exclusive with consideration of a stock definition and associated tier for the aforementioned Kenai Late Run Large Chinook (Kchin) salmon stock.

### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ Area was not in place in 2023, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2023 is assessed in a manner similar to that in this SAFE and the FMP.

The 2023 estimated total harvests, spawning escapements, and total run size of the Aggregate Chinook salmon are still preliminary (Table 3; Appendix A6) and Federal SDC and harvest specifications were not in effect for the 2023 fishery. However, based on recent historical estimates of the proportions of the overall drift gillnet harvest that occur in the EEZ Area, it is estimated that 51 fish from this stock were harvested in the EEZ Area, resulting in a cumulative harvest across the most recent generation of 635 Aggregate Chinook salmon harvested. Because the total harvest for this stock would be below a recommended 2023 OFL of 3,072 Chinook salmon it is NMFS's assessment that overfishing would not have occurred during 2023.

### ***Data and assessment methodology***

#### ***Existing data and assessments***

The sources of ADF&G data and stock assessment used for the Federal assessment of these recommended Chinook salmon stocks are described previously.

The data used to assess the Chinook salmon stocks in this section include estimates of harvests in the Cook Inlet drift gillnet fishery attributed to Kenai Late Run Chinook and all other Chinook, annual spawning escapements and associated escapement goals for 14 stocks that represent drainages and tributaries—as well as differential run timing for some tributaries (Munro 2023), and spawner-recruitment data for Kenai River, Kasilof, Deshka River, Eastside Susitna River, Talkeetna River, and Yentna River stocks.

Spawner-recruitment (Ricker) models were used to inform the bounds of the State spawning escapement goals for the stocks with available spawner, recruitment, and age data. The Percentile Approach was used for escapement goal development for nine stocks and a Risk analysis was used for escapement goal development for a single stock. Additional details of these analyses are provided in McKinley et al. 2020,

Reimer and DeCovich 2020<sup>33</sup>, and Reimer and Fleishman 2017.

ADF&G provides preseason forecasts of total run size for Kenai River Early and Late Runs, and Deshka River Chinook salmon stocks. Sibling model relationships for the dominant age classes inform ADF&G's pre-season estimates of total run size, with forecasted returns of minor age classes based on recent average returns.

For UCI, there are four Chinook salmon "Stocks of Concern" listed by the State (Munro 2023), all of which are in the far northern portion of Cook Inlet: Chuitna River, Theodore River, Alexander Creek, and Eastside Susitna River.

### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, age, sex, and other data. However, as stated for the Kenai River Late Run Large Chinook salmon stock, because of the timeline necessary to produce this SAFE and implement the Federal salmon management in the Cook Inlet EEZ Area in 2024 and ADF&G estimates were not yet available, this SAFE estimates the proportion of the overall drift gillnet harvests that consisted of Kenai River Late Run Large Chinook salmon in 2023, with the estimates in the SAFE using the proportion (~40%) that was estimated for 2022. This and other quantities have been flagged for additional evaluation. The NMFS SAFE Team welcomes feedback on assumptions made and methods used.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 3 stocks.

### ***Stock size and recruitment trends***

#### **Stock overview:**

During the most recent five year period (2019–2023) an average of 43% of the ACHIN (all UCI Chinook salmon) drift gillnet harvest is estimated to have occurred in the EEZ, with a range of 51–87 Chinook salmon harvested in the EEZ from this stock. Despite historically low overall harvests across all fisheries during recent years (including the EEZ), all Upper Cook Inlet Chinook salmon stocks have been at some of the lowest levels of abundance in the available timeseries.

#### **Escapement goals:**

Escapement goals pertinent to the ACHIN stock complex could include all UCI Chinook salmon spawning escapement goals. However, as Susitna River stocks of Chinook salmon are not thought to be harvested in significant quantities in the EEZ drift gillnet fishery (Reimer and DeCovich 2020), the only remaining substantial spawning escapement goal that might be pertinent to this ACHIN stock complex is the Kenai River Late Run Large Chinook salmon stock. For the ACHIN stock complex, despite large uncertainty in historical EEZ harvest estimates, the SSC could recommend including the Kenai River Late Run Large Chinook salmon escapement goal (and associated escapements, as described in the previous section) to assess against MSST (overfished determination) using the Tier 3 approach; with reevaluation for future SAFE reports based on updated information.

#### **Spawner-Recruitment and yield trends:**

It is the recommendation of the NMFS SAFE Team that, since there is not currently a good basis for knowing which stocks of Chinook salmon are harvested in the Cook Inlet EEZ, there are no applicable stocks to consider for spawner-recruitment and yield trends for the ACHIN stock complex. The spawner-recruitment and yield estimates for Kenai Late Run Large Chinook salmon stock described in the previous section might be applicable to the Cook Inlet EEZ fishery, but this is unknown without genetic stock contribution information for the EEZ fishery.

All Upper Cook Inlet Chinook salmon stocks for which recruitment data are available are in a period of

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<sup>33</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS20-01.pdf>

low productivity, recruitment, and abundance that began in the 2000s, with some of the lowest adult abundances observed since the 1970s. The extent of historical harvests of Upper Cook Inlet Chinook salmon stocks in the EEZ is unknown.

As an aggregate stock complex, several of the 14 State Chinook salmon spawning escapement goals in Upper Cook Inlet are monitored and enumerated with a single aerial, foot survey, and other methods each year that may represent indices of escapements rather than actual numbers of spawners. As such, it is the recommendation of the NMFS SAFE Team that there is not a reliable estimate of spawners for the Federal ACHIN stock complexes as a whole and, as a result, that the overall run size (harvest + escapement) of the stock complexes is not known. However, spawning escapement estimates and indices, and available aggregate harvest data, all indicate that the stock complexes have declined substantially in size concomitant with the stocks defined by the State for which spawner-recruitment estimates are available.

### ***Tier determination and resulting OFL and ABC determination for 2024***

The NMFS SAFE Team recommends to the SSC that the Aggregate Chinook salmon stock complex be given a Tier 3 determination. As a stock complex with many different drainages and tributaries for which escapement estimates are likely indices of spawners rather than an actual number of fish, these estimates are unlikely to represent “reliable” estimate of spawners or total run size that can be used to calculate MFMT and  $F_{EEZ}$  for the overall stock complex.

The precision of Chinook salmon harvest rate estimates in the Cook Inlet EEZ is unknown as the drift gillnet fishery is not thought to have been sampled to obtain genetic stock composition estimates. In addition to the issues raised in the previous section regarding EEZ harvest estimates of Kenai Late Run Chinook, as discussed by Reimer and DeCovich (2020) in their assessment of Chinook salmon stocks of the Susitna River drainage, there is also not good data to support EEZ harvest estimates of other major UCI Chinook salmon stocks: “A drift gillnet fishery targeting sockeye salmon (*O. nerka*) in Cook Inlet also harvests some Chinook salmon (1966–2016 annual average was 954 Chinook salmon; Shields and Frothingham 2018<sup>34</sup>); however, no stock composition information is available for Chinook salmon harvested in this fishery. We assume it is not significant for the purpose of this study because the fishery largely takes place after Susitna River Chinook salmon have migrated through the area.”

For Tier 3 Aggregate Chinook salmon, the SSC recommends that the preseason OFL (2,697 fish) be reduced by a 0.10% buffer to result in the ABC of 270 fish.

While this stock can be declared overfished if cumulative spawning escapements are determined to be below MSST (similar to Tier 1 and 2) for the Kenai River Late Run Large Chinook salmon indicator stock, as total run size is not estimable in this tier, MFMT and  $F_{EEZ}$  are not calculable; overfishing would be assessed based on the OFL.

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<sup>34</sup> <http://www.adfg.alaska.gov/FedAidPDFs/FMR18-10.pdf>



Status and catch specifications for Tier 3 Aggregate Chinook salmon (ACHIN; recommended). For 2024, the OFL (3,072 fish) has been reduced by the cumulative harvest for the previous T-1 years five years of the generation (375 fish), resulting in a preseason OFL of 2,697 Chinook salmon that could be harvested before overfishing occurred. Buffers of 10% and 90% are applied to the preseason OFL to result in recommended preseason values of ABC. An SSC-recommended 0.10% buffer of the preseason OFL would result in an preseason ABC of 270 Chinook salmon. Description applicable to all stocks:

An overfished determination is assessed postseason by comparing the minimum stock size threshold (MSST), one half of the sum of the stock's spawning escapement goal summed across a generation, with actual cumulative escapement summed across a generation (**Cum. Escap.**). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (MFMT), the largest potential harvest rate in the EEZ while still achieving the spawning escapement goal and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation ( $F_{EEZ}$ ). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (**EEZ Cum. Harvest**) with the postseason overfishing limit (OFL), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. The columns MSST, Cum. Escap., and Total Run are in thousands of fish while EEZ Harvest, EEZ Cum. Harvest, OFL, and ABC are in actual numbers of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.

| Year | MSST | Cum. Escap. | MFMT | $F_{EEZ}$ | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL   | ABC (10%) | ABC (90%) |
|------|------|-------------|------|-----------|-----------|-------------|------------------|-------|-----------|-----------|
| 2019 | 40.5 | 93          | NA   | NA        | NA        | 81          | 934              | 3,072 |           |           |
| 2020 | 41.3 | 92.9        | NA   | NA        | NA        | 76          | 879              | 3,072 |           |           |
| 2021 | 42.0 | 88.2        | NA   | NA        | NA        | 87          | 810              | 3,072 |           |           |
| 2022 | 42.7 | 87.5        | NA   | NA        | NA        | 80          | 659              | 3,072 |           |           |
| 2023 | 43.5 | 81.5        | NA   | NA        | NA        | 51          | 635              | 3,072 |           |           |
| 2024 | 44.2 |             | NA   | NA        | NA        |             | 375              | 2,697 | 270       | 2,427     |

## ***F. Aggregate Coho Salmon, stock complex***

Definition: The NMFS SAFE Team recommends to the SSC that this aggregate coho salmon stock complex (COHO) be defined as the coho salmon harvested in the Cook Inlet EEZ Area, with two indicator stocks (Deshka River and Little Susitna River) considered to be representative of spawning escapements for the larger stock complex. As discussed below, the relevance of spawning escapement goals and associated annual spawning escapements of the indicator stocks and SDC and harvest specifications is dependent upon the tier recommended by the SSC. In addition to the indicator stocks, the overall stock definition would also necessarily include all other drainages and tributaries containing spawning and rearing coho salmon in Upper Cook Inlet.

### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2023, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2023 is assessed in a manner similar to that recommended in this SAFE and the FMP.

The 2023 estimated total harvests, spawning escapements, and total run size of the Aggregate coho salmon are still preliminary (Table 3, Appendix A7-A8) and Federal SDC and harvest specifications were not in effect for the 2023 fishery. However, based on recent historical estimates of the proportions of the overall drift gillnet harvest that occur in the EEZ Area, it is estimated that ~25K fish from this stock were harvested in the EEZ Area, resulting in a cumulative harvest for the most recent generation of 83K coho salmon. Because this stock could be placed in either Tier 2 or Tier 3 based on the criteria established in the FMP, the NMFS SAFE Team provides the following fishery information relative to the OFL for Tier 2 and Tier 3 determinations:

Tier 2: Because the estimated harvest rate in the EEZ over the most recent generation ( $F_{EEZ}$ ) of 0.09 was higher than the estimated MFMT of 0.05, it is the NMFS SAFE Team's assessment that overfishing would have occurred during 2023; however, this is the result of missing spawning escapement data, which contributes to the Tier 2 method not being a recommended approach for the 2024 SAFE.

Tier 3: Because the cumulative harvest for this stock across the most recent generation (82K) would be below a recommended 2023 OFL of 439K coho salmon, it is the NMFS SAFE Team's assessment that overfishing would not have occurred during 2023.

### ***Data and assessment methodology***

#### ***Existing data and assessment***

The ADF&G data and stock assessment sources used for the Federal assessment of aggregate coho salmon are described previously with the most recent ADF&G stock assessment escapement goal review in McKinley et al. 2020. Recent escapement goals, estimates, and many additional references pertaining to assessments of this stock can be found in Munro 2023.

EEZ harvest estimates for Aggregate coho salmon stocks are considered to be complete, with the Federal definition for harvest of this stock in the EEZ generally meaning all coho salmon estimated to be harvested in the EEZ.

Spawning escapement data for stocks in the stock complex exists for several tributaries and drainages (described below).

Genetics data and associated stock composition estimates exist for commercial harvests during select years (e.g., 2013–2016)<sup>35</sup>.

ADF&G's pre-season commercial harvest estimates for UCI-wide coho salmon based on recent average

<sup>35</sup> <https://www.adfg.alaska.gov/FedAidPDFs/RIR.5J.2019.06.pdf>

harvests.

### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, age, sex, and other data (Appendix A7–A8). However, because of the timeline necessary to produce this SAFE and implement the Federal salmon management in the Cook Inlet EEZ Area in 2024, this SAFE estimated: sportfish harvests in 2022 and 2023 and personal use harvests in 2023. Estimates for these values were made using 5-year averages and will be updated in future years as data become available.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 2 stocks (same as for Tier 1 stocks) and Tier 3 stocks.

For the Tier 2 approach, in the absence of ADF&G’s preseason forecast, the Federal assessment included the AR approach and buffers to account for scientific uncertainty in reducing the preseason OFL to the recommended ABC.

The Tier 3 OFL was calculated by multiplying the largest estimated EEZ harvest in the timeseries by the average generation time (four years for coho salmon) with a range of buffers from 0.1 to 0.90 considered to result in the ABC. The values presented in the discussion and tables have subtracted the previous T-1 (three) years of cumulative harvest from the OFL to result in a preseason OFL and resulting buffered ABCs. This was done to highlight what could be harvested in the coming fishing season before reaching the OFL and ABC.

### ***Stock size and recruitment trends***

**Stock overview:** During the most recent five year period (2019–2023), an average of 38% of the drift gillnet coho salmon harvest is estimated to have occurred in the EEZ, with a range of harvests from 1.6–39K coho salmon harvested in the EEZ during period (Appendix A7–A8). Total run size during the 2019–2023 period ranged from 204–288K; however, these estimates are considered to be incomplete due to the escapement indices representing an unknown proportion of overall spawning escapement and incomplete/missing spawning escapement estimates.

**Escapement goals:** The Federal definition of this stock complex includes 2 indicator stocks for which the State has spawning escapement goals (goal ranges in parentheses):

Deshka River (10,200–24,100), and Little Susitna River (9,200–17,700).

The current sum of the lower bounds of these escapement goals for the stock complex is 19,300; which, overall, has not been consistently achieved during recent years (Appendix A; Munro 2023) due to incomplete weir data. From 2019–2023, an average of approximately 9.4K coho salmon were estimated to have spawned in the tributaries that have been monitored (range of 5.0–14.7K).

Individual escapement goals for the two indicator stocks in the stock complex have not been achieved during recent years (Munro 2023); however, none of these stocks are classified as “Stocks of Concern” by the State (Munro 2023) and, as all escapement goals in the stock complex were developed based on the “Percentile Approach” (Clark et al. 2014<sup>36</sup>); not achieving the lower bound of an escapement goal during some years is an expected product of this approach.

In addition to the two indicator stocks, there are many other drainages and tributaries in Upper Cook Inlet where coho salmon are known to spawn, but which lack escapement goals and escapement monitoring.

**Spawner-Recruitment and yield trends:** The NMFS SAFE Team did not further investigate historical records of spawner-recruitment relationships for the index systems and a full accounting of such relationships is likely to be hampered by the number of systems that are unmonitored and other data gaps. For example, while genetic analyses have been used by ADF&G to estimate the stock contributions

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<sup>36</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMS14-06.pdf>

of commercial harvests during some past years, the NMFS SAFE Team determined that the lack of annual estimates, combined by incomplete escapement data, makes it difficult to attribute these harvests to a given number of spawners in order to estimate the productivity (recruit per spawner) of the overall stock complex.

### ***Tier determination and resulting OFL and ABC determination for 2024***

Based on the criteria established in the FMP, the Aggregate coho salmon stock could be designated as Tier 2 or Tier 3. For tier determination, the SSC may wish to consider the extent to which the stock complex has an estimate of escapement that it deems to be “reliable” and the extent to which the assigned tier level is precautionary with respect to ensuring that overfishing does not occur while balancing harvests.

The NMFS SAFE Team found that incomplete spawning escapement data for the indicator systems prevented using these data for calculating SDC for both Tier 2 and Tier 3 approaches and, as a result, also made it difficult to set objective buffers that balance harvest and conservation. For example, data gaps prevented verifiable estimation of MFMT or MSST and therefore data were lacking to compare these SDC with, respectively,  $F_{EEZ}$  and cumulative spawning escapements across a generation for the Tier 2 approach. On the one hand, given the absence of data to evaluate SDC, greater precaution may be warranted in setting the buffer to set harvest specifications for fisheries management in the EEZ. On the other hand, neither of the indicator stocks has been designated a “Stock of Concern” by the State and the State drift gillnet fishery in the Central District was prosecuted through mid-August as recently as 2023.

The retrospective analysis in the EA/RIR did indicate coho were subject to overfishing in 2013. One or both indicator stocks did not achieve at least the lower bound of the escapement goal in 2016, 2018, and 2019. As noted by ADF&G fishery management personnel, reductions in drift gillnet fishing effort in the last several years may have contributed to improved coho salmon escapement and catches in Northern District fisheries.<sup>37, 38</sup>

The NMFS SAFE Team recommends to the SSC a Tier 3 determination for the Aggregate Coho stock complex during 2024 due to the incomplete spawning escapement data for the two indicator systems and the associated inability to verify estimates of total run size estimates that are necessary for obtaining valid SDC estimates under Tier 2. However, the following SDC, harvest specifications, and additional considerations are provided for assessment approaches using Tiers 2 and 3.

Tier 2 (Not recommended): Status and catch specifications for Aggregate coho sockeye salmon based on a Tier 2 determination, with the AR approaches are provided in Tables 4 Based on the SAFE’s preseason ARIMA forecast of 253K and accounting for likely State harvests and the achievement of the 19.3K spawning escapement goals on the two monitored indicator stocks, the AR approach uses a mean symmetric accuracy buffer of 0.15 to reduce the 2024 preseason OFL (32K) to the ABC of 4.9K.

As a Tier 2 stock,  $F_{EEZ}$  and MFMT are normalized to total run size estimates that sum estimated harvests in the EEZ with spawning escapement indices from the four index systems mentioned previously. The index systems have not been consistently monitored during recent years and they likely represent an unknown proportion of the overall spawning escapement to the larger Federally-defined stock complex. As discussed previously, without additional information to scale indices of spawning escapement to actual numbers of fish that spawned, the combination of known harvests (actual numbers of fish) with indices of escapement may result in overfishing occurring for the stock complex but an overfishing determination not being triggered. Alternatively, if there is incomplete monitoring of indicator stocks within the stock complex, then overfishing or overfished determinations could be made when they are not warranted for the larger stock complex.

The NMFS SAFE Team does not recommend a Tier 2 designation for this stock and a buffered preseason ABC of 4.9K is below the range of harvests estimated to have occurred in the EEZ during most previous

<sup>37</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMR21-26.pdf>

<sup>38</sup> <https://www.adfg.alaska.gov/FedAidPDFs/FMR19-25.pdf>

years.

Tier 3 (Recommended): Based on the considerations provided above, the SSC recommended a Tier 3 determination for Aggregate coho salmon.

Status and catch specifications for Aggregate coho salmon based on a Tier 3 determination are provided in Tables 4 with a range of buffers from 0.1 to 0.9 to reduce the OFL to ABC. The Tier 3 OFL (439K) is equal to the largest historical harvest in the timeseries (~110K) multiplied by the generation time of the species (four years for coho salmon). The 2024 preseason OFL is reduced from the overall OFL by the cumulative harvest during the most recent T-1 (three) years in the generation time to result in the maximum harvest that could occur during the final year of the generation time (2024) without overfishing occurring, a pre-season OFL of 358K.

The NMFS SAFE Team's recommendation for a buffer to reduce the preseason OFL for setting harvest specifications while exercising the necessary precaution to avoid overfishing and overfished determinations.

For two other Tier 3 stocks (Chinook, and Other sockeye), the NMFS SAFE Team recommended a buffer that would result in an ABC that was equivalent to allowing maximum potential yield for a single year in the generation time of the species being assessed. For aggregate coho salmon with an average generation time of 4 years, a similar approach would result in a buffer of 25%. Such a buffer is based on the life history characteristics of coho salmon and a means of recognizing that maximum harvests are unlikely to occur every year for a generation. A buffer of 25% of the preseason OFL would result in a 2024 preseason ABC of 89K coho salmon. However, the NMFS SAFE Team recommends a more conservative buffer for aggregate coho salmon during 2024 given: the lack of calculated SDC criteria for the aggregate coho salmon stock complex; the fact that the indicator stocks have not consistently achieved spawning escapement goals during recent years (Munro 2023); genetic evidence showing that significant proportions of the drift gillnet coho salmon harvested are likely bound for Northern Cook Inlet drainages—combined with the intent of the State's commercial fishery management plan for UCI, which specifically calls for prioritization of coho salmon passing through Central and Northern Districts.

In addition to considerations applicable to assessing the health yield of the Aggregate coho salmon stock, the NMFS SAFE Team also notes concerns about the prey available to endangered Cook Inlet beluga whales that occupy Northern Cook Inlet, including the far reaches of the Inlet when coho salmon run are present<sup>39</sup>. Coho salmon are listed as one of the preferred prey item of Cook Inlet belugas (Huntington 2000<sup>40</sup>, Hobbs and Sheldon 2008<sup>41</sup>, Quakenbush et al. 2015<sup>42</sup>).

Given the considerations above, it is the recommendation of the SSC that a buffer of 10% be applied to the preseason OFL, resulting in a 2024 preseason ABC of 35.8K.

The NMFS SAFE Team recommends prioritizing future research to better characterize the abundance, timing, spatial distribution, and genetic stock composition of the coho salmon harvested in the Cook Inlet EEZ Area fishery (e.g., Willette et al. 2003<sup>43</sup>).

While this stock can be declared overfished if cumulative spawning escapements are determined to be below MSST (similar to Tier 1 and 2), as total run size is not estimable for Tier 3, MFMT and  $F_{EEZ}$  are not calculable; therefore, as a Tier 3 stock, overfishing would be assessed based on the OFL.

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<sup>39</sup> <https://www.sciencedirect.com/science/article/pii/S0304380023001485>

<sup>40</sup> <https://spo.nmfs.noaa.gov/sites/default/files/pdf-content/MFR/mfr623/mfr62312.pdf>

<sup>41</sup> <https://repository.library.noaa.gov/view/noaa/9027>

<sup>42</sup> <https://spo.nmfs.noaa.gov/sites/default/files/pdf-content/MFR/mfr771/mfr7717.pdf>

<sup>43</sup> <https://www.adfg.alaska.gov/FedAidPDFs/RIR.2A.2003.20.pdf>

Status and catch specifications for Tier 2 Aggregate coho salmon (*Not recommended*). Values for this aggregate coho salmon stock are based on the AR approach and a mean symmetric accuracy buffer of ~0.15 applied to reduce the preseason OFL (potential yield in the EEZ based on the preseason forecast) to ABC of 4.9K. Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (**MSST**), one half of the sum of the stock's spawning escapement goal summed across a generation, with actual cumulative escapement summed across a generation (**Cum. Escap.**). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (**MFMT**), the largest potential harvest rate in the EEZ while still achieving the spawning escapement goal and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation (**F<sub>EEZ</sub>**). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (**EEZ Cum. Harvest**) with the postseason overfishing limit (**OFL**), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. Unless otherwise noted, values other than rates are in the thousands of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.

| Year | MSST | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL | ABC |
|------|------|-------------|------|------------------|-----------|-------------|------------------|-----|-----|
| 2019 | 38.6 | 106         | 0.17 | 0.15             | 288       | 39          | 211              |     |     |
| 2020 | 38.6 | 101         | 0.15 | 0.13             | 238       | 2           | 178              |     |     |
| 2021 | 38.6 | 57          | 0.10 | 0.11             | 287       | 33          | 135              |     |     |
| 2022 | 38.6 | 41          | 0.07 | 0.10             | 220       | 24          | 98               |     |     |
| 2023 | 38.6 | 32          | 0.05 | 0.09             | 204       | 25          | 83               |     |     |
| 2024 | 38.6 |             | 0.08 | 0.12             | 253       |             | 82               | 32  | 4.9 |

Status and catch specifications for Tier 3 Aggregate coho salmon (Recommended by the SSC). For 2024, the OFL (439K) has been reduced by the cumulative harvest for the previous T-1 years three years of the generation (82K), resulting in a preseason OFL of 358K coho salmon that could be harvested before overfishing occurred. Buffers of 10%–90% are applied to the preseason OFL to result in preseason values of ABC. A 25% buffer of the preseason OFL would result in a preseason ABC of 89K coho salmon while an SSC-recommended 10% buffer would result in a preseason ABC of 35.8K coho salmon.

Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (**MSST**), one half of the sum of the stock's spawning escapement goal summed across a generation, with actual cumulative escapement summed across a generation (**Cum. Escap.**). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (**MFMT**), the largest potential harvest rate in the EEZ while still achieving the spawning escapement goal and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation (**F<sub>EEZ</sub>**). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (**EEZ Cum. Harvest**) with the postseason overfishing limit (**OFL**), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. Unless otherwise noted, values other than rates are in the thousands of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.

| Year | MSST | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL | ABC (10%) | ABC (90%) |
|------|------|-------------|------|------------------|-----------|-------------|------------------|-----|-----------|-----------|
| 2019 | 38.6 | 106         | NA   | NA               | NA        | 39          | 211              | 439 |           |           |
| 2020 | 38.6 | 101         | NA   | NA               | NA        | 2           | 178              | 439 |           |           |
| 2021 | 38.6 | 57          | NA   | NA               | NA        | 33          | 135              | 439 |           |           |
| 2022 | 38.6 | 41          | NA   | NA               | NA        | 24          | 98               | 439 |           |           |
| 2023 | 38.6 | 32          | NA   | NA               | NA        | 25          | 83               | 439 |           |           |
| 2024 | 38.6 |             | NA   | NA               | NA        |             | 82               | 358 | 36        | 322       |

## **G. Aggregate Chum Salmon, stock complex**

Definition: The NMFS SAFE Team recommends to the SSC that the aggregate chum salmon stock complex (CHUM) be defined as the chum salmon harvested in the Cook Inlet EEZ Area. Escapement data for a single indicator stock (Clearwater Creek) could be used to evaluate SDC (overfished determination); however, the NMFS SAFE Team recommends against this since there is not sufficient information to determine if those escapement estimates are suitable as a representative indicator for other chum salmon stocks in Upper Cook Inlet.

### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2023, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2023 is assessed in a manner similar to that recommended in this SAFE and the FMP.

During the 2023 fishery, it is estimated that 51K chum salmon were harvested in the Cook Inlet EEZ. Because the total catch mortality for this stock across the most recent generation (127K) was below a 2023 OFL of 561K chum salmon, it is the NMFS SAFE Team’s assessment that overfishing would not have occurred during 2023 (Table 3).

### ***Data and assessment methodology***

#### ***Existing data and assessment***

Clearwater Creek is the only State escapement goal for chum salmon in UCI. Recent escapement indices for this stock are provided in Munro 2023 and in the 2023 UCI commercial salmon fishery season summary (Lipka 2023).

Harvest estimates from this stock includes commercial, personal use, and recreational fisheries, most of which are available from ADF&G reports and through the ADF&G website.

The extent to which escapement indices represent actual numbers of spawners for all freshwater systems is unknown given that a single drainage is monitored. Therefore, estimates of total run size are unavailable.

For UCI, there are no chum salmon “Stocks of Concern” listed by the State.

Additional data and estimates available in Appendix A9.

#### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, and other data. However, because of the timeline necessary to produce this SAFE in time to implement the Federal drift gillnet fishery in the Cook Inlet EEZ Area, NMFS estimated the following quantities during recent years: 2023 personal use harvests (based on a 5-year 2018–2022 average); 2022–2023 sportfish harvests, with these estimates considered to be minor portions of overall harvests.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 3 stocks.

### ***Stock biomass and recruitment trends***

#### **Stock overview:**

During the most recent five year period (2019–2023), an average of 44% of the overall drift gillnet chum salmon harvest is estimated to have been harvested in the EEZ, with a range of 7,681–53,994 chum salmon harvested in the EEZ during this period (Appendix A9). For 2023, EEZ harvests were approximately 45% of the overall drift gillnet harvest and approximately 38% of all commercial harvests of chum salmon. No estimates of total run size are available.

#### **Escapement Goal:**



Clearwater Creek is the only State escapement goal for chum salmon in UCI. For that system, escapement is monitored by aerial survey with the annual escapements set by the peak aerial survey count for the year, with an escapement goal range of 3,500-8,000 chum salmon that was informed by the Percentile Approach (Clark et al. 2014). For the ten years from 2014–2023, escapements at Clearwater Creek have met or exceeded the lower bound of the spawning escapement goal range during all but two years (2014 and 2018).

### **Spawner-Recruitment and yield trends:**

There are no available spawner-recruitment or yield trends for this stock due to the lack of reliable estimates of spawning escapements across all areas in Upper Cook Inlet and lack of age data for harvests or escapements.

While escapement indices are available for 1 system managed by the State, it is the recommendation of the NMFS SAFE Team that the single spawning escapement goal and associated index of annual escapements do not provide a reliable estimate of spawning abundance for all tributaries in Upper Cook Inlet.

### ***Tier determination and resulting OFL and ABC determination for 2024***

The NMFS SAFE Team recommends to the SSC that this Aggregate chum salmon stock complex be designated as Tier 3. The lack of reliable estimates of spawning abundance or total run size for the stock preclude a Tier 2 determination.

Status and catch specifications for Aggregate chum salmon based on a Tier 3 determination are provided in Table 4. Based on the Tier 3 methods described in the FMP and this SAFE, the NMFS SAFE Team recommends an OFL of 561K chum salmon that reflects the maximum historical harvest (140K) multiplied by a generation time of four years. The 2024 preseason OFL is reduced from the overall OFL by the cumulative harvest during the most recent T-1 (three) years in the generation time to result in the maximum harvest that could occur during the final year of the generation time without overfishing occurring, the preseason OFL of 442K.

In recommending values of OFL and ABC, the NMFS SAFE Team notes that there are no known conservation concerns for UCI chum salmon and they are not listed by the State as a “Stock of Concern” in UCI. It is assumed that chum salmon are incidentally harvested (not targeted) in the EEZ, with the majority of harvest estimated to occur outside the EEZ. The NMFS SAFE Team also assumes that the chum salmon stock in UCI is healthy and harvested at a low exploitation rate in the EEZ fishery. Generally, it is understood that conservation and management considerations related to co-occurring sockeye and coho salmon stocks constrain the total harvest of chum salmon in Cook Inlet. The NMFS SAFE Team welcomes input and additional information on this and other assumptions.

Given the considerations above, it is the recommendation of the SSC that a buffer of 25% be applied to the preseason OFL (442K), resulting in a preseason ABC of 110.4K.

The recommended larger buffer and proportionately larger resulting ABC for this stock compared to recommended buffers for Aggregate Other sockeye salmon and Aggregate Chinook salmon reflect the NMFS SAFE Team’s judgment that the Aggregate chum salmon stock is less of a conservation concern than UCI Chinook salmon and less of a focus in the directed fisheries relative to sockeye salmon. If a harvest of 221K chum salmon occurred in a single season, it would be 81K more than any previous estimate of chum salmon harvests in the EEZ. Should the SSC wish to recommend a buffer of 25% to the preseason chum salmon OFL—to be consistent with the justification provided for Aggregate Chinook and Aggregate Other sockeye salmon and represent a single year of the generation time—the resulting preseason ABC would be 110K chum salmon, which would be more than double any harvest since 2019.

As with other stocks for which there is a paucity of available information, the NMFS SAFE Team recommends funding research to estimate overall escapement and total run size for this stock, which, in turn, will facilitate improvements in management precision.

Status and catch specifications for Tier 3 Aggregate chum salmon (Recommended). For 2024, the OFL (561K) has been reduced by the cumulative harvest for the previous T-1 years (three years) of the generation (119K), resulting in a preseason OFL of 442K chum salmon that could be harvested before overfishing occurred. Buffers of 10%–90% are applied to the preseason OFL to result in preseason values of ABC. An SSC recommended 25% buffer of the preseason OFL would result in a preseason ABC of 110.4K chum salmon. Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (**MSST**), one half of the sum of the stock's spawning escapement goal summed across a generation, with actual cumulative escapement summed across a generation (**Cum. Escap.**). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (**MFMT**), the largest potential harvest rate in the EEZ while still achieving the spawning escapement goal and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation (**F<sub>EEZ</sub>**). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (**EEZ Cum. Harvest**) with the postseason overfishing limit (**OFL**), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. Unless otherwise noted, values other than rates are in the thousands of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.

| Year | MSST | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL | ABC (10%) | ABC (90%) |
|------|------|-------------|------|------------------|-----------|-------------|------------------|-----|-----------|-----------|
| 2019 | NA   |             | NA   | NA               | NA        | 54          | 262              | 561 |           |           |
| 2020 | NA   |             | NA   | NA               | NA        | 8           | 230              | 561 |           |           |
| 2021 | NA   |             | NA   | NA               | NA        | 29          | 155              | 561 |           |           |
| 2022 | NA   |             | NA   | NA               | NA        | 39          | 130              | 561 |           |           |
| 2023 | NA   |             | NA   | NA               | NA        | 51          | 127              | 561 |           |           |
| 2024 | NA   |             | NA   | NA               | NA        |             | 119              | 442 | 44.2      | 397.5     |

## **H. Aggregate Pink Salmon, stock complex**

Definition: The NMFS SAFE Team recommends to the SSC that the aggregate pink salmon stock complex be defined as the pink salmon harvested in the Cook Inlet EEZ Area. There are no State spawning escapement goals for pink salmon in UCI and therefore no indicator stocks available to evaluate an overfished determination. This stock definition makes the assumption that there are many drainages and tributaries throughout UCI that serve as spawning grounds for the Federal definition of this stock, which would also be included as part of the stock definition.

This stock definition is applicable to both even- and odd-year broodlines of UCI pink salmon, but the even-year broodline is the focus of the 2024 SAFE.

### ***Retrospective assessment of fishery information relative to overfishing***

Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2022, this assessment of overfishing is retrospective in nature and assumes that overfishing for 2022 is assessed in a manner similar to that recommended in this SAFE and the FMP.

During the 2022 fishery (the most recent even-year run), it is estimated that 30K pink salmon were harvested in the Cook Inlet EEZ. Because the total catch mortality for this stock across the most recent generation (60K) was below a 2022 OFL of 300K pink salmon, it is the NMFS SAFE Team's assessment that overfishing would not have occurred during 2022 (Table 3).

### ***Data and assessment methodology***

#### ***Existing data and assessment***

There are no escapement goals or known and reliable estimates of pink salmon escapement in Upper Cook Inlet.

Harvest estimates from this stock includes commercial, personal use, and recreational fisheries, most of which are available from ADF&G reports and through the ADF&G website.

Data and estimates associated with this assessment are available in Appendix A10

#### ***Federal data and assessments***

After review by NMFS and unless otherwise stated, this SAFE incorporates ADF&G data and associated estimates of harvest, escapement, and other data. Commercial harvest estimates from 2023 should be considered preliminary for this SAFE and will be checked and updated for future SAFE reports.

To inform status determination criteria and harvest specifications, the Federal stock assessment relied on the method described previously for Tier 3 stocks.

Pink salmon have discreet even- and odd-year broodlines that do not interact. As per the Tier 3 methodology, the OFL is the maximum historical harvest (150K during 2014) multiplied by the number of years of the generation time (2 years). This would result in an OFL of 300K pink salmon. The SSC may wish to provide input on whether the OFL for pink salmon should be the largest harvest multiplied by two years (as was done for this SAFE) or just a single year.

### ***Stock biomass and recruitment trends***

#### **Stock overview:**

During the most recent five year period (2019–2023), an average of 35% of the overall drift gillnet pink salmon harvest is estimated to have been from the Aggregate pink salmon stock in the EEZ, with a range of 12–29K pink salmon harvested in the EEZ during this period. For 2023, EEZ harvests were approximately 42% of the overall drift gillnet harvest and approximately 36% of all commercial harvests. No estimates of total run size are available.

**Escapement Goal:**

There are no State spawning escapement goals for pink salmon in Upper Cook Inlet.

**Spawner-Recruitment and yield trends:**

There are no available spawner-recruitment or yield trends for this stock due to the lack of reliable estimates of spawning escapements across all areas in Upper Cook Inlet.

***Tier determination and resulting OFL and ABC determination for 2024***

Given the lack of total run size estimates, which preclude a Tier 2 determination for the Aggregate pink salmon stock complex, the NMFS SAFE Team recommends to the SSC that this Aggregate Cook Inlet EEZ Area pink salmon stock be designated as Tier 3.

Similar to chum salmon, it is the assumption of the NMFS SAFE Team that the Cook Inlet EEZ Area pink salmon stock complex is healthy, are not subject to overfishing and that past estimates of EEZ harvests represent incidental (not targeted) harvests that are not impactful to the overall spawning population. Given the small size of pink salmon relative to other salmon, it is also assumed that many pink salmon would get through gillnets used in the EEZ to target other sockeye salmon. As such, while spawning data are not available, it is the judgment of the NMFS SAFE Team that pink salmon represent a particularly low conservation concern with respect to harm to the stock that could come as a result of fishing activity in the EEZ. The NMFS SAFE Team welcomes feedback, data, and additional information pertaining to the assumptions and analyses presented in this SAFE.

Given the considerations above, it is the recommendation of the SSC that a buffer of 50% be applied to the preseason OFL of 270K, resulting in a preseason ABC of 135.2K.

Status and catch specifications for Aggregate pink salmon based on a Tier 3 determination is provided in Table 4 and Appendix A10.

Status and catch specifications for even-year Tier 3 even-year Aggregate pink salmon (Recommended). For 2024, the OFL (300K) has been reduced by the cumulative harvest for the previous T-1 year (1 year) of the 2 year generation (30K), resulting in a preseason OFL of 270K pink salmon that could be harvested before overfishing occurred. Buffers of 10%–90% are applied to the OFL to result in preseason values of ABC. An SSC recommended 50% buffer of the preseason OFL would result in a preseason ABC of 135.2K pink salmon. Values are based on the Tier 3 approach. Description applicable to all stocks: An overfished determination is assessed postseason by comparing the minimum stock size threshold (**MSST**), one half of the sum of the stock's spawning escapement goal summed across a generation, with actual cumulative escapement summed across a generation (**Cum. Escap.**). For Tier 1-2 stocks, overfishing is assessed postseason by comparing the maximum fishing mortality threshold (**MFMT**), the largest potential harvest rate in the EEZ while still achieving the spawning escapement goal and non-EEZ harvests, with the actual estimated harvest rate assessed over a generation (**F<sub>EEZ</sub>**). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest summed across a generation (**EEZ Cum. Harvest**) with the postseason overfishing limit (**OFL**), the maximum historical harvest multiplied by the generation time of the species in years. For Tier 1-2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ while still achieving the spawning goal and estimated non-EEZ harvests. Unless otherwise noted, values other than rates are in the thousands of fish. Shaded values are new estimates or projections based on the current assessment, with EEZ Cum. Harvest only including T-1 years of the generation.

| Year | MSST | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Harvest | EEZ Cum. Harvest | OFL | ABC (10%) | ABC (90%) |
|------|------|-------------|------|------------------|-----------|-------------|------------------|-----|-----------|-----------|
| 2014 | NA   | NA          | NA   | NA               | NA        | 150         | 283              | 300 |           |           |
| 2016 | NA   | NA          | NA   | NA               | NA        | 109         | 260              | 300 |           |           |
| 2018 | NA   | NA          | NA   | NA               | NA        | 39          | 148              | 300 |           |           |
| 2020 | NA   | NA          | NA   | NA               | NA        | 12          | 51               | 300 |           |           |
| 2022 | NA   | NA          | NA   | NA               | NA        | 30          | 41               | 300 |           |           |
| 2024 | NA   | NA          | NA   | NA               | NA        |             | 30               | 270 | 27        | 243       |

## 6. Tables

**Table 3:** Preliminary 2023 stock status in relation to SDC as estimated by the most recent assessment. Since a Federal salmon fishery in the Cook Inlet EEZ was not in place in 2023, this assessment is retrospective in nature, with values calculated with the methods and buffers in this SAFE. **For Tier 1 stocks, MSST, MFMT, and potential yield have been updated to reflect SSC recommendations.** A stock is being overfished if (MSST) is greater than the cumulative escapement summed across a generation (**Cum. Escap.**). For Tier 1-2 stocks, overfishing is assessed postseason by comparing **MFMT** with the actual harvest rate assessed over a generation (**F<sub>EEZ</sub>**). Rates are normalized to total run size. For Tier 3 stocks, overfishing is assessed postseason by comparing the actual harvest across a generation (**EEZ Cum. Harvest**) with the postseason **OFL**. For Tier 1–2 stocks, the OFL is only applicable to preseason estimates and is the maximum potential EEZ harvest in the EEZ. Unless otherwise noted, values other than rates are in the thousands of fish. Asterisks indicate that estimates are suspect and/or unsupported by existing data.

| Stock                               | Tier | MSST  | Cum. Escap. | MFMT | F <sub>EEZ</sub> | Total Run | EEZ Catch | Cum. Harvest Gen. | Potential yield EEZ | Tier 3 OFL |
|-------------------------------------|------|-------|-------------|------|------------------|-----------|-----------|-------------------|---------------------|------------|
| Kenai River Late-Run sockeye salmon | 1    | 3,030 | 8,561       | 0.23 | 0.08             | 3,882     | 418       | 1,308             | 1,299               | NA         |
| Kasilof River sockeye salmon        | 1    | 555   | 3,333       | 0.45 | 0.03             | 460       | 57        | 140               | 768                 | NA         |
| Aggregate Other sockeye salmon (T3) | 3    | 163   | 631         | NA   | NA               | NA        | 183       | 457               | NA                  | 1,271      |
| Aggregate Chinook salmon            | 3    | 44    | 82          | NA   | NA               | NA        | 51 fish   | 635 fish          | NA                  | 3          |
| Aggregate coho salmon (T3)          | 3    | 40    | 32*         | NA   | NA               | NA        | 25        | 83                | NA                  | 440        |
| Aggregate chum salmon               | 3    | NA    | NA          | NA   | NA               | NA        | 51        | 127               | NA                  | 561        |
| Aggregate pink salmon               | 3    | NA    | NA          | NA   | NA               | NA        | 30        | 41                | NA                  | 300        |

**Table 4: 2024 SSC recommendations for Cook Inlet EEZ Area salmon stocks.** Tier designations are based on the projected stock status in 2024. Stocks and tiers not recommended by the SSC have been removed. **Total run size** for Tiers 1-2 is estimated from the AR model. **Escapement goals** are the lower bound of the State’s spawning escapement goal. For Tiers 1-2, the preseason overfishing limit (**OFL**) is the preseason total run size forecast minus the spawning escapement target and estimated non-EEZ harvests and represents maximum potential harvest in the EEZ for the coming fishing season. For Tier 3, the preseason OFL is the largest historical EEZ harvest multiplied by the generation time of the species. For Tiers 1-2, the **ABC buffer** is the mean symmetric accuracy of the AR model, which accounts for retrospective error in preseason ABC and potential yield designations relative to realized postseason values. For Tier 3, the recommended ABC buffer is from a range of 0.1 to 0.90. The preseason acceptable biological catch (**ABC**) is the preseason OFL multiplied by the buffer. Except for the tiers and buffer or otherwise noted (Chinook salmon), all other values are in thousands of fish.

| Stock                               | Tier | Total Run Size | Escapement target(s) | Preseason OFL | ABC buffer | ABC             |
|-------------------------------------|------|----------------|----------------------|---------------|------------|-----------------|
| Kenai River Late-Run sockeye salmon | 1    | 3,485          | 1,212                | 902           | 0.478      | <b>431.1</b>    |
| Kasilof River sockeye salmon        | 1    | 1,125          | 222                  | 541           | 0.694      | <b>375.5</b>    |
| Aggregate Other sockeye salmon (T3) | 3    | NA             | 65                   | 888           | 0.200      | <b>177.5</b>    |
| Aggregate Chinook salmon            | 3    | NA             | 15                   | 2,697 fish    | 0.10       | <b>270 fish</b> |
| Aggregate coho salmon (T3)          | 3    | NA             | 19.3                 | 358           | 0.100      | <b>35.8</b>     |
| Aggregate chum salmon               | 3    | NA             | 3.5                  | 442           | 0.25       | <b>110.4</b>    |
| Aggregate pink salmon               | 3    | NA             | NA                   | 270           | 0.50       | <b>135.2</b>    |

## Appendix A1. Tier 1 Kenai River Late Run Sockeye Salmon

**A1.1. 2024 Historical Table for: Tier 1 Kenai River Late Run Sockeye Salmon.** Historical information to inform the status determination criteria and harvest specifications for this stock assessment. The table includes year of the salmon run, the estimates total run size, the spawning escapement, the State's spawning escapement goal, the total catch across all fisheries, the estimate State waters catch, the fraction of the catch estimated to have occurred in State waters, the estimated EEZ catch, the fraction of the total catch estimated to have occurred in the EEZ, the maximum fishing mortality threshold, and the potential yield in the EEZ. For this SAFE, MFMT and Potential Yield in the EEZ have been updated from the preliminary SAFE to reflect the SSC recommendation that these be based on a point estimate of  $S_{MSY}$  for this stock of 1,212,000 spawners.

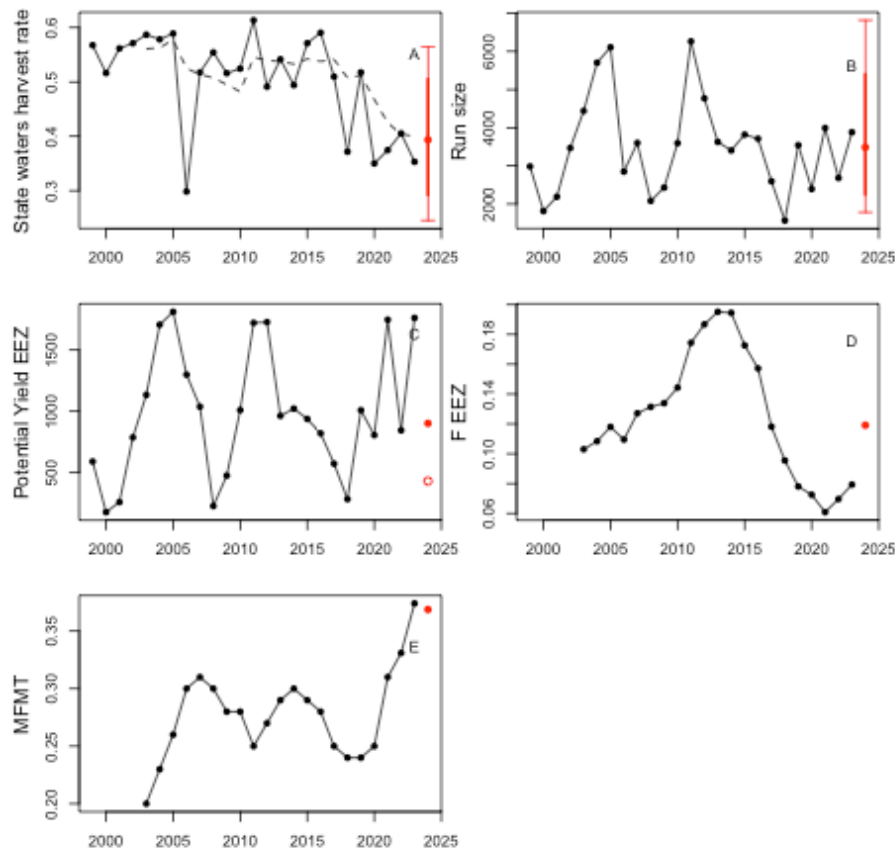
| Year | Run size | Escapement | Escapement goal | Total catch | State catch | F_state | EEZ Catch | F_EEZ | MFMT  | Potential Yield EEZ |
|------|----------|------------|-----------------|-------------|-------------|---------|-----------|-------|-------|---------------------|
| 1999 | 2985     | 949        | 700             | 2035        | 1694        | 0.568   | 341       | NA    | NA    | 78                  |
| 2000 | 1815     | 697        | 700             | 1118        | 937         | 0.516   | 181       | NA    | NA    | 0                   |
| 2001 | 2190     | 738        | 700             | 1451        | 1230        | 0.562   | 221       | NA    | NA    | 0                   |
| 2002 | 3467     | 1127       | 700             | 2340        | 1980        | 0.571   | 360       | NA    | NA    | 274                 |
| 2003 | 4440     | 1402       | 700             | 3037        | 2606        | 0.587   | 431       | 0.103 | 0.065 | 622                 |
| 2004 | 5705     | 1691       | 700             | 4015        | 3299        | 0.578   | 716       | 0.108 | 0.119 | 1195                |
| 2005 | 6109     | 1654       | 700             | 4455        | 3598        | 0.589   | 857       | 0.118 | 0.155 | 1299                |
| 2006 | 2849     | 1892       | 700             | 957         | 850         | 0.298   | 107       | 0.109 | 0.185 | 787                 |
| 2007 | 3602     | 964        | 700             | 2638        | 1864        | 0.517   | 774       | 0.127 | 0.195 | 526                 |
| 2008 | 2082     | 709        | 700             | 1374        | 1154        | 0.554   | 220       | 0.131 | 0.187 | 0                   |
| 2009 | 2430     | 848        | 700             | 1582        | 1254        | 0.516   | 328       | 0.134 | 0.153 | 0                   |
| 2010 | 3596     | 1038       | 700             | 2558        | 1886        | 0.524   | 672       | 0.144 | 0.124 | 499                 |
| 2011 | 6263     | 1281       | 700             | 4982        | 3842        | 0.613   | 1140      | 0.174 | 0.124 | 1209                |
| 2012 | 4770     | 1213       | 700             | 3557        | 2343        | 0.491   | 1214      | 0.187 | 0.153 | 1215                |
| 2013 | 3628     | 980        | 700             | 2648        | 1965        | 0.542   | 683       | 0.195 | 0.163 | 451                 |
| 2014 | 3404     | 1218       | 700             | 2186        | 1682        | 0.494   | 504       | 0.194 | 0.179 | 510                 |
| 2015 | 3819     | 1400       | 700             | 2419        | 2181        | 0.571   | 238       | 0.173 | 0.174 | 426                 |
| 2016 | 3712     | 1120       | 700             | 2592        | 2192        | 0.591   | 400       | 0.157 | 0.150 | 308                 |
| 2017 | 2596     | 1071       | 700             | 1525        | 1323        | 0.51    | 202       | 0.118 | 0.102 | 61                  |
| 2018 | 1566     | 887        | 700             | 679         | 582         | 0.372   | 97        | 0.095 | 0.086 | 0                   |
| 2019 | 3542     | 1457       | 700             | 2085        | 1833        | 0.518   | 252       | 0.078 | 0.085 | 497                 |
| 2020 | 2394     | 1506       | 750             | 888         | 838         | 0.35    | 50        | 0.072 | 0.088 | 344                 |
| 2021 | 3992     | 2242       | 750             | 1751        | 1495        | 0.374   | 256       | 0.061 | 0.155 | 1285                |
| 2022 | 2682     | 1263       | 750             | 1419        | 1087        | 0.405   | 332       | 0.07  | 0.177 | 383                 |
| 2023 | 3882     | 2093       | 750             | 1789        | 1371        | 0.353   | 418       | 0.079 | 0.231 | 1299                |



**A1.2. 2024 ARIMA Model Preseason Table: Tier 1 Kenai River Late Run Sockeye Salmon.** This table includes the estimate harvest rate in State waters (F state preseason), the forecasted total run size, the preseason estimate of potential yield in the EEZ (OFL preseason), the buffer that accounts for scientific uncertainty in reducing the preseason OFL to the ABC, the preseason ABC, preseason estimate of the EEZ harvest rate (Potential F\_EEZ), and the preseason estimate of MFMT in the EEZ. For this SAFE, MFMT and the preseason ABC have been updated from the preliminary SAFE to reflect the SSC recommendation that these be based on a point estimate of  $S_{MSY}$  for this stock of 1,212,000 spawners.

| F_state preseason | run preseason | OFL_preseason | OFL to ABC_buffer | ABC_preseason | Potential F_EEZ | MFMT  |
|-------------------|---------------|---------------|-------------------|---------------|-----------------|-------|
| 0.394             | 3485.806      | 901.932       | 0.478             | 431.124       | 0.119           | 0.369 |

**A1.3. 2024 ARIMA Model Preseason Plots: Tier 1 Kenai River Late Run Sockeye Salmon.** In panel A, the dashed line represents the moving average of state harvest across one generation time. The red dots and error bars in panels A and B represent preseason forecasts and uncertainty for state harvest rate (A) and run size (B). The filled red dot in panel C represents the amount of potential yield in the EEZ based on the forecasted state harvest rate and run size (i.e. preseason OFL). The hollow red circle in panel C represents the preseason OFL reduced by the buffer to the preseason ABC value. Panel D shows the EEZ harvest rate over one generation time. The red dot in panel D represents the EEZ harvest rate that would result for the current generation time if the full EEZ potential yield being harvested (i.e. OFL being met) in the upcoming year. The red dot in panel E represents the preseason estimate of MFMT. Potential yield and MFMT have been updated to reflect the SSC recommended escapement target of  $S_{MSY}$  (1,212,000 spawners).



## Appendix A2. Tier 1 Kasilof River Sockeye Salmon

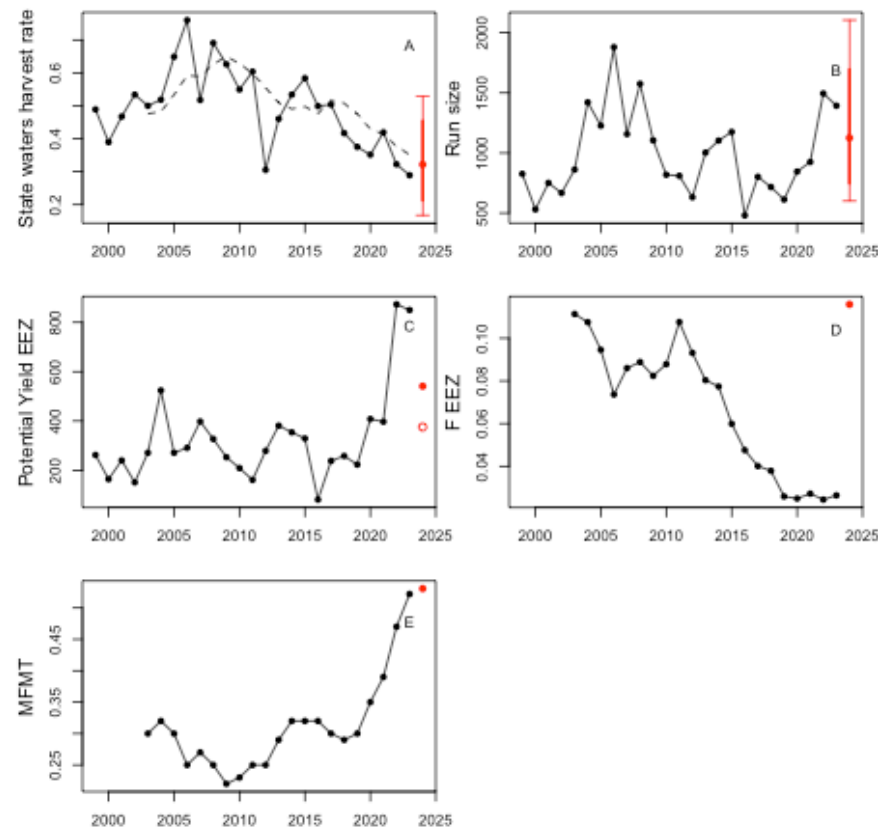
**Appendix A2.1. 2024 Historical Table: Tier 1 Kasilof River Sockeye Salmon.** Historical information to inform the status determination criteria and harvest specifications for this stock assessment. The table includes year of the salmon run, the estimates total run size, the spawning escapement, the State's spawning escapement goal, the total catch across all fisheries, the estimate State waters catch, the fraction of the catch estimated to have occurred in State waters, the estimated EEZ catch, the fraction of the total catch estimated to have occurred in the EEZ, the maximum fishing mortality threshold, and the potential yield in the EEZ. For this SAFE, MFMT and Potential Yield in the EEZ have been updated from the preliminary SAFE to reflect the SSC recommendation that these be based on a point estimate of  $S_{MSY}$  for this stock of 222,000 spawners.

| Year | Run size | Escapement | Escapement goal | Total catch | State catch | F_state | EEZ Catch | F_EEZ | MFMT  | Potential Yield EEZ |
|------|----------|------------|-----------------|-------------|-------------|---------|-----------|-------|-------|---------------------|
| 1999 | 826      | 312        | 160             | 514         | 404         | 0.489   | 110       | NA    | NA    | 201                 |
| 2000 | 531      | 264        | 160             | 267         | 207         | 0.39    | 60        | NA    | NA    | 101                 |
| 2001 | 751      | 319        | 160             | 432         | 351         | 0.467   | 81        | NA    | NA    | 177                 |
| 2002 | 667      | 236        | 160             | 432         | 356         | 0.534   | 76        | NA    | NA    | 90                  |
| 2003 | 862      | 354        | 160             | 509         | 431         | 0.5     | 78        | 0.111 | 0.214 | 209                 |
| 2004 | 1421     | 524        | 160             | 897         | 737         | 0.519   | 160       | 0.108 | 0.246 | 462                 |
| 2005 | 1227     | 360        | 160             | 867         | 796         | 0.649   | 71        | 0.095 | 0.233 | 209                 |
| 2006 | 1880     | 390        | 160             | 1490        | 1429        | 0.76    | 61        | 0.074 | 0.198 | 229                 |
| 2007 | 1157     | 365        | 160             | 792         | 599         | 0.518   | 193       | 0.086 | 0.221 | 336                 |
| 2008 | 1575     | 327        | 160             | 1248        | 1088        | 0.691   | 160       | 0.089 | 0.207 | 265                 |
| 2009 | 1105     | 326        | 160             | 779         | 692         | 0.626   | 87        | 0.082 | 0.177 | 191                 |
| 2010 | 819      | 295        | 160             | 523         | 450         | 0.549   | 73        | 0.088 | 0.179 | 146                 |
| 2011 | 810      | 246        | 160             | 564         | 489         | 0.604   | 75        | 0.108 | 0.190 | 99                  |
| 2012 | 632      | 375        | 160             | 258         | 193         | 0.305   | 65        | 0.093 | 0.186 | 218                 |
| 2013 | 1003     | 490        | 160             | 513         | 462         | 0.461   | 51        | 0.08  | 0.223 | 319                 |
| 2014 | 1103     | 440        | 160             | 663         | 589         | 0.534   | 74        | 0.077 | 0.246 | 292                 |
| 2015 | 1175     | 471        | 160             | 704         | 686         | 0.584   | 18        | 0.06  | 0.253 | 266                 |
| 2016 | 481      | 240        | 160             | 241         | 240         | 0.499   | 1         | 0.048 | 0.253 | 19                  |
| 2017 | 802      | 359        | 160             | 443         | 404         | 0.504   | 39        | 0.04  | 0.235 | 176                 |
| 2018 | 717      | 388        | 160             | 329         | 299         | 0.417   | 30        | 0.038 | 0.222 | 196                 |
| 2019 | 613      | 373        | 160             | 240         | 230         | 0.375   | 10        | 0.026 | 0.216 | 161                 |
| 2020 | 845      | 542        | 140             | 303         | 297         | 0.351   | 6         | 0.025 | 0.254 | 326                 |
| 2021 | 925      | 517        | 140             | 409         | 388         | 0.419   | 21        | 0.027 | 0.301 | 316                 |
| 2022 | 1495     | 968        | 140             | 527         | 482         | 0.322   | 45        | 0.024 | 0.390 | 791                 |
| 2023 | 1393     | 933        | 140             | 460         | 403         | 0.289   | 57        | 0.026 | 0.448 | 768                 |

**Appendix A2.2. 2024 ARIMA Model Preseason Table: Tier 1 Kasilof River Sockeye Salmon.** This table includes the estimate harvest rate in State waters (F state preseason), the forecasted total run size, the preseason estimate of potential yield in the EEZ (OFL preseason), the buffer that accounts for scientific uncertainty in reducing the preseason OFL to the ABC, the preseason ABC, preseason estimate of the EEZ harvest rate (Potential F\_EEZ), and the preseason estimate of MFMT in the EEZ. For this SAFE, MFMT and the preseason ABC have been updated from the preliminary SAFE to reflect the SSC recommendation that these be based on a point estimate of  $S_{MSY}$  for this stock of 222,000 spawners.

| F_state preseason | run preseason | OFL_preseason | OFL to ABC_buffer | ABC_preseason | Potential F_EEZ | MFMT  |
|-------------------|---------------|---------------|-------------------|---------------|-----------------|-------|
| 0.322             | 1125.368      | 541.084       | 0.694             | 375.513       | 0.116           | 0.531 |

**Appendix A2.3. 2024 ARIMA Model Preseason Plots: Tier 1 Kasilof River Sockeye Salmon.** In panel A, the dashed line represents the moving average of state harvest across one generation time. The red dots and error bars in panels A and B represent preseason forecasts and uncertainty for state harvest rate (A) and run size (B). The filled red dot in panel C represents the amount of potential yield in the EEZ based on the forecasted state harvest rate and run size (i.e. preseason OFL). The hollow red circle in panel C represents the preseason OFL reduced by the buffer to the preseason ABC value. Panel D shows the EEZ harvest rate over one generation time. The red dot in panel D represents the EEZ harvest rate that would result for the current generation time if the full EEZ potential yield being harvested (i.e. OFL being met) in the upcoming year. The red dot in panel E represents the preseason estimate of MFMT. Potential yield and MFMT have been updated to reflect the SSC recommended escapement target of  $S_{MSY}$  (222,000 spawners).



## Appendix A3. Tier 2 Aggregate “Other” Sockeye Salmon

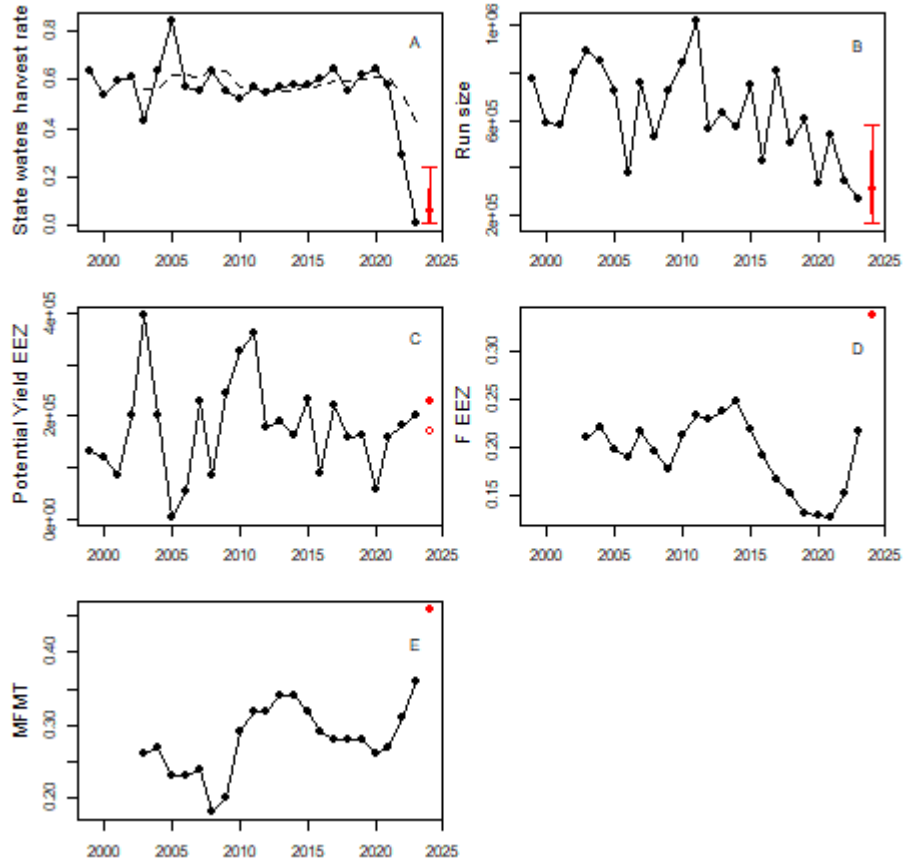
**Appendix A3.1. 2024 Historical Table: Tier 2 Aggregate “Other” Sockeye Salmon.** Historical information to inform the status determination criteria and harvest specifications for this stock assessment. The table includes year of the salmon run, the estimates total run size, the spawning escapement, the State’s spawning escapement goal, the total catch across all fisheries, the estimate State waters catch, the fraction of the catch estimated to have occurred in State waters, the estimated EEZ catch, the fraction of the total catch estimated to have occurred in the EEZ, the maximum fishing mortality threshold, and the potential yield in the EEZ.

| Year | Run size | Escapement | Escapement goal | Total catch | State catch | F_state | EEZ Catch | F_EEZ | MFMT  | Potential Yield EEZ |
|------|----------|------------|-----------------|-------------|-------------|---------|-----------|-------|-------|---------------------|
| 1999 | 774350   | 125775     | 150000          | 648575      | 491751      | 0.635   | 156824    | NA    | NA    | 132599              |
| 2000 | 587485   | 152627     | 150000          | 434858      | 315745      | 0.537   | 119113    | NA    | NA    | 121740              |
| 2001 | 583082   | 127001     | 150000          | 456081      | 347070      | 0.595   | 109011    | NA    | NA    | 86012               |
| 2002 | 803272   | 169074     | 110000          | 634198      | 490499      | 0.611   | 143699    | NA    | NA    | 202773              |
| 2003 | 893443   | 273111     | 110000          | 620332      | 386378      | 0.432   | 233954    | 0.209 | 0.258 | 397065              |
| 2004 | 852876   | 93438      | 110000          | 759438      | 541637      | 0.635   | 217801    | 0.221 | 0.271 | 201239              |
| 2005 | 727514   | 51136      | 110000          | 676378      | 615005      | 0.845   | 61373     | 0.198 | 0.23  | 2509                |
| 2006 | 380568   | 124613     | 110000          | 255955      | 217409      | 0.571   | 38546     | 0.19  | 0.234 | 53159               |
| 2007 | 758728   | 107849     | 110000          | 650879      | 421145      | 0.555   | 229734    | 0.216 | 0.244 | 227583              |
| 2008 | 533554   | 109485     | 110000          | 424069      | 338963      | 0.635   | 85106     | 0.194 | 0.175 | 84591               |
| 2009 | 726587   | 186747     | 80000           | 539840      | 403841      | 0.556   | 135999    | 0.176 | 0.195 | 242746              |
| 2010 | 840266   | 203360     | 80000           | 636906      | 435198      | 0.518   | 201708    | 0.213 | 0.288 | 325068              |
| 2011 | 1023813  | 189165     | 80000           | 834648      | 580438      | 0.567   | 254210    | 0.234 | 0.32  | 363375              |
| 2012 | 563588   | 90821      | 80000           | 472767      | 306619      | 0.544   | 166148    | 0.229 | 0.323 | 176969              |
| 2013 | 632105   | 125376     | 80000           | 506729      | 362845      | 0.574   | 143884    | 0.238 | 0.343 | 189260              |
| 2014 | 574123   | 104948     | 80000           | 469175      | 332737      | 0.58    | 136438    | 0.248 | 0.335 | 161386              |
| 2015 | 748286   | 243324     | 80000           | 504962      | 434473      | 0.581   | 70489     | 0.218 | 0.318 | 233813              |
| 2016 | 429528   | 121327     | 80000           | 308201      | 259211      | 0.603   | 48990     | 0.192 | 0.289 | 90317               |
| 2017 | 812132   | 156052     | 65000           | 656080      | 524215      | 0.645   | 131865    | 0.166 | 0.281 | 222917              |
| 2018 | 507948   | 146090     | 65000           | 361858      | 282595      | 0.556   | 79263     | 0.152 | 0.283 | 160353              |
| 2019 | 604263   | 155558     | 65000           | 448705      | 375656      | 0.622   | 73049     | 0.13  | 0.281 | 163607              |
| 2020 | 338369   | 107527     | 65000           | 230842      | 217700      | 0.643   | 13142     | 0.129 | 0.257 | 55669               |
| 2021 | 538072   | 170757     | 65000           | 367315      | 313012      | 0.582   | 54303     | 0.126 | 0.272 | 160060              |
| 2022 | 348305   | 114546     | 65000           | 233759      | 101030      | 0.29    | 132729    | 0.151 | 0.309 | 182275              |
| 2023 | 270412   | 82833      | 65000           | 187579      | 4167        | 0.015   | 183412    | 0.218 | 0.363 | 201245              |

**Appendix A3.2. 2024 ARIMA Model Preseason Table: Tier 2 Aggregate “Other” Sockeye Salmon.** This tables includes the estimate harvest rate in State waters (F state preseason), the forecasted total run size, the preseason estimate of potential yield in the EEZ (OFL preseason), the buffer that accounts for scientific uncertainty in reducing the preseason OFL to the ABC, the preseason ABC, preseason estimate of the EEZ harvest rate (Potential F\_EEZ), and the preseason estimate of MFMT in the EEZ.

| F_state preseason | run preseason | OFL_preseason | OFL to ABC_buffer | ABC_preseason | Potential F_EEZ | MFMT  |
|-------------------|---------------|---------------|-------------------|---------------|-----------------|-------|
| 0.062             | 314339.974    | 230003.8      | 0.736             | 169318.2      | 0.339           | 0.458 |

**Appendix A3.3. 2024 ARIMA Model Preseason Plots: Tier 2 Aggregate “Other” Sockeye Salmon.** In panel A, the dashed line represents the moving average of state harvest across one generation time. The red dots and error bars in panels A and B represent preseason forecasts and uncertainty for state harvest rate (A) and run size (B). The filled red dot in panel C represents the amount of potential yield in the EEZ based on the forecasted state harvest rate and run size (i.e. preseason OFL). The hollow red circle in panel C represents the preseason OFL reduced by the buffer to the preseason ABC value. Panel D shows the EEZ harvest rate over one generation time. The red dot in panel D represents the EEZ harvest rate that would result for the current generation time if the full EEZ potential yield being harvested (i.e. OFL being met) in the upcoming year. The red dot in panel E represents the preseason estimate of MFMT.



Appendix A4. Tier 3 Aggregate “Other” Sockeye Salmon

**Appendix A4.1. 2024 Historical Table: Tier 3 Aggregate “Other” Sockeye Salmon.** Shown are the year of the run, the estimated total catch of this stock across all fisheries, the estimated catch in the EEZ, and the summed cumulative EEZ catch over a generation, which is used to assess overfishing.

| Year | Total catch | EEZ catch | Cumulative EEZ catch |
|------|-------------|-----------|----------------------|
| 1999 | 648575      | 156824    | NA                   |
| 2000 | 434858      | 119113    | NA                   |
| 2001 | 456081      | 109011    | NA                   |
| 2002 | 634198      | 143699    | NA                   |
| 2003 | 620332      | 233954    | 762601               |
| 2004 | 759438      | 217801    | 823578               |
| 2005 | 676378      | 61373     | 765838               |
| 2006 | 255955      | 38546     | 695373               |
| 2007 | 650879      | 229734    | 781408               |
| 2008 | 424069      | 85106     | 632560               |
| 2009 | 539840      | 135999    | 550758               |
| 2010 | 636906      | 201708    | 691093               |
| 2011 | 834648      | 254210    | 906757               |
| 2012 | 472767      | 166148    | 843171               |
| 2013 | 506729      | 143884    | 901949               |
| 2014 | 469175      | 136438    | 902388               |
| 2015 | 504962      | 70489     | 771169               |
| 2016 | 308201      | 48990     | 565949               |
| 2017 | 656080      | 131865    | 531666               |
| 2018 | 361858      | 79263     | 467045               |
| 2019 | 448705      | 73049     | 403656               |
| 2020 | 230842      | 13142     | 346309               |
| 2021 | 367315      | 54303     | 351622               |
| 2022 | 233759      | 132729    | 352486               |
| 2023 | 187579      | 183412    | 456635               |

**Appendix A4.2. 2024 OFL and ABC: Tier 3 Aggregate “Other” Sockeye Salmon.** Shown are the range of potential buffers, the OFL, the ABC as reduced by the buffer, the preseason OFL, and the preseason ABC as reduced by the buffer.

| buffer | OFL     | ABC     | Preseason OFL | Preseason ABC |
|--------|---------|---------|---------------|---------------|
| 0.1    | 1271050 | 127105  | 887464        | 88746.4       |
| 0.2    | 1271050 | 254210  | 887464        | 177492.8      |
| 0.3    | 1271050 | 381315  | 887464        | 266239.2      |
| 0.4    | 1271050 | 508420  | 887464        | 354985.6      |
| 0.5    | 1271050 | 635525  | 887464        | 443732        |
| 0.6    | 1271050 | 762630  | 887464        | 532478.4      |
| 0.7    | 1271050 | 889735  | 887464        | 621224.8      |
| 0.8    | 1271050 | 1016840 | 887464        | 709971.2      |
| 0.9    | 1271050 | 1143945 | 887464        | 798717.6      |

## Appendix A5. Tier 1 Kenai Late Run Large Chinook Salmon

**Appendix A5.1. 2024 Historical Table: Tier 1 Kenai Late Run Large Chinook Salmon.** Historical information to inform the status determination criteria and harvest specifications for this stock assessment. The table includes year of the salmon run, the estimates total run size, the spawning escapement, the State's spawning escapement goal, the total catch across all fisheries, the estimate State waters catch, the fraction of the catch estimated to have occurred in State waters, the estimated EEZ catch, the fraction of the total catch estimated to have occurred in the EEZ, the maximum fishing mortality threshold, and the potential yield in the EEZ.

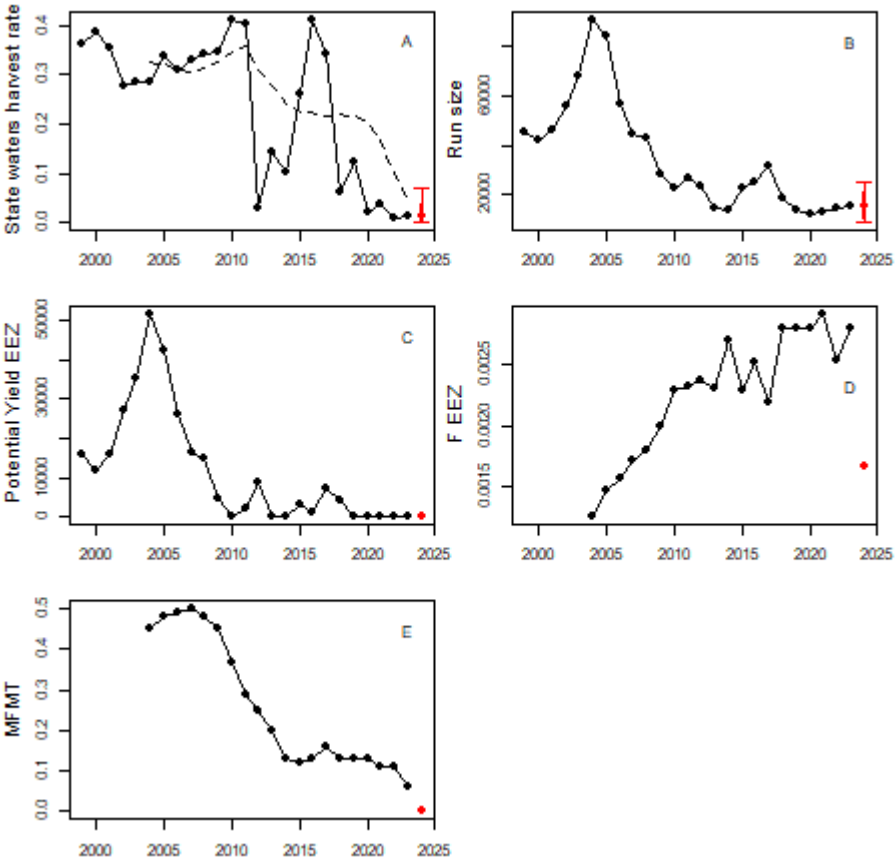
| Year | Run size | Escapement | Escapement goal | Total catch | State catch | F_state | EEZ Catch | F_EEZ | MFMT  | Potential Yield EEZ |
|------|----------|------------|-----------------|-------------|-------------|---------|-----------|-------|-------|---------------------|
| 1999 | 45657    | 29100      | 13500           | 16557       | 16495       | 0.361   | 62        | NA    | NA    | 15662               |
| 2000 | 41719    | 25502      | 13500           | 16217       | 16168       | 0.388   | 49        | NA    | NA    | 12051               |
| 2001 | 45754    | 29531      | 13500           | 16223       | 16165       | 0.353   | 58        | NA    | NA    | 16089               |
| 2002 | 55910    | 40514      | 13500           | 15396       | 15357       | 0.275   | 39        | NA    | NA    | 27053               |
| 2003 | 67984    | 48461      | 13500           | 19523       | 19414       | 0.286   | 109       | NA    | NA    | 35070               |
| 2004 | 91312    | 65112      | 13500           | 26200       | 26079       | 0.286   | 121       | 0.001 | 0.453 | 51733               |
| 2005 | 84189    | 55688      | 13500           | 28501       | 28307       | 0.336   | 194       | 0.001 | 0.477 | 42382               |
| 2006 | 57122    | 39305      | 13500           | 17817       | 17708       | 0.31    | 109       | 0.002 | 0.493 | 25914               |
| 2007 | 44421    | 29664      | 13500           | 14757       | 14643       | 0.33    | 114       | 0.002 | 0.495 | 16278               |
| 2008 | 42680    | 28094      | 13500           | 14586       | 14537       | 0.341   | 49        | 0.002 | 0.48  | 14643               |
| 2009 | 28044    | 18251      | 13500           | 9793        | 9688        | 0.345   | 105       | 0.002 | 0.448 | 4856                |
| 2010 | 22180    | 13037      | 13500           | 9143        | 9078        | 0.409   | 65        | 0.002 | 0.374 | 0                   |
| 2011 | 26381    | 15731      | 13500           | 10650       | 10578       | 0.401   | 72        | 0.002 | 0.29  | 2303                |
| 2012 | 23206    | 22453      | 13500           | 753         | 715         | 0.031   | 38        | 0.002 | 0.252 | 8991                |
| 2013 | 14382    | 12305      | 13500           | 2077        | 2045        | 0.142   | 32        | 0.002 | 0.196 | 0                   |
| 2014 | 13403    | 11980      | 13500           | 1423        | 1391        | 0.104   | 32        | 0.003 | 0.127 | 0                   |
| 2015 | 22796    | 16825      | 13500           | 5971        | 5931        | 0.26    | 40        | 0.002 | 0.12  | 3365                |
| 2016 | 25129    | 14676      | 13500           | 10453       | 10351       | 0.412   | 102       | 0.003 | 0.127 | 1278                |
| 2017 | 31262    | 20615      | 13500           | 10647       | 10606       | 0.339   | 41        | 0.002 | 0.16  | 7156                |
| 2018 | 18511    | 17289      | 13500           | 1222        | 1119        | 0.06    | 103       | 0.003 | 0.125 | 3892                |
| 2019 | 13271    | 11638      | 13500           | 1633        | 1604        | 0.121   | 29        | 0.003 | 0.126 | 0                   |
| 2020 | 12219    | 11909      | 15000           | 310         | 281         | 0.023   | 29        | 0.003 | 0.127 | 0                   |
| 2021 | 12665    | 12147      | 15000           | 518         | 493         | 0.039   | 25        | 0.003 | 0.109 | 0                   |
| 2022 | 14113    | 13974      | 15000           | 139         | 107         | 0.008   | 32        | 0.003 | 0.108 | 0                   |
| 2023 | 14742    | 14502      | 15000           | 240         | 219         | 0.015   | 21        | 0.003 | 0.046 | 0                   |



**Appendix A5.2. 2024 ARIMA Model Preseason Table: Tier 1 Kenai Late Run Large Chinook Salmon.** This tables includes the estimate harvest rate in State waters (F state preseason), the forecasted total run size, the preseason estimate of potential yield in the EEZ (OFL preseason), the buffer that accounts for scientific uncertainty in reducing the preseason OFL to the ABC, the preseason ABC, preseason estimate of the EEZ harvest rate (Potential F\_EEZ), and the preseason estimate of MFMT in the EEZ

| F_state preseason | run preseason | OFL_preseason | OFL to ABC_buffer | ABC_preseason | Potential F_EEZ | MFMT |
|-------------------|---------------|---------------|-------------------|---------------|-----------------|------|
| 0.011             | 14742.46      | 0             | 0.472             | 0             | 0.002           | 0    |

**Appendix A5.3. 2024 ARIMA Model Preseason Plots: Tier 1 Kenai Late Run Large Chinook Salmon.** In panel A, the dashed line represents the moving average of state harvest across one generation time. The red dots and error bars in panels A and B represent preseason forecasts and uncertainty for state harvest rate (A) and run size (B). The filled red dot in panel C represents the amount of potential yield in the EEZ based on the forecasted state harvest rate and run size (i.e. preseason OFL). The hollow red circle in panel C represents the preseason OFL reduced by the buffer to the preseason ABC value. Panel D shows the EEZ harvest rate over one generation time. The red dot in panel D represents the EEZ harvest rate that would result for the current generation time if the full EEZ potential yield being harvested (i.e. OFL being met) in the upcoming year. The red dot in panel E represents the preseason estimate of MFMT.



Appendix A6. Tier 3 Aggregate Chinook Salmon

Appendix A6.1. 2024 Historical Table: Tier 3 Aggregate Chinook Salmon. Shown are the year of the run, the estimated total catch of this stock across all fisheries, the estimated catch in the EEZ, and the summed cumulative EEZ catch over a generation, which is used to assess overfishing.

| Year | Total catch | EEZ catch | Cumulative EEZ catch |
|------|-------------|-----------|----------------------|
| 1999 | NA          | 155       | NA                   |
| 2000 | NA          | 116       | NA                   |
| 2001 | NA          | 211       | NA                   |
| 2002 | NA          | 122       | NA                   |
| 2003 | NA          | 428       | NA                   |
| 2004 | NA          | 306       | 1338                 |
| 2005 | NA          | 512       | 1695                 |
| 2006 | NA          | 410       | 1989                 |
| 2007 | NA          | 402       | 2180                 |
| 2008 | NA          | 127       | 2185                 |
| 2009 | NA          | 480       | 2237                 |
| 2010 | NA          | 205       | 2136                 |
| 2011 | NA          | 204       | 1828                 |
| 2012 | NA          | 94        | 1512                 |
| 2013 | NA          | 179       | 1289                 |
| 2014 | NA          | 131       | 1293                 |
| 2015 | NA          | 156       | 969                  |
| 2016 | NA          | 231       | 995                  |
| 2017 | NA          | 75        | 866                  |
| 2018 | NA          | 260       | 1032                 |
| 2019 | NA          | 81        | 934                  |
| 2020 | NA          | 76        | 879                  |
| 2021 | NA          | 87        | 810                  |
| 2022 | NA          | 80        | 659                  |
| 2023 | NA          | 51        | 635                  |

**Appendix A6.2. 2024 OFL and ABC: Tier 3 Aggregate Chinook Salmon.** Shown are the range of potential buffers, the OFL, the ABC as reduced by the buffer, the preseason OFL, and the preseason ABC as reduced by the buffer.

| buffer | OFL  | ABC    | Preseason OFL | Preseason ABC |
|--------|------|--------|---------------|---------------|
| 0.1    | 3072 | 307.2  | 2697          | 269.7         |
| 0.2    | 3072 | 614.4  | 2697          | 539.4         |
| 0.3    | 3072 | 921.6  | 2697          | 809.1         |
| 0.4    | 3072 | 1228.8 | 2697          | 1078.8        |
| 0.5    | 3072 | 1536   | 2697          | 1348.5        |
| 0.6    | 3072 | 1843.2 | 2697          | 1618.2        |
| 0.7    | 3072 | 2150.4 | 2697          | 1887.9        |
| 0.8    | 3072 | 2457.6 | 2697          | 2157.6        |
| 0.9    | 3072 | 2764.8 | 2697          | 2427.3        |

## Appendix A7. Tier 2 Aggregate Coho Salmon

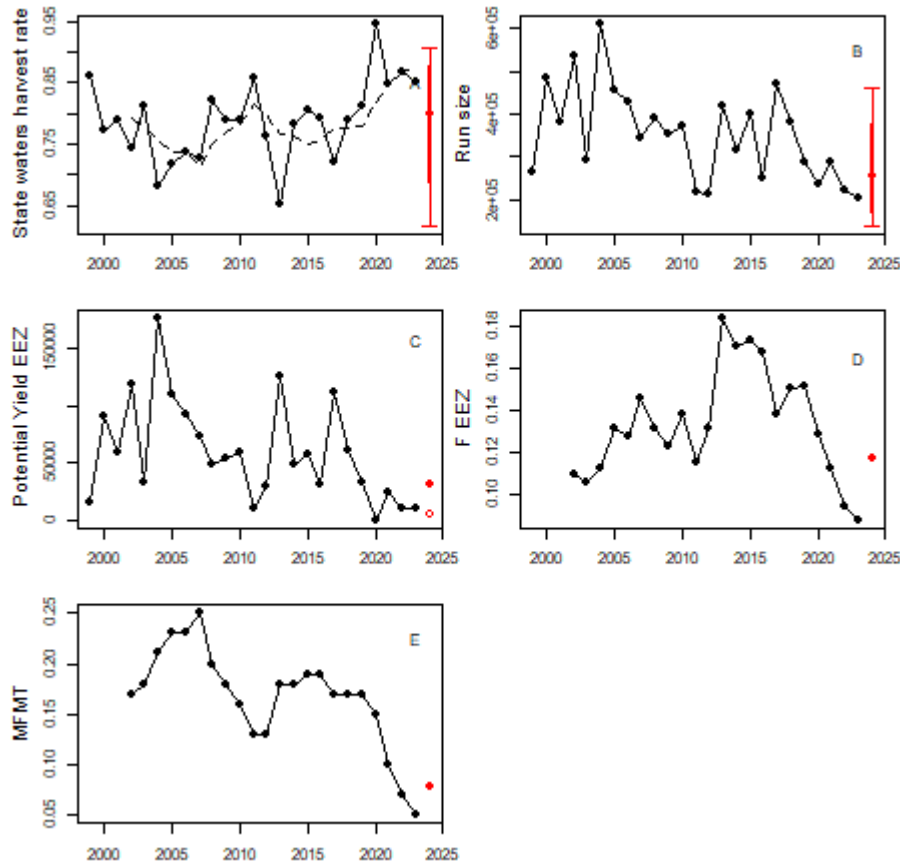
**Appendix A7.1. 2024 Historical Table: Tier 2 Aggregate Coho Salmon.** Historical information to inform the status determination criteria and harvest specifications for this stock assessment. The table includes year of the salmon run, the estimates total run size, the spawning escapement, the State's spawning escapement goal, the total catch across all fisheries, the estimate State waters catch, the fraction of the catch estimated to have occurred in State waters, the estimated EEZ catch, the fraction of the total catch estimated to have occurred in the EEZ, the maximum fishing mortality threshold, and the potential yield in the EEZ.

| Year | Run size | Escapement | Escapement goal | Total catch | State catch | F_state | EEZ Catch | F_EEZ | MFMT  | Potential Yield EEZ |
|------|----------|------------|-----------------|-------------|-------------|---------|-----------|-------|-------|---------------------|
| 1999 | 265287   | 7583       | 20300           | 257704      | 228527      | 0.861   | 29177     | NA    | NA    | 16460               |
| 2000 | 485811   | 41823      | 20300           | 443988      | 375178      | 0.772   | 68810     | NA    | NA    | 90333               |
| 2001 | 381499   | 60514      | 20300           | 320985      | 301601      | 0.791   | 19384     | NA    | NA    | 59598               |
| 2002 | 537877   | 72550      | 20300           | 465327      | 399142      | 0.742   | 66185     | 0.11  | 0.171 | 118435              |
| 2003 | 290134   | 28182      | 20300           | 261952      | 235856      | 0.813   | 26096     | 0.106 | 0.178 | 33978               |
| 2004 | 612672   | 103139     | 20300           | 509533      | 416645      | 0.68    | 92888     | 0.112 | 0.213 | 175727              |
| 2005 | 456543   | 64726      | 20300           | 391817      | 327089      | 0.716   | 64728     | 0.132 | 0.23  | 109154              |
| 2006 | 428098   | 68205      | 20300           | 359893      | 315247      | 0.736   | 44646     | 0.128 | 0.23  | 92551               |
| 2007 | 345048   | 28148      | 20300           | 316900      | 251109      | 0.728   | 65791     | 0.145 | 0.245 | 73639               |
| 2008 | 388652   | 31209      | 20300           | 357443      | 319036      | 0.821   | 38407     | 0.132 | 0.201 | 49316               |
| 2009 | 352561   | 36871      | 20300           | 315690      | 278234      | 0.789   | 37456     | 0.123 | 0.178 | 54027               |
| 2010 | 373260   | 19607      | 20300           | 353653      | 294156      | 0.788   | 59497     | 0.138 | 0.162 | 58804               |
| 2011 | 216045   | 12152      | 20300           | 203893      | 185313      | 0.858   | 18580     | 0.116 | 0.13  | 10432               |
| 2012 | 211570   | 13604      | 20300           | 197966      | 161550      | 0.764   | 36416     | 0.132 | 0.133 | 29720               |
| 2013 | 418423   | 35724      | 20300           | 382699      | 272853      | 0.652   | 109846    | 0.184 | 0.184 | 125270              |
| 2014 | 316007   | 35789      | 20300           | 280218      | 247055      | 0.782   | 33163     | 0.17  | 0.184 | 48652               |
| 2015 | 401418   | 23531      | 20300           | 377887      | 323398      | 0.806   | 54489     | 0.174 | 0.194 | 57720               |
| 2016 | 248351   | 16869      | 20300           | 231482      | 196842      | 0.793   | 34640     | 0.168 | 0.19  | 31209               |
| 2017 | 470908   | 54650      | 20300           | 416258      | 339766      | 0.722   | 76492     | 0.138 | 0.173 | 110842              |
| 2018 | 383363   | 20655      | 20300           | 362708      | 302282      | 0.789   | 60426     | 0.15  | 0.173 | 60781               |
| 2019 | 287868   | 14674      | 20300           | 273194      | 233833      | 0.812   | 39361     | 0.152 | 0.17  | 33735               |
| 2020 | 237495   | 10765      | 20300           | 226730      | 225109      | 0.948   | 1621      | 0.129 | 0.149 | 0                   |
| 2021 | 287943   | 10923      | 20300           | 277020      | 243973      | 0.847   | 33047     | 0.112 | 0.099 | 23670               |
| 2022 | 219575   | 5061       | 19300           | 214514      | 190503      | 0.868   | 24011     | 0.095 | 0.065 | 9772                |
| 2023 | 203590   | 5543       | 19300           | 198047      | 173409      | 0.852   | 24638     | 0.088 | 0.047 | 10881               |

**Appendix A7.2. 2024 ARIMA Model Preseason Table: Tier 2 Aggregate Coho Salmon.** This tables includes the estimate harvest rate in State waters (F state preseason), the forecasted total run size, the preseason estimate of potential yield in the EEZ (OFL preseason), the buffer that accounts for scientific uncertainty in reducing the preseason OFL to the ABC, the preseason ABC, preseason estimate of the EEZ harvest rate (Potential F\_EEZ), and the preseason estimate of MFMT in the EEZ.

| F_state preseason | run preseason | OFL_preseason | OFL to ABC_buffer | ABC_preseason | Potential F_EEZ | MFMT  |
|-------------------|---------------|---------------|-------------------|---------------|-----------------|-------|
| 0.798             | 253080        | 31798.32      | 0.153             | 4878.215      | 0.118           | 0.079 |

**Appendix A7.3. 2024 ARIMA Model Preseason Plots: Tier 2 Aggregate Coho Salmon.** In panel A, the dashed line represents the moving average of state harvest across one generation time. The red dots and error bars in panels A and B represent preseason forecasts and uncertainty for state harvest rate (A) and run size (B). The filled red dot in panel C represents the amount of potential yield in the EEZ based on the forecasted state harvest rate and run size (i.e. preseason OFL). The hollow red circle in panel C represents the preseason OFL reduced by the buffer to the preseason ABC value. Panel D shows the EEZ harvest rate over one generation time. The red dot in panel D represents the EEZ harvest rate that would result for the current generation time if the full EEZ potential yield being harvested (i.e. OFL being met) in the upcoming year. The red dot in panel E represents the preseason estimate of MFMT.



## Appendix A8. Tier 3 Coho Salmon

**Appendix A8.1. 2024 Historical Table: Tier 3 Aggregate Coho Salmon.** Shown are the year of the run, the estimated total catch of this stock across all fisheries, the estimated catch in the EEZ, and the summed cumulative EEZ catch over a generation, which is used to assess overfishing.

| Year | Total catch | EEZ catch | Cumulative EEZ catch |
|------|-------------|-----------|----------------------|
| 1999 | 257704      | 29177     | NA                   |
| 2000 | 443988      | 68810     | NA                   |
| 2001 | 320985      | 19384     | NA                   |
| 2002 | 465327      | 66185     | 183556               |
| 2003 | 261952      | 26096     | 180475               |
| 2004 | 509533      | 92888     | 204553               |
| 2005 | 391817      | 64728     | 249897               |
| 2006 | 359893      | 44646     | 228358               |
| 2007 | 316900      | 65791     | 268053               |
| 2008 | 357443      | 38407     | 213572               |
| 2009 | 315690      | 37456     | 186300               |
| 2010 | 353653      | 59497     | 201151               |
| 2011 | 203893      | 18580     | 153940               |
| 2012 | 197966      | 36416     | 151949               |
| 2013 | 382699      | 109846    | 224339               |
| 2014 | 280218      | 33163     | 198005               |
| 2015 | 377887      | 54489     | 233914               |
| 2016 | 231482      | 34640     | 232138               |
| 2017 | 416258      | 76492     | 198784               |
| 2018 | 362708      | 60426     | 226047               |
| 2019 | 273194      | 39361     | 210919               |
| 2020 | 226730      | 1621      | 177900               |
| 2021 | 277020      | 33047     | 134455               |
| 2022 | 214514      | 24011     | 98040                |
| 2023 | 198047      | 24638     | 83317                |

**Appendix A8.2. 2024 OFL and ABC: Tier 3 Aggregate Coho Salmon.** Shown are the range of potential buffers, the OFL, the ABC as reduced by the buffer, the preseason OFL, and the preseason ABC as reduced by the buffer.

| buffer | OFL    | ABC      | Preseason OFL | Preseason ABC |
|--------|--------|----------|---------------|---------------|
| 0.1    | 439384 | 43938.4  | 357688        | 35768.8       |
| 0.2    | 439384 | 87876.8  | 357688        | 71537.6       |
| 0.3    | 439384 | 131815.2 | 357688        | 107306.4      |
| 0.4    | 439384 | 175753.6 | 357688        | 143075.2      |
| 0.5    | 439384 | 219692   | 357688        | 178844        |
| 0.6    | 439384 | 263630.4 | 357688        | 214612.8      |
| 0.7    | 439384 | 307568.8 | 357688        | 250381.6      |
| 0.8    | 439384 | 351507.2 | 357688        | 286150.4      |
| 0.9    | 439384 | 395445.6 | 357688        | 321919.2      |

Appendix A9. Tier 3 Aggregate Chum Salmon

Appendix A9.1. 2024 Historical Table: Tier 3 Aggregate Chum Salmon. Shown are the year of the run, the estimated total catch of this stock across all fisheries, the estimated catch in the EEZ, and the summed cumulative EEZ catch over a generation, which is used to assess overfishing.

| Year | Total catch | EEZ catch | Cumulative EEZ catch |
|------|-------------|-----------|----------------------|
| 1999 | 179720      | 80551     | NA                   |
| 2000 | 133335      | 62061     | NA                   |
| 2001 | 90953       | 36633     | NA                   |
| 2002 | 245784      | 116282    | 295527               |
| 2003 | 126146      | 53224     | 268200               |
| 2004 | 151246      | 64510     | 270649               |
| 2005 | 73992       | 33787     | 267803               |
| 2006 | 67753       | 33259     | 184780               |
| 2007 | 79871       | 46255     | 177811               |
| 2008 | 53862       | 23460     | 136761               |
| 2009 | 86817       | 41179     | 144153               |
| 2010 | 233038      | 122502    | 233396               |
| 2011 | 134114      | 48972     | 236113               |
| 2012 | 274157      | 140233    | 352886               |
| 2013 | 145038      | 76391     | 388098               |
| 2014 | 122739      | 57216     | 322812               |
| 2015 | 281694      | 116190    | 390030               |
| 2016 | 127623      | 39656     | 289453               |
| 2017 | 249251      | 103807    | 316869               |
| 2018 | 118603      | 64550     | 324203               |
| 2019 | 132645      | 53994     | 262007               |
| 2020 | 33287       | 7681      | 230032               |
| 2021 | 73235       | 29239     | 155464               |
| 2022 | 102834      | 38885     | 129799               |
| 2023 | 130921      | 51081     | 126886               |



**Appendix A9.2. 2024 OFL and ABC: Tier 3 Aggregate Chum Salmon.** Shown are the range of potential buffers, the OFL, the ABC as reduced by the buffer, the preseason OFL, and the preseason ABC as reduced by the buffer.

| buffer | OFL    | ABC      | Preseason OFL | Preseason ABC |
|--------|--------|----------|---------------|---------------|
| 0.1    | 560932 | 56093.2  | 441727        | 44172.7       |
| 0.2    | 560932 | 112186.4 | 441727        | 88345.4       |
| 0.3    | 560932 | 168279.6 | 441727        | 132518.1      |
| 0.4    | 560932 | 224372.8 | 441727        | 176690.8      |
| 0.5    | 560932 | 280466   | 441727        | 220863.5      |
| 0.6    | 560932 | 336559.2 | 441727        | 265036.2      |
| 0.7    | 560932 | 392652.4 | 441727        | 309208.9      |
| 0.8    | 560932 | 448745.6 | 441727        | 353381.6      |
| 0.9    | 560932 | 504838.8 | 441727        | 397554.3      |

Appendix A10. Tier 3 Aggregate Pink Salmon

Appendix A10.1. 2024 Historical Table: Tier 3 Aggregate Pink Salmon. Shown are the year of the run (even years only), the estimated total catch of this stock across all fisheries, the estimated catch in the EEZ, and the summed cumulative EEZ catch over a generation, which is used to assess overfishing.

| Year | Total catch | EEZ catch | Cumulative EEZ catch |
|------|-------------|-----------|----------------------|
| 2000 | 189728      | 42595     | 42595                |
| 2002 | 490034      | 114737    | 114737               |
| 2004 | 393589      | 103094    | 103094               |
| 2006 | 442423      | 90616     | 90616                |
| 2008 | 208092      | 49503     | 49503                |
| 2010 | 320840      | 89935     | 89935                |
| 2012 | 498572      | 132790    | 132790               |
| 2014 | 703285      | 150023    | 150023               |
| 2016 | 425497      | 109481    | 109481               |
| 2018 | 172974      | 38981     | 38981                |
| 2020 | 395430      | 11828     | 11828                |
| 2022 | 131082      | 29611     | 29611                |

Appendix A10.2. 2024 OFL and ABC: Tier 3 Aggregate Pink Salmon. Shown are the range of potential buffers, the OFL, the ABC as reduced by the buffer, the preseason OFL, and the preseason ABC as reduced by the buffer.

| buffer | OFL    | ABC      | Preseason OFL | Preseason ABC |
|--------|--------|----------|---------------|---------------|
| 0.1    | 300046 | 30004.6  | 270435        | 27043.5       |
| 0.2    | 300046 | 60009.2  | 270435        | 54087         |
| 0.3    | 300046 | 90013.8  | 270435        | 81130.5       |
| 0.4    | 300046 | 120018.4 | 270435        | 108174        |
| 0.5    | 300046 | 150023   | 270435        | 135217.5      |
| 0.6    | 300046 | 180027.6 | 270435        | 162261        |
| 0.7    | 300046 | 210032.2 | 270435        | 189304.5      |
| 0.8    | 300046 | 240036.8 | 270435        | 216348        |
| 0.9    | 300046 | 270041.4 | 270435        | 243391.5      |

## Appendix B. Equations Used

### Tier 1: Salmon stocks with escapement goals and stock-specific harvest estimates

Each year, salmon stocks that have escapement goals and stock-specific harvest and escapement estimates would be considered for placement in Tier 1.

The assessment authors and SSC would identify the Tier 1 stocks each year during the annual harvest specification process.

For the Tier 1 stocks, the following calculations would be conducted each year to determine the status of the managed salmon stocks and set the appropriate biological reference points:

#### Overfishing

Overfishing occurs whenever a stock or stock complex is subjected to a level of fishing mortality or total catch that jeopardizes the capacity of a stock or stock complex to produce MSY on a continuing basis. The realized fishing mortality rate in the EEZ for a stock ( $F_{EEZ}$ ) is expressed as an exploitation rate (harvest/total run size), which is calculated for the stock over one generation (the average length of time between when a salmon egg is fertilized and when it spawns as an adult) in years ( $T$ ), weighted as informed by available data, where  $t$  = run year,  $R$  = annual run size of a stock, and  $C_{EEZ}$  = annual EEZ catch of a stock in year  $t$ :

$$(1) F_{EEZ,t} = \frac{\sum_{i=t-T+1}^t C_{EEZ,i}}{\sum_{i=t-T+1}^t R_i}$$

The level of fishing mortality in the EEZ above which overfishing occurs (MFMT) for a stock is based on an exploitation rate assessed over one generation and is defined as:

$$(2) MFMT_t = \frac{\sum_{i=t-T+1}^t Y_{EEZ,i}}{\sum_{i=t-T+1}^t R_i}, \text{ where}$$

$$(3) Y_{EEZ,i} = \max(0, R_t - G_t - C_{state,t})$$

and  $C_{state,t}$  is the harvest that occurred in state waters in year  $t$  and  $Y_{EEZ}$  is the potential yield in the EEZ and  $G$  = escapement goal or target for a stock. The lower bound of the established escapement goal range is the default used in this tier system; however, NMFS, or the SSC may recommend a different value during the annual stock status determination process based on the best scientific information available (e.g., the point estimate of the spawners necessary to result in maximum sustainable yield in future years,  $S_{MSY}$ ). NMFS or the SSC may also recommend additional buffers to account for uncertainty in harvests and escapement estimates. Due to uncertainty inherent to management, the realized yields are unlikely to be equal to the potential yields.

Should  $F_{EEZ}$  exceed the MFMT in any year, it will be determined that a stock is subject to overfishing; this definition corresponds to the **F<sub>OFL</sub> control rule**.

MFMT for a stock would be assessed postseason each year with the most current  $T$  years of data.

#### Overfished

Should a stock's realized spawning escapements summed across a generation fall below the MSST in any year, the stock would be declared overfished. The MSST is defined as one half of the sum of the stock's spawning escapement goal summed across a generation:

$$(4) MSST_t = \frac{\sum_{i=t-T+1}^t G_i}{2}, \text{ evaluated by comparing } \sum_{i=t-T+1}^t S_i \text{ with MSST, where } S \text{ is spawning escapement in year } i.$$

MSST for a stock would be assessed postseason each year with the most current  $T$  years of data used to estimate MSST and  $S$ . NMFS or the SSC may recommend buffers to account for uncertainty in escapement estimates or spawning escapement goals.

#### Overfishing Limit (OFL), Acceptable Biological Catch (ABC), and Annual Catch Limit (ACL)

Specification for OFL, ABC, and ACL will occur as follows:

The preseason estimates of MFMT would be calculated from the sum of potential yield in the EEZ from the previous  $T-1$  years and the preseason estimate of potential yield in the EEZ based on the preseason forecast of run size, projected harvest in other fisheries, and the escapement goal or target in a given year,  $G_t$  using the following equation:

$$(5) \quad MFMT_{pre,t} = \frac{\sum_{i=t-T+1}^{t-1} Y_{EEZ,i} + \hat{Y}_{EEZ,t}}{\sum_{i=t-T+1}^{t-1} R_i + \hat{R}_t}$$

where  $\hat{Y}_{EEZ,t}$  is the preseason estimate of potential yield in the EEZ for year  $t$  used to establish annual harvest specifications and is calculated based on:

$$(6) \quad \hat{Y}_{EEZ,t} = \max(0, \hat{R}_t - G_t - \bar{F}_{state,t} * \hat{R}_t),$$

where  $\hat{R}_t$  is the predicted run size in year  $t$  based on a vetted preseason forecast method and  $\bar{F}_{state,t}$  is the estimated harvest rate in State waters over the average generation time ( $T$ ) for the species and stock, or, as recommended by the SSC, an estimated or modeled harvest rate.

The Preseason estimates of  $F_{EEZ}$  is calculated from the sum of actual harvests in the EEZ from the previous  $T-1$  years and the preseason estimate of potential yield in the EEZ based on the preseason forecast of run size:

$$(7) \quad F_{EEZ,pre,t} = \frac{\sum_{i=t-T+1}^{t-1} C_{EEZ,i} + \hat{Y}_{EEZ,t}}{\sum_{i=t-T+1}^{t-1} R_i + \hat{R}_t}$$

The preseason OFL ( $OFL_{PRE}$ ) would be equivalent to the estimate of available yield for a stock as described in Equation 6.

**The ABC control rule:** ABC must be less than or equal to OFL. The SSC may recommend reducing ABC from OFL to account for scientific uncertainty, including uncertainty associated with the assessment of spawning escapement goals, forecasts, harvests, and other sources of uncertainty.

The ACL will be established equal to or less than the ABC.

### ***Tier 2: Salmon stocks managed as a complex***

Tier 2 stocks are salmon stocks managed as a complex, with specific salmon stocks designated as indicator stocks. An indicator stock is a stock for which sufficient data exist to allow for the development of measurable and objective SDC and can be used as a proxy to manage and evaluate data poor stocks within the stock complex. Further, an indicator stock is thought to be representative of the typical vulnerabilities of stocks within the stock complex. The assessment authors and SSC would identify the Tier 2 stocks each year during the annual harvest specification process. In general, management of Tier 2 stocks is based on aggregate abundance as previously described. Information on the individual indicator stock is used to inform management actions for the stock complex.

For the Tier 2 stocks, the following calculations would be conducted each year to determine the status of the salmon stocks and set the appropriate biological reference points.

### **Overfishing**

The Tier 1 formulas for  $F$  and MFMT would be used for Tier 2 indicator stocks. Whenever estimates of  $F$  or MFMT, as defined under Tier 1, are unavailable for each stock in a stock complex managed under this FMP, a list of indicator salmon stocks for a given stock complex will be established.

Using the same definitions and criteria described under Tier 1, a determination that one or more indicator salmon stocks is subject to overfishing will constitute a determination that the respective stock complex is subject to overfishing, except as provided in the paragraph below.

Overfishing of one or more stocks in a stock complex may be permitted, and may not result in a determination

that the entire stock complex is subject to overfishing, under the following conditions established under the National Standard 1 guidelines (50 CFR §600.310(l)):

- a) it is demonstrated by analysis that such action will result in long-term net benefits to the Nation;
- b) it is demonstrated by analysis that mitigating measures have been considered and that a similar level of long-term net benefits cannot be achieved by modifying fleet behavior, gear selection/configuration, or other technical characteristics in a manner such that no overfishing would occur; and
- c) the resulting rate or level of fishing mortality will not cause any stock or stock complex to fall below its MSST more than 50% of the time in the long term.

### **Overfished**

The MSST for a stock complex is equal to one-half the sum of the  $G$ s for the indicator salmon stocks from the most recent  $T$  years.

Should a stock complex's cumulative escapements for a generation fall below the MSST in any year, it will be determined that the stock complex is overfished.

Specification for OFL, ABC, and ACL will occur as follows:

The OFL, ACL, and ABC will be set for the indicator stock using the Tier 1 methodology.

### ***Tier 3: Salmon stocks with no reliable estimates of escapement***

Tier 3 salmon stocks or stock complexes have no reliable estimates of escapement or total run size, therefore OFL and ABC are based on catch history. Tier 3 stocks may have escapement goals, but, relative to Tier 2 stocks, the goals and associated inseason assessment of escapement represent a coarse and/or unknown index of abundance rather than a true number of fish. The assessment author and SSC would identify the Tier 3 stocks each year during the annual harvest specification process.

For Tier 3 stocks, the following calculations would be conducted each year to determine the status of the salmon stocks and set the appropriate biological reference points.

### **Overfishing**

For Tier 3 stocks or stock complexes, should the sum of harvest for the most recent generation ( $T$  years) be greater than the OFL, then it will be determined that the stock is subject to overfishing. Overfishing for Tier 3 stocks is assessed postseason after stock-specific harvest data become available; NMFS or the SSC may recommend additional buffers to account for uncertainty of estimates.

### **Overfished**

For Tier 3 stocks or stock complexes with escapement goals for a suitable indicator stock, the MSST is calculated the same as for Tier 1 stocks. Should a stock or stock complex's cumulative escapements for a generation fall below the MSST in any year, it will be determined that the stock complex is overfished. When calculating MSST and comparing spawning escapements summed across the most recent generation, NMFS or the SSC may recommend buffers to account for uncertainty in estimates.

For Tier 3 stocks or stock complexes without escapement goals, it is not possible to calculate MSST.

Specification for OFL, ABC, and ACL will occur as follows:

OFL = the maximum annual EEZ catch in the timeseries under consideration multiplied by the average generation time ( $T$  years), unless an alternative catch value is recommended by the assessment authors or SSC on the basis of the best scientific information available. For example, the SSC could recommend average annual catch or another value instead of the maximum annual catch, with the recommended value (*e.g.*, maximum, average, or another value) multiplied by the generation time. Postseason, this value of OFL will be the basis for assessing if overfishing of the stock has occurred.

The preseason OFL ( $OFL_{PRE}$ ) is the basis for defining harvest specifications and is the single season manifestation of the OFL. Unless another value is recommended by the SSC,  $OFL_{PRE}$  is equal to maximum annual catch in the timeseries under consideration.

ABC = the  $OFL_{PRE}$  reduced by a buffer to account for uncertainty. As recommended by the SSC, the ABC could be set higher or lower by applying a more liberal or conservative buffer to the OFL to account for less or greater uncertainty. Potential sources of uncertainty could include but are not limited to: uncertainty associated with the achievement of escapement targets; uncertainty associated with whether the OFL, ABC, or ACL will be achieved or exceeded; uncertainty associated with the level of harvest in fisheries outside the EEZ; uncertainty associated with interannual run size; uncertainty associated with run timing; uncertainty associated with inseason metrics of run size or timing; other sources of uncertainty identified during the annual stock assessment process. ABC would be set each year during the annual stock status determination process based on the best available information.

ACL equal to or less than ABC.

References:

Morley, S. K., Brito, T. V., & Welling, D. T. (2018). Measures of model performance based on the log accuracy ratio. *Space Weather*, 16(1), 69-88